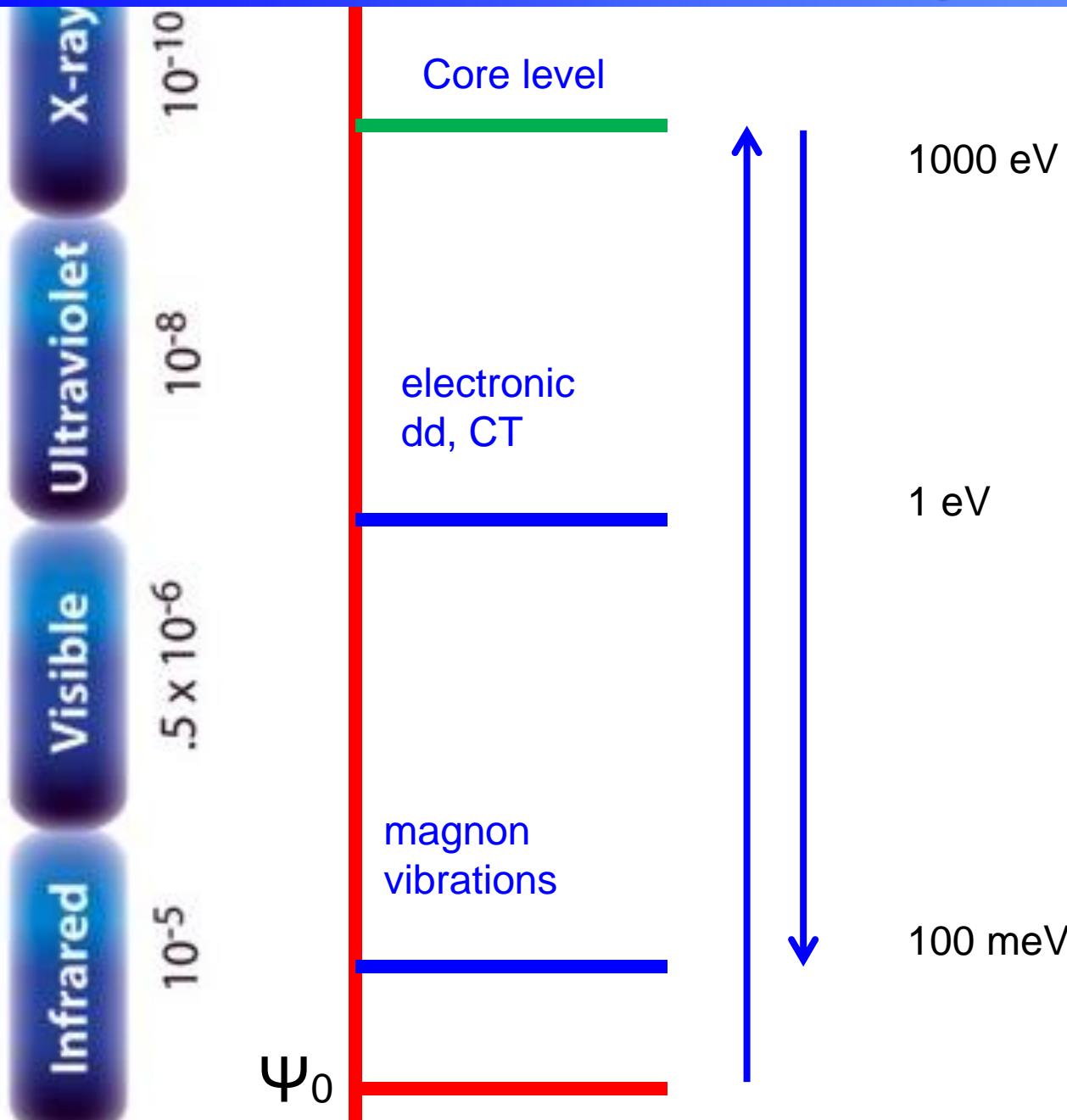


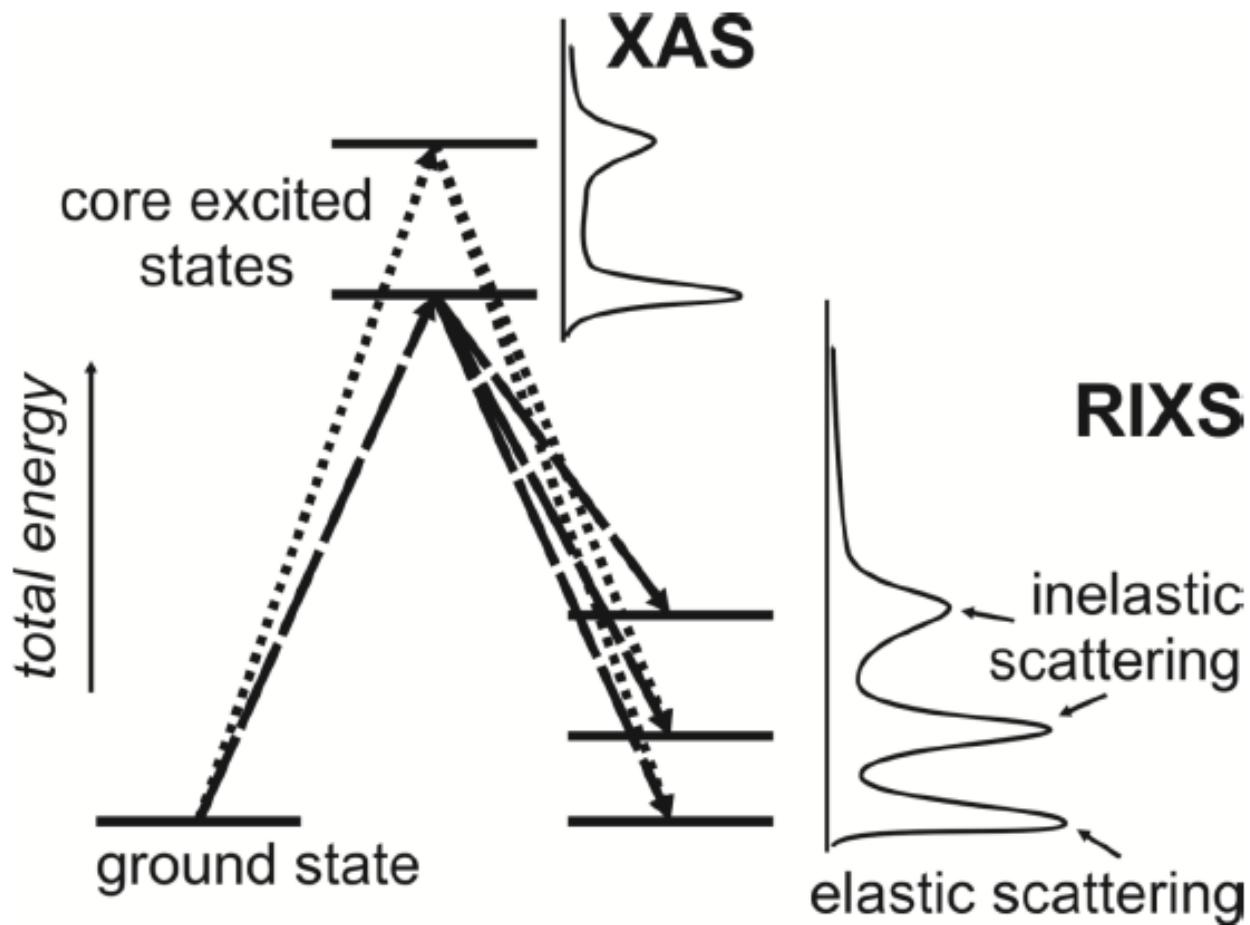
# resonant inelastic x-ray scattering

- X-ray absorption spectroscopy
- Multiplet calculations
- Resonant inelastic x-ray scattering

# resonant inelastic x-ray scattering



# resonant inelastic x-ray scattering

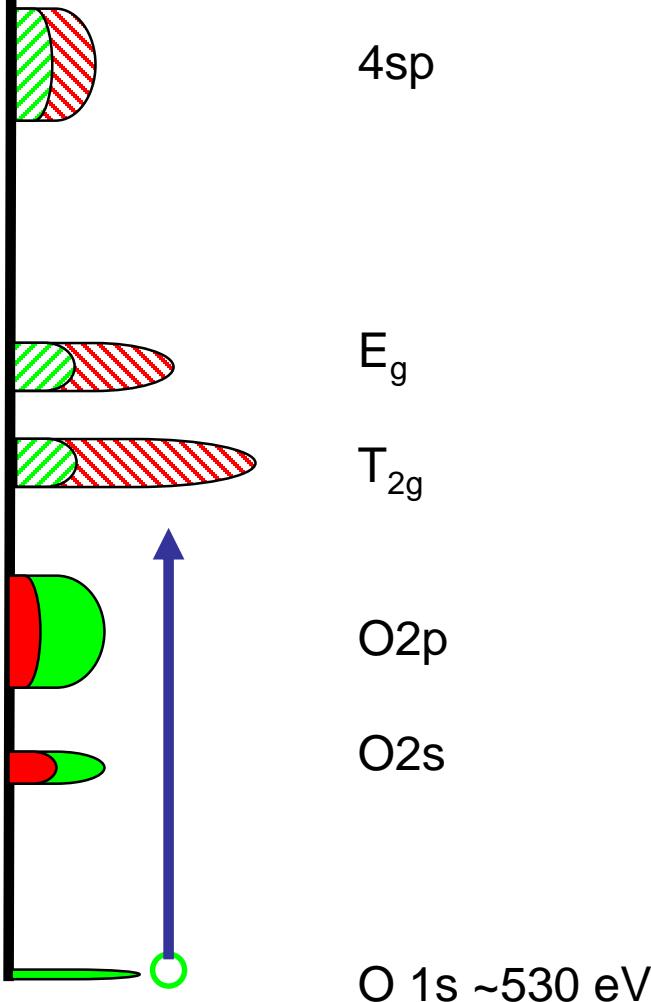


$$F(\Omega, \omega) = \sum_j \left| \sum_i \frac{< f | T_2 | i > < i | T_1 | g >}{E_g + \hbar\Omega - E_i + i\Gamma_i} \right|^2 \times \frac{\Gamma_f / 2\pi}{(E_g + \hbar\Omega - E_f - \hbar\omega)^2 + \Gamma_f^2 / 4}$$

# X-ray Absorption Spectroscopy

- Element specific
  - Sensitive to low concentrations
  - Applicable under extreme conditions
- 
- SPACE: Combination with x-ray microscopy
  - TIME: femtosecond XAS
  - RESONANCE: RIXS, RPES, R diffraction

# XAS: spectral shape (O 1s of TiO<sub>2</sub>)



Electronic structure of a transition metal oxide

Main bonding:

O2p with metal 4sp

Gives valence band and conduction band,  
Mixed in character

Additional bonding

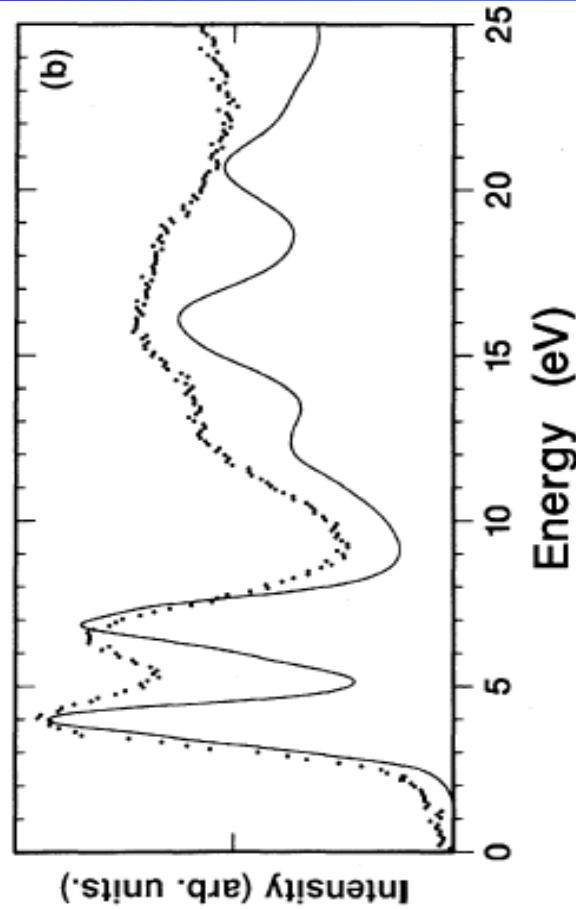
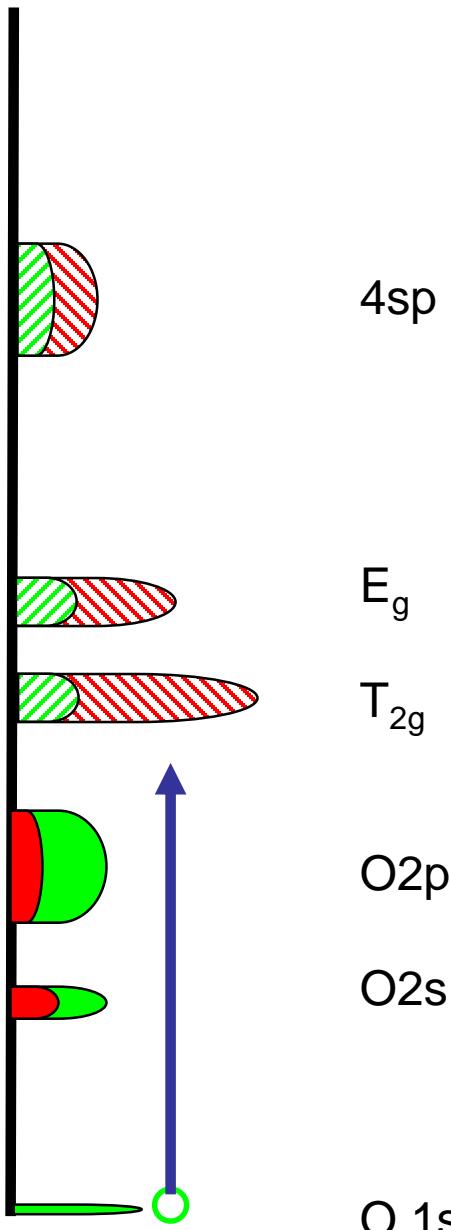
O2p with metal 3d;

Gives mixed 3d bands split by crystal field in  
octahedral symmetry, T<sub>2g</sub> states have pi-  
bonding and E<sub>g</sub> states have sigma-bonding.

oxygen 1s core state

is localized at an oxygen atom

# XAS: spectral shape (O 1s of TiO<sub>2</sub>)



transition from oxygen 1s state  
to an empty state

oxygen 1s core state  
is localized at an oxygen atom

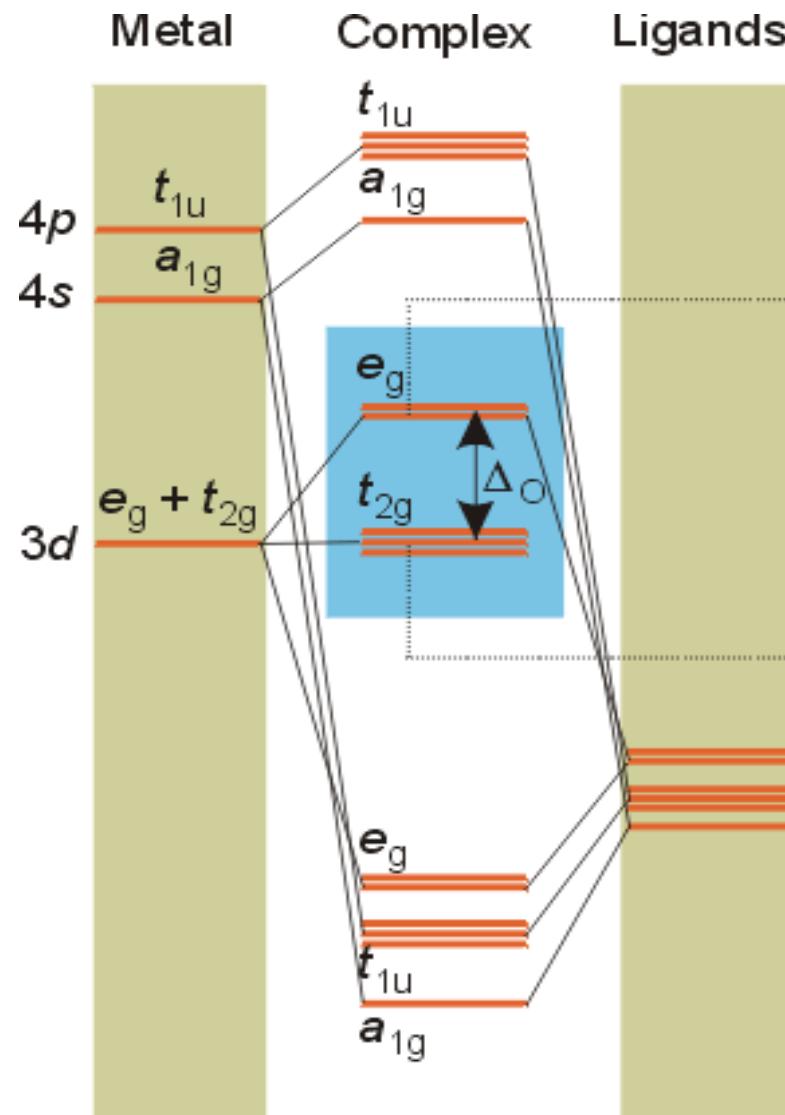
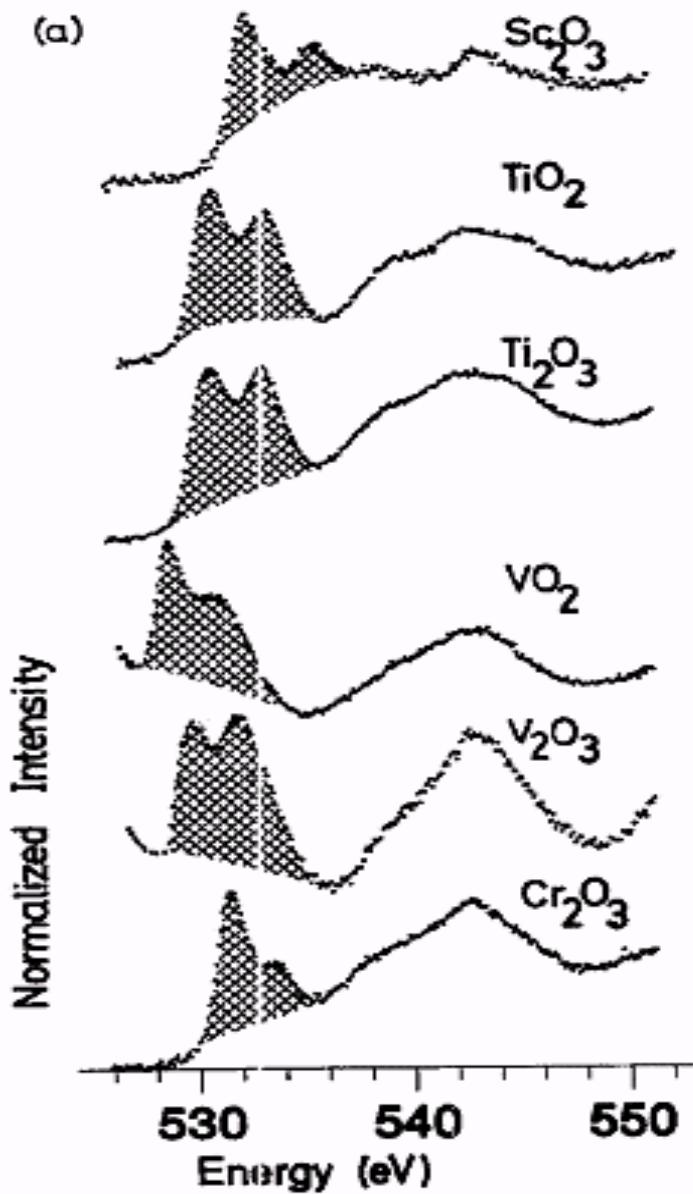
*local empty DOS*

dipole selection rule:  
*p-projected DOS*

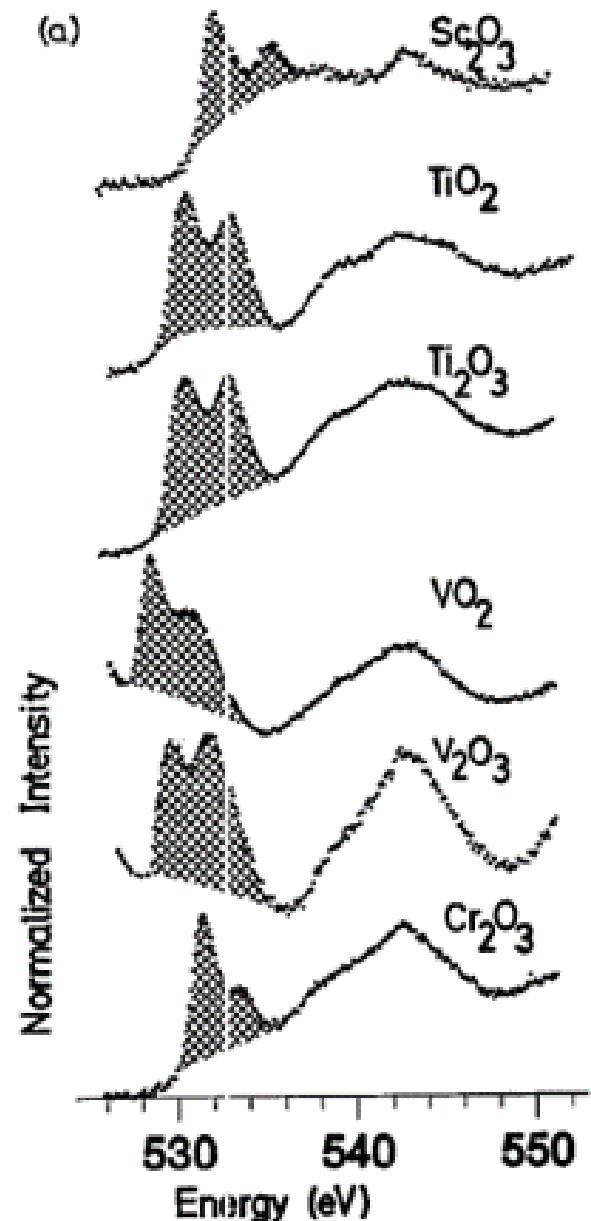
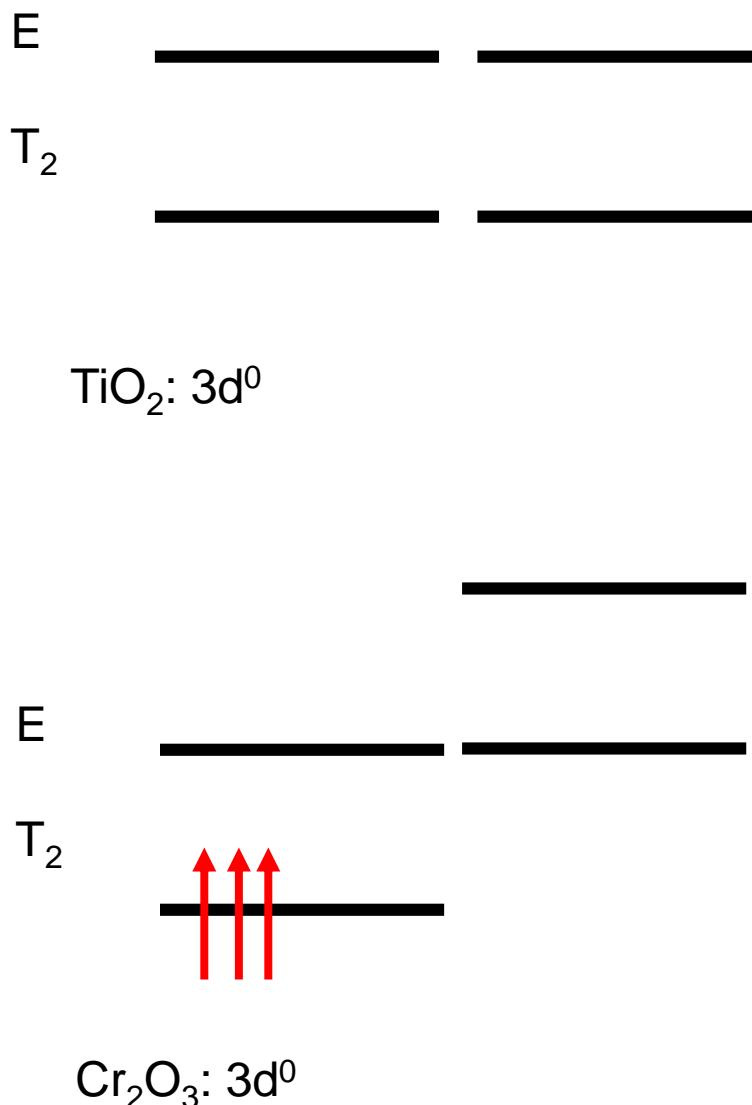
**Fermi Golden Rule:**

$$I_{\text{XAS}} = |\langle \Phi_f | \text{dipole} | \Phi_i \rangle|^2 \delta_{[\Delta E = 0]}$$

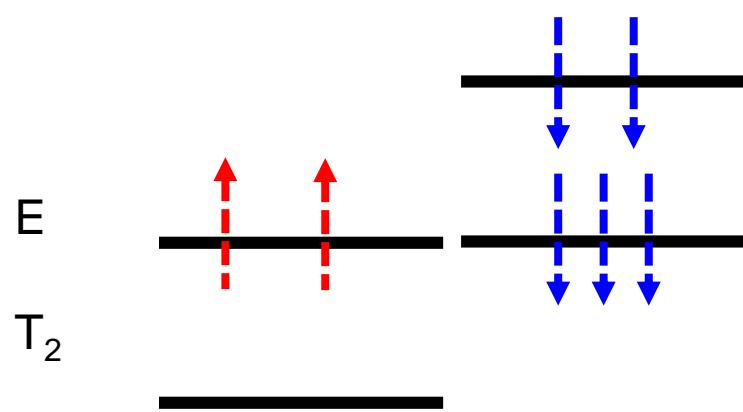
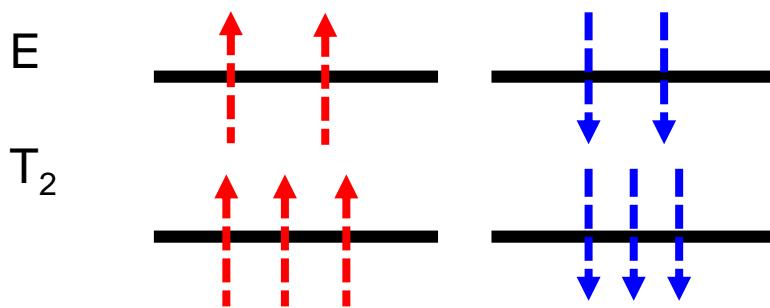
# XAS: spectral shape (O 1s)



# XAS: spectral shape



# XAS: spectral shape



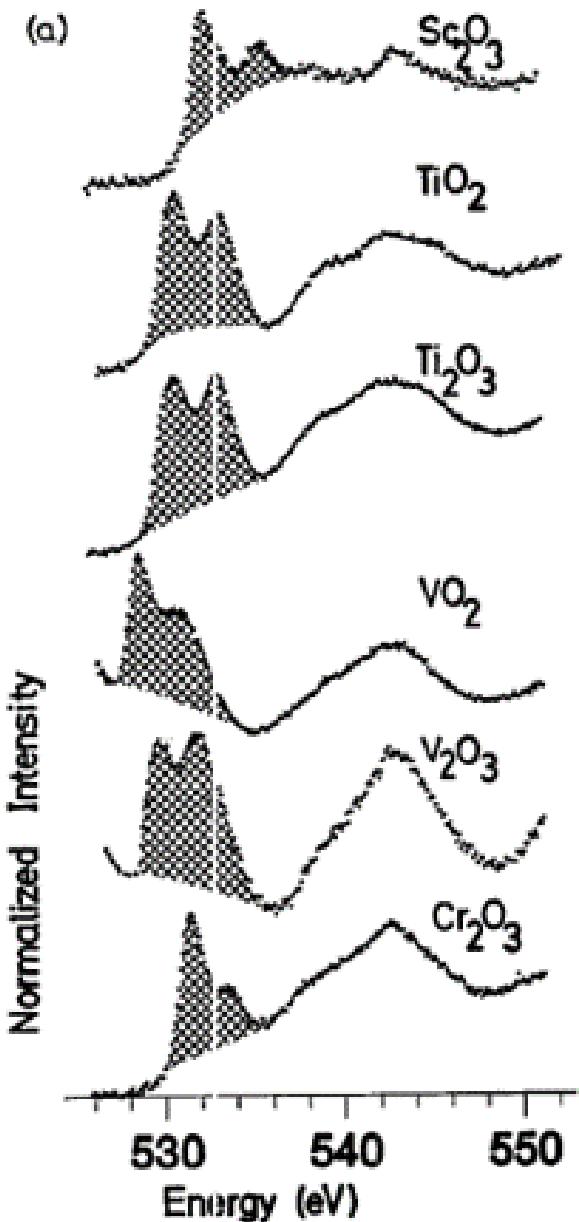
$\text{Cr}_2\text{O}_3: 3\text{d}^0$

$4 > 6$

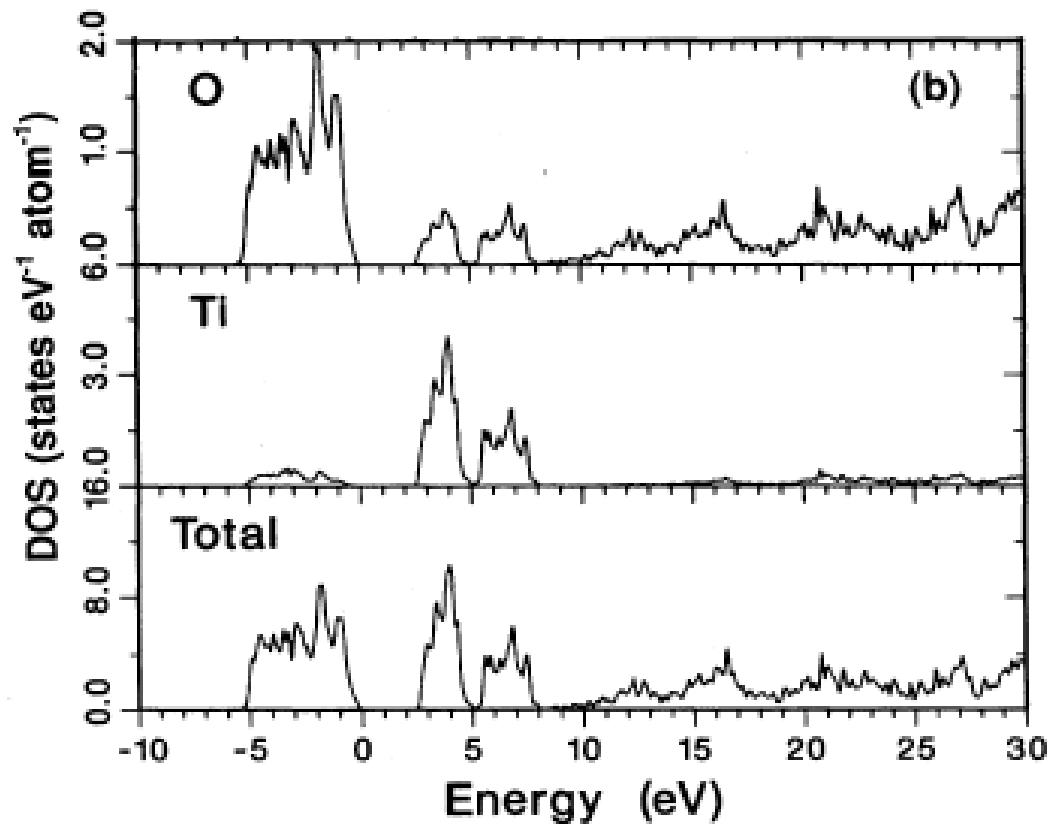
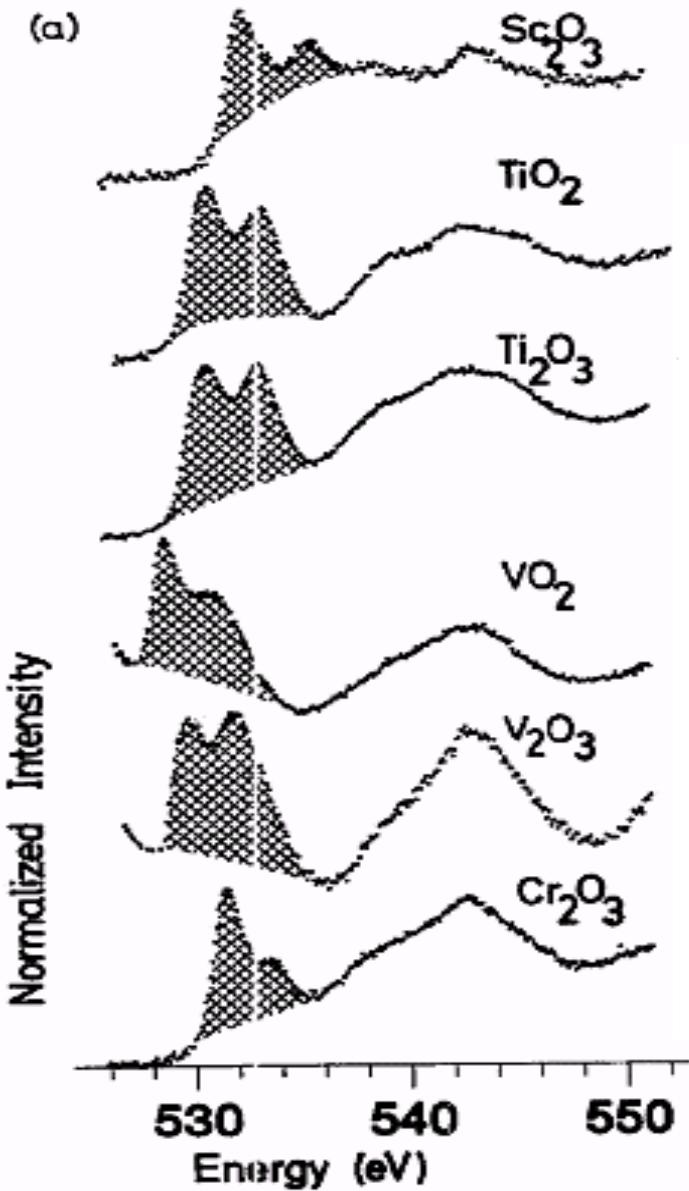
6

$2 > 3$

$5 > 6$

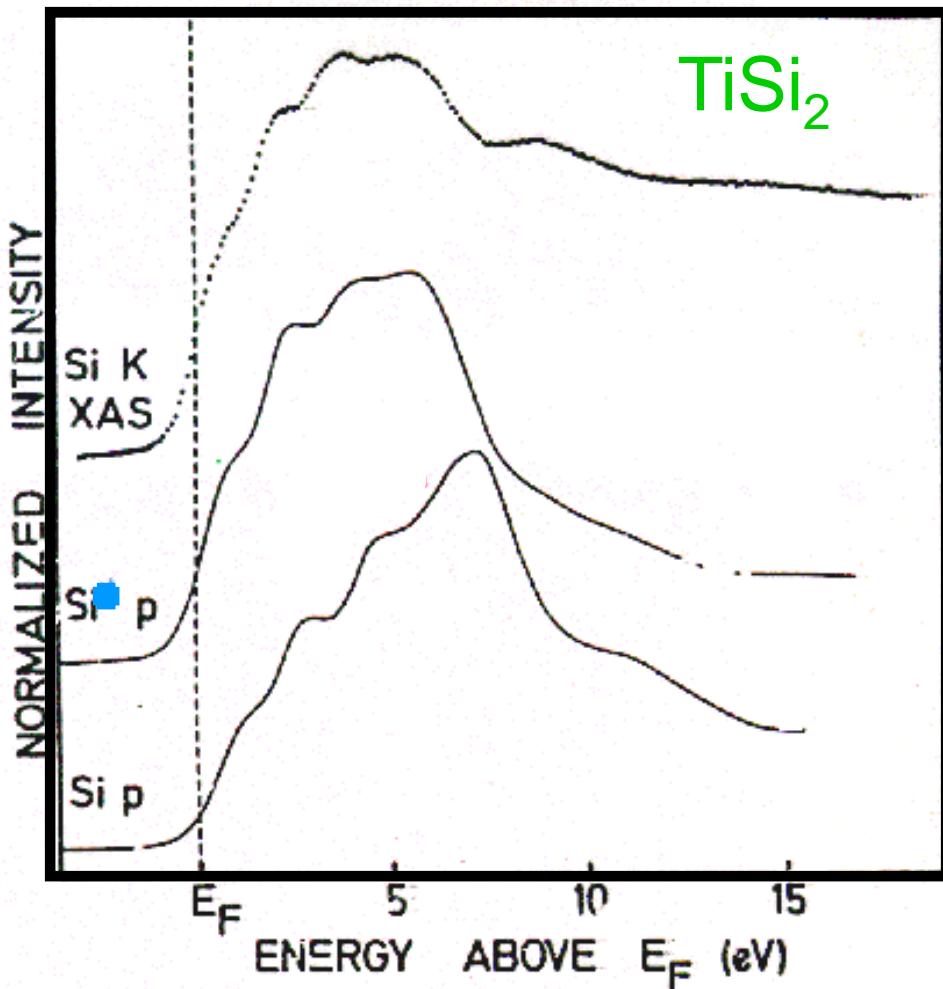


# XAS: spectral shape (O 1s)



oxygen 1s > p DOS

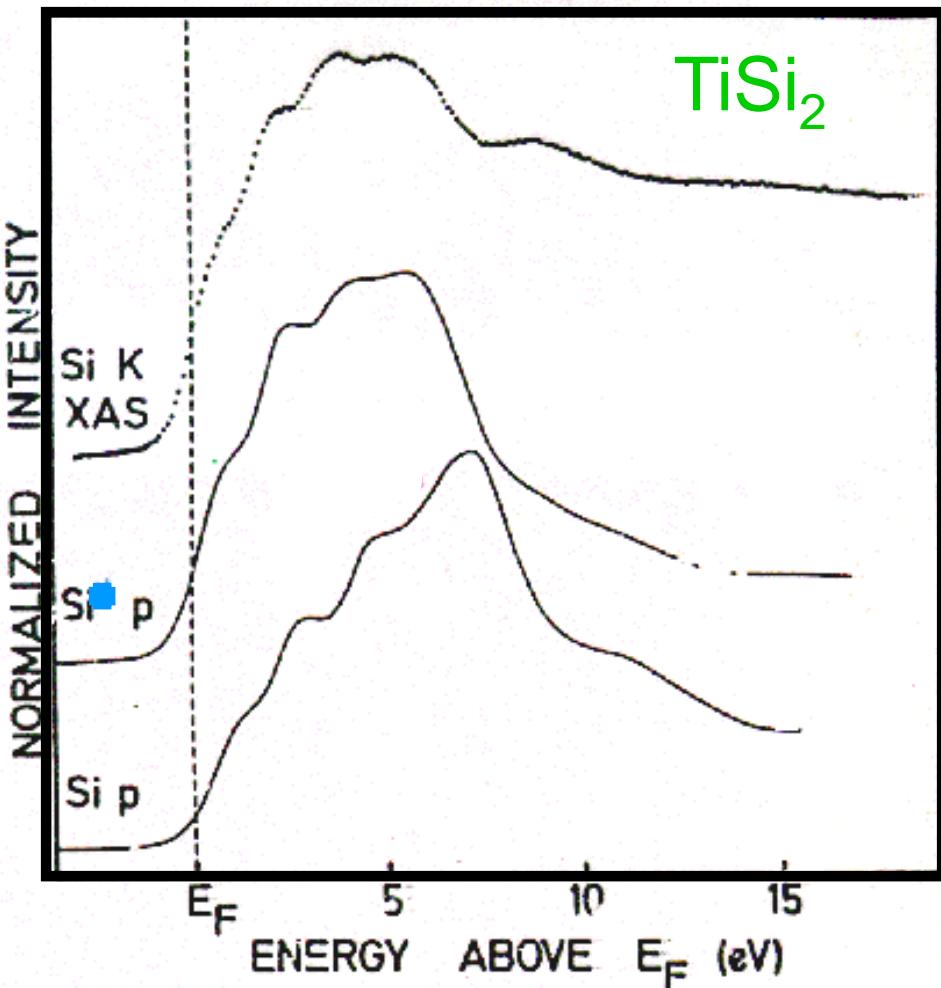
# XAS: spectral shape



- **Final State Rule:**  
Spectral shape of XAS looks like final state DOS

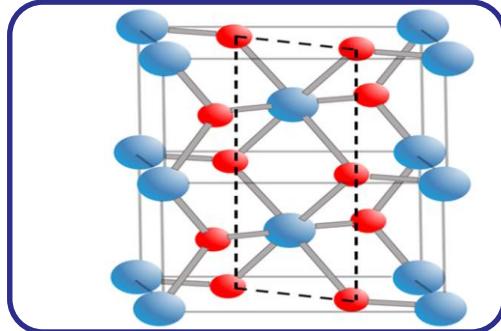
(BSE, TDDFT calculations)

# XAS: spectral shape

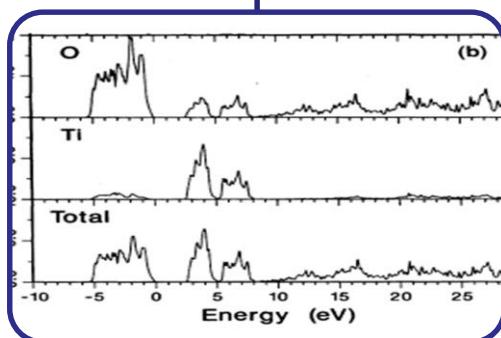


- **XAS codes:**
- Multiple scattering:  
FEFF, FDMNES, etc.
- Band structure:  
WIEN2K, VASP  
Quantumexpresso, etc.
- Real-space DFT:  
ADF,  
ORCA, etc.

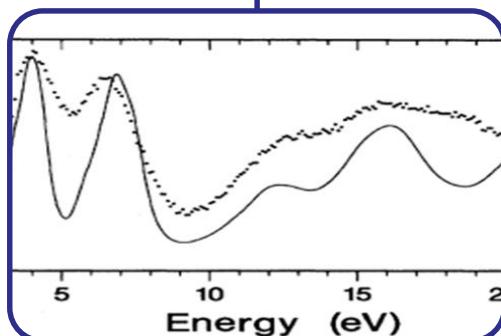
# XAS: spectral shape (O 1s)



Crystal structure



Electronic structure calculation,  
for example Density Functional Theory (DFT)  
(in the presence of the core hole)

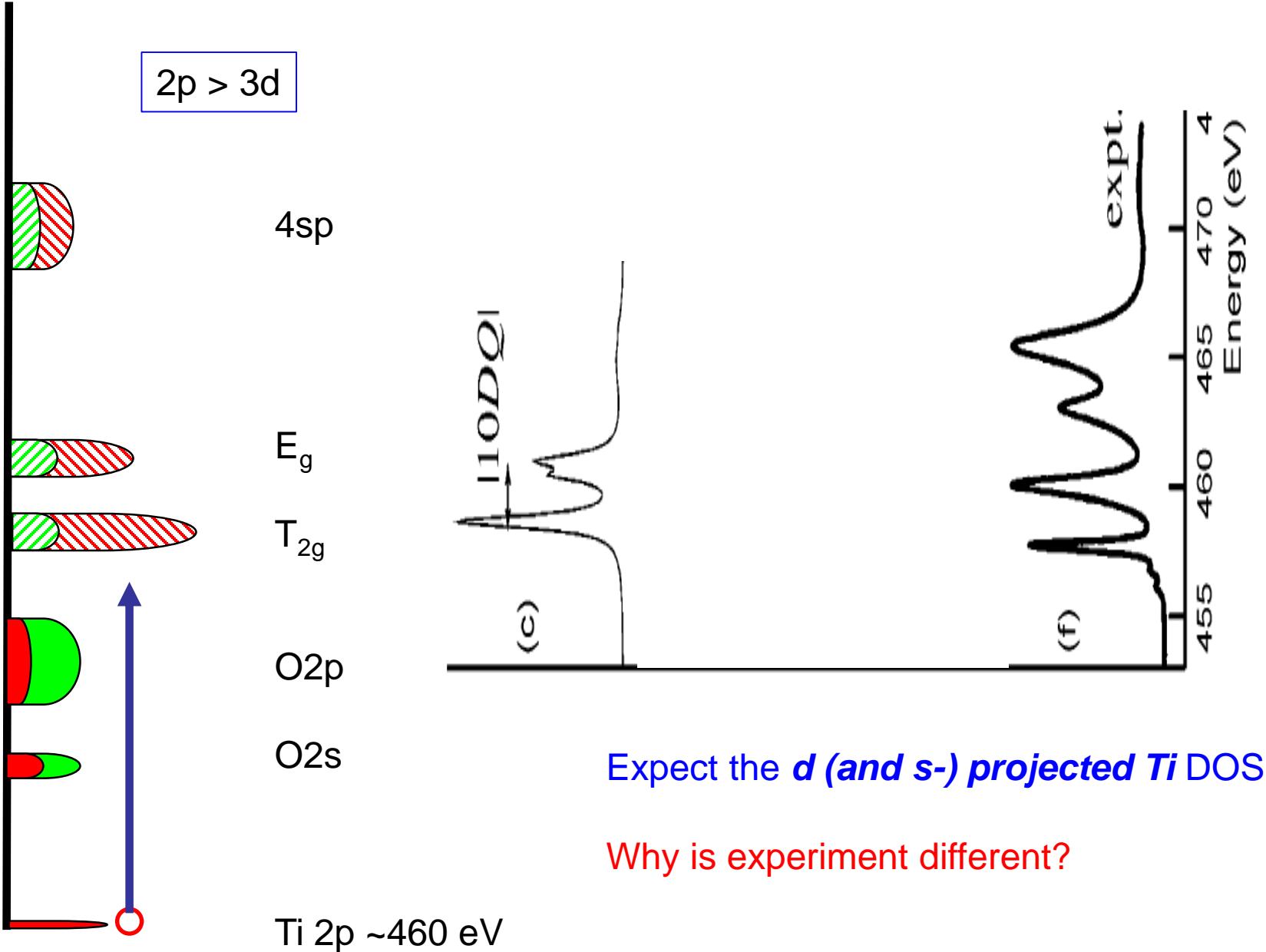


Calculation of the oxygen  
***p-projected local empty DOS***

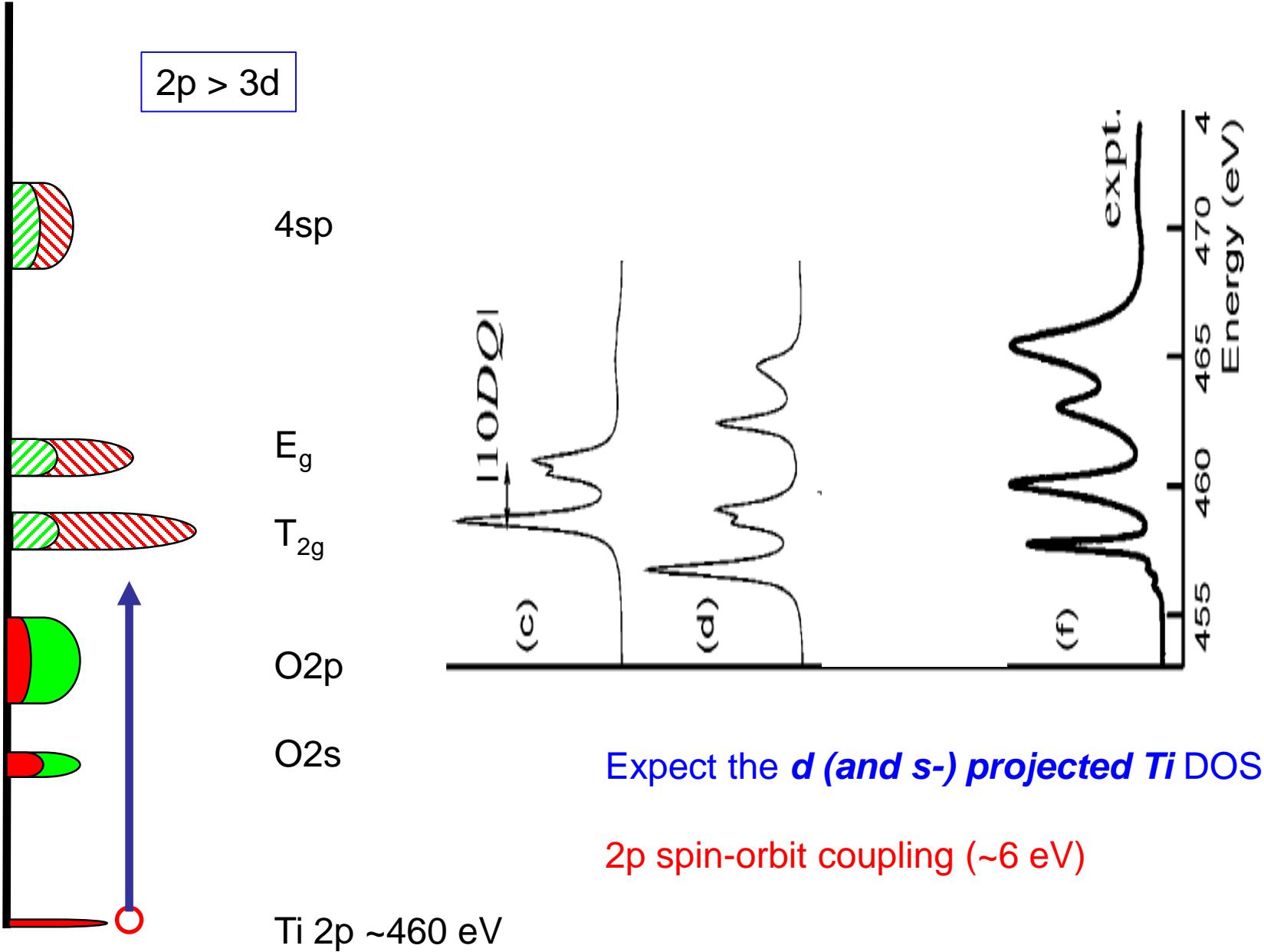
Compare with experiment

CODES: FEFF, Wien2K, VASP, QE, etc.

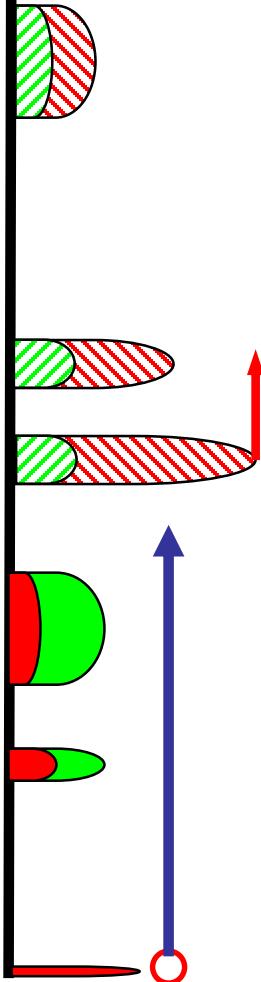
# XAS: spectral shape (Ti 2p)



# XAS: spectral shape (Ti 2p)



# XAS: spectral shape (Ti 2p)



2p3d 2-electron integrals

4sp

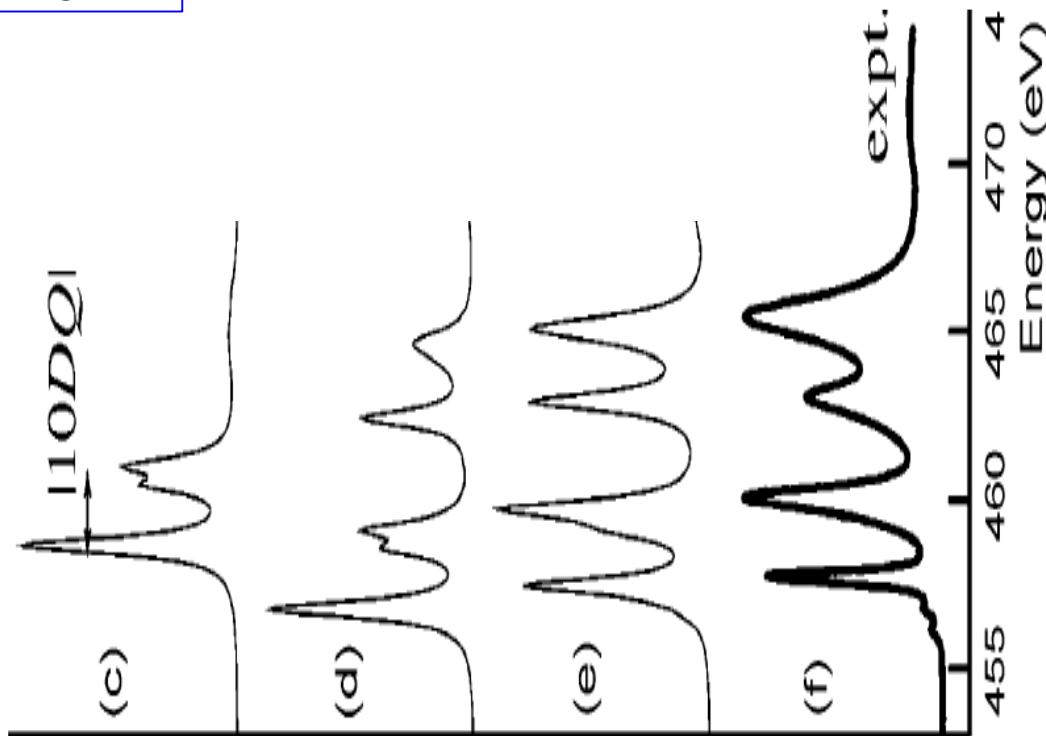
E<sub>g</sub>

T<sub>2g</sub>

O<sub>2p</sub>

O<sub>2s</sub>

Ti 2p ~460 eV



Expect *local d-projected Ti DOS*

Core spin-orbit splitting

Overlap of core 2p and valence 3d states  
>> many electron excitations dominate

# XAS: spectral shape (Ti 2p)

Fermi Golden Rule:

$$I_{\text{XAS}} = |\langle \Phi_f | \text{dipole} | \Phi_i \rangle|^2 \delta_{[\Delta E=0]}$$

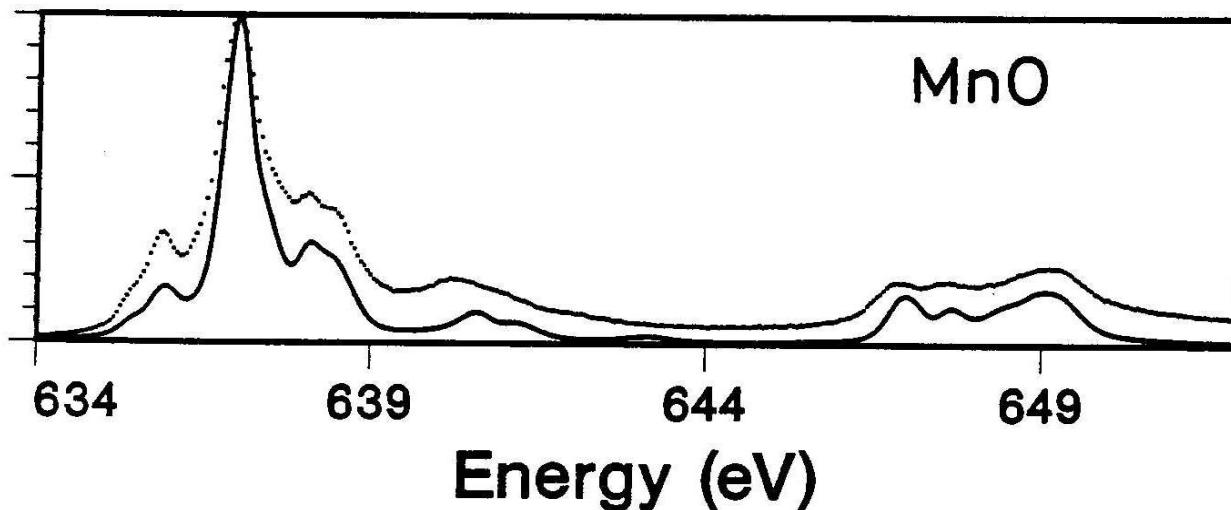
$$I_{\text{XAS}} = |\langle 2p^5 3d^1 | \text{dipole} | 3d^0 \rangle|^2 \delta_{[\Delta E=0]}$$

1. Can NOT approximate 2p-3d interaction as extra potential
2. Large core hole spin-orbit coupling

Single electron (excitation) approximation breaks down :

~~$$I_{\text{XAS}} = |\langle 3d | \text{dipole} | 2p \rangle|^2 \rho$$~~

# XAS: spectral shape (Mn 2p)



1. Can NOT neglect 3d-3d interactions
2. Can NOT approximate 2p-3d interaction as extra potential
3. Large core hole spin-orbit coupling

$$I_{\text{XAS}} = | \langle 2p^5 3d^6 | \text{dipole} | 3d^5 \rangle |^2 \delta_{[\Delta E=0]}$$

CODES: CRISPY/QUANTY, CTM4XAS

# XAS: spectral shape (O 1s)

Fermi Golden Rule:

$$I_{\text{XAS}} = |\langle \Phi_f |_{\text{dipole}} | \Phi_i \rangle|^2 \delta_{[\Delta E=0]}$$

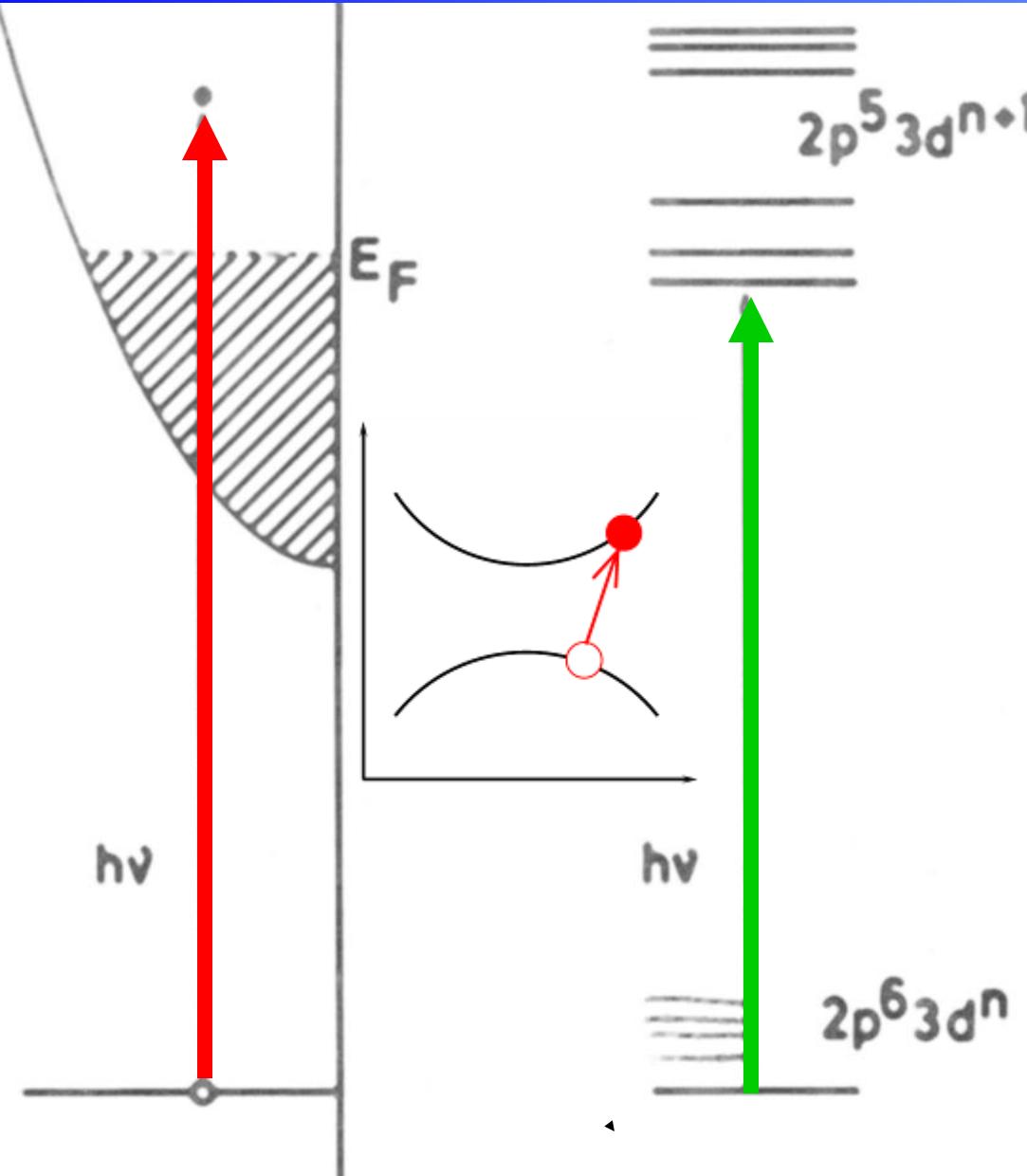
$$I_{\text{XAS}} = |\langle 1s^1 2p^5 |_{\text{dipole}} | 2p^4 \rangle|^2 \delta_{[\Delta E=0]}$$

1. Can neglect local 2p-2p interaction
2. Can approximate 1s-2p interaction as extra potential
3. No core hole spin-orbit coupling

Single electron (excitation) approximation :

$$I_{\text{XAS}} = |\langle 2p |_{\text{dipole}} | 1s \rangle|^2 \rho$$

# Interpretation of XAS



1-particle:

1s edges

(DFT + core hole  
+U)

2-particle:

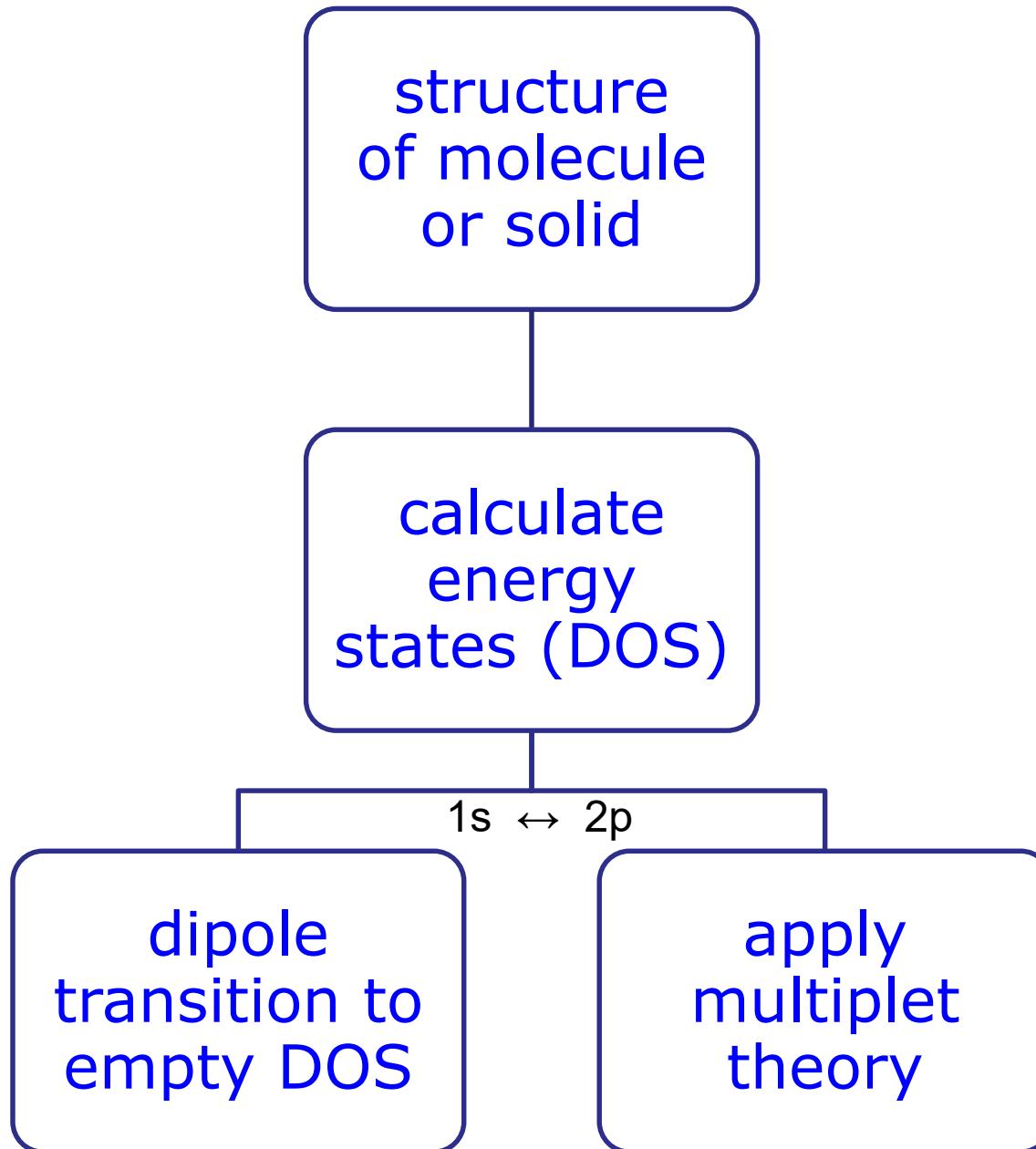
+ all edges of closed  
shell systems

(TDDFT, BSE)

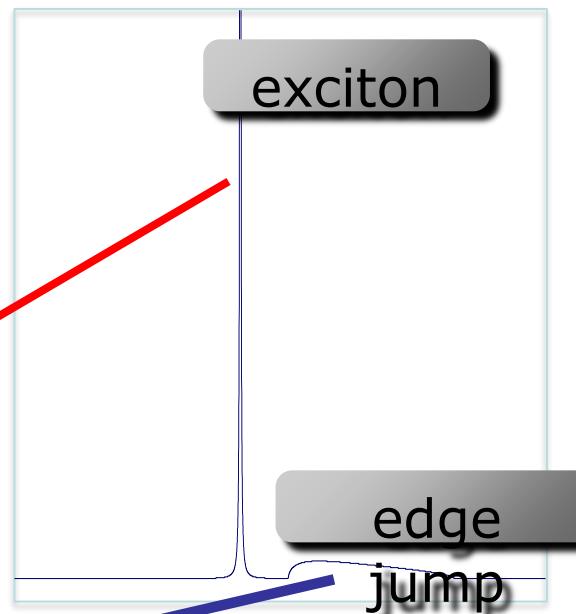
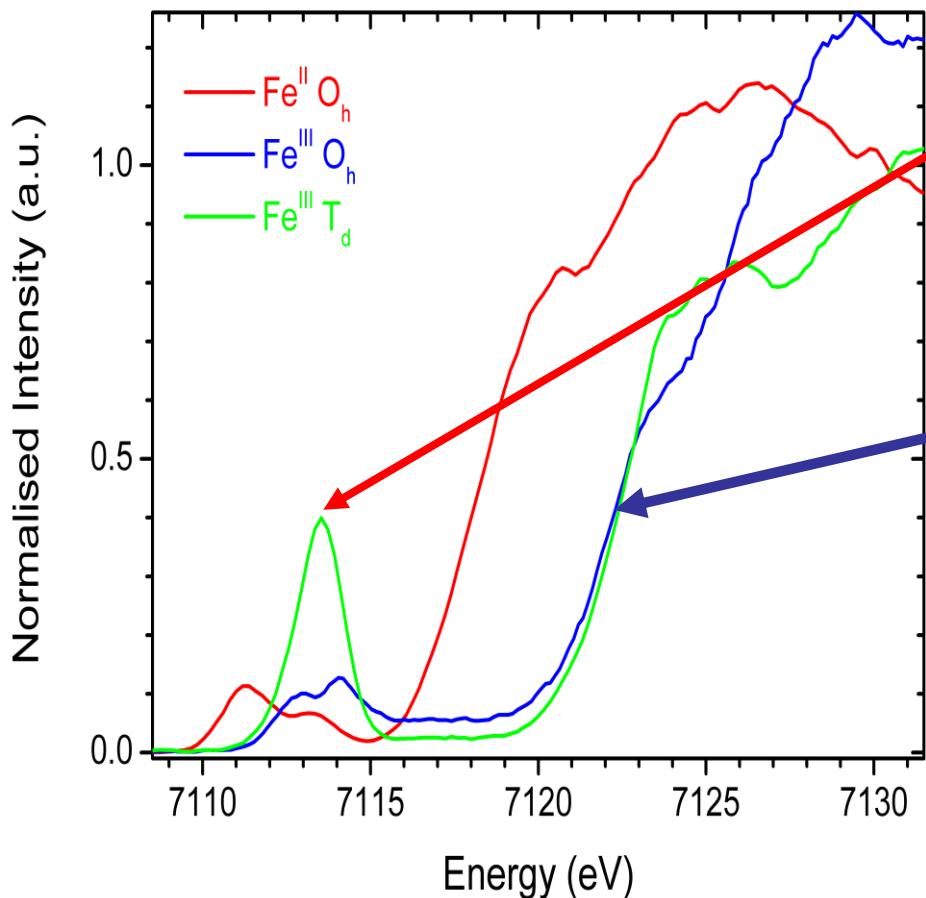
many-particle:

open shell systems  
(CTM4XAS)

# XAS spectral shape

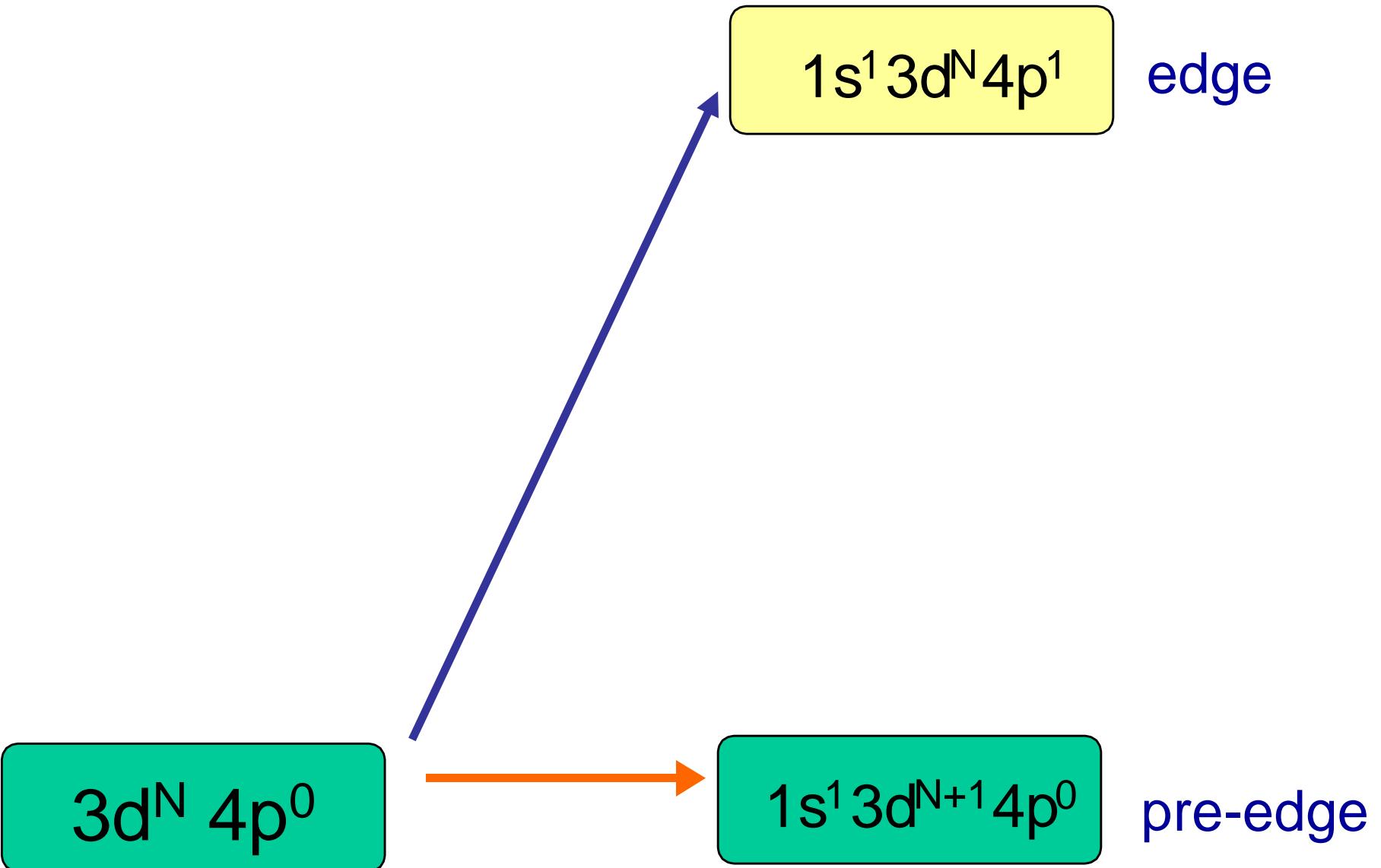


# Metal K edges: dipole & quadrupole

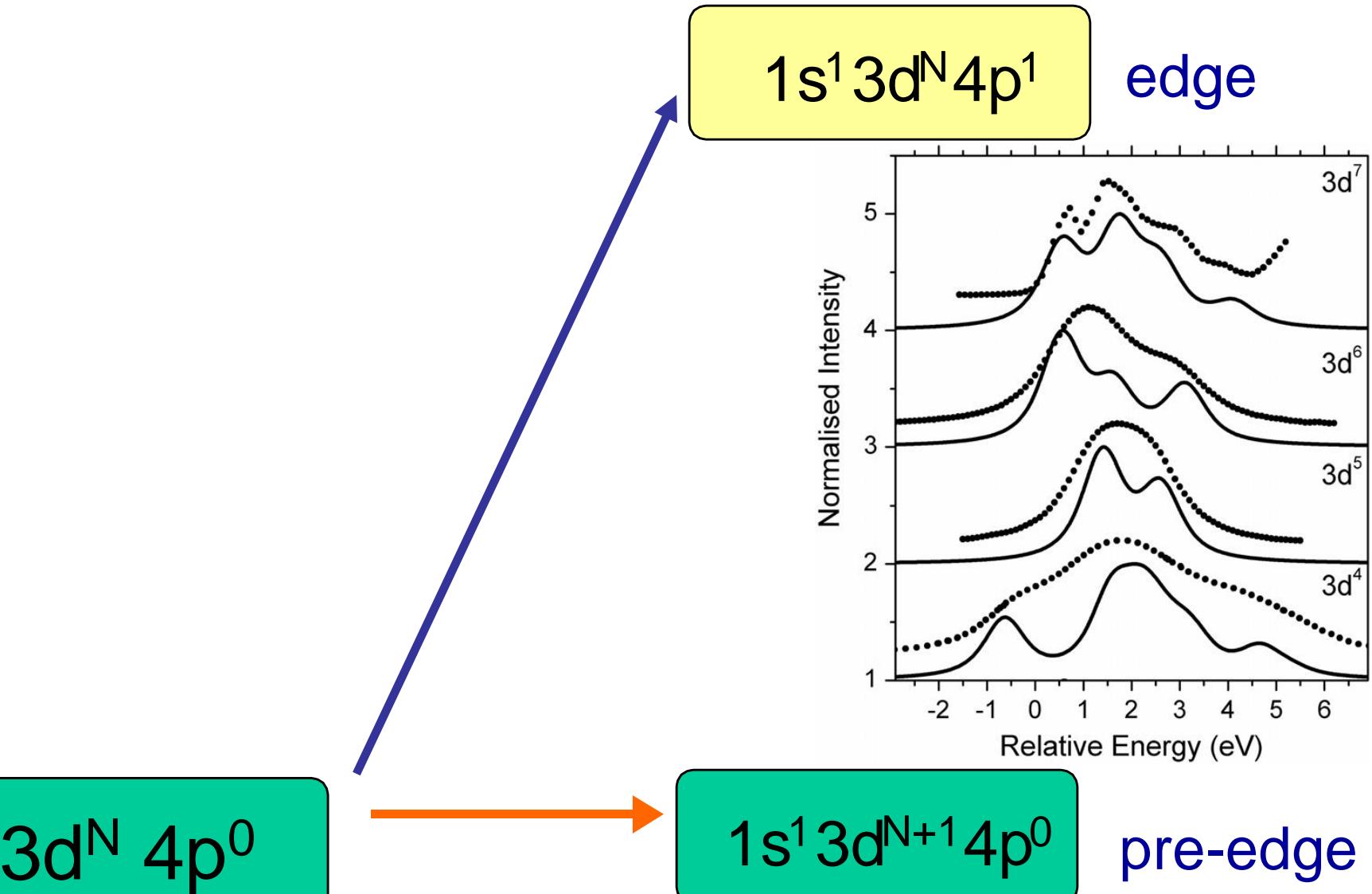


3d systems  
1s > p dipole  
1s > 3d quadrupole

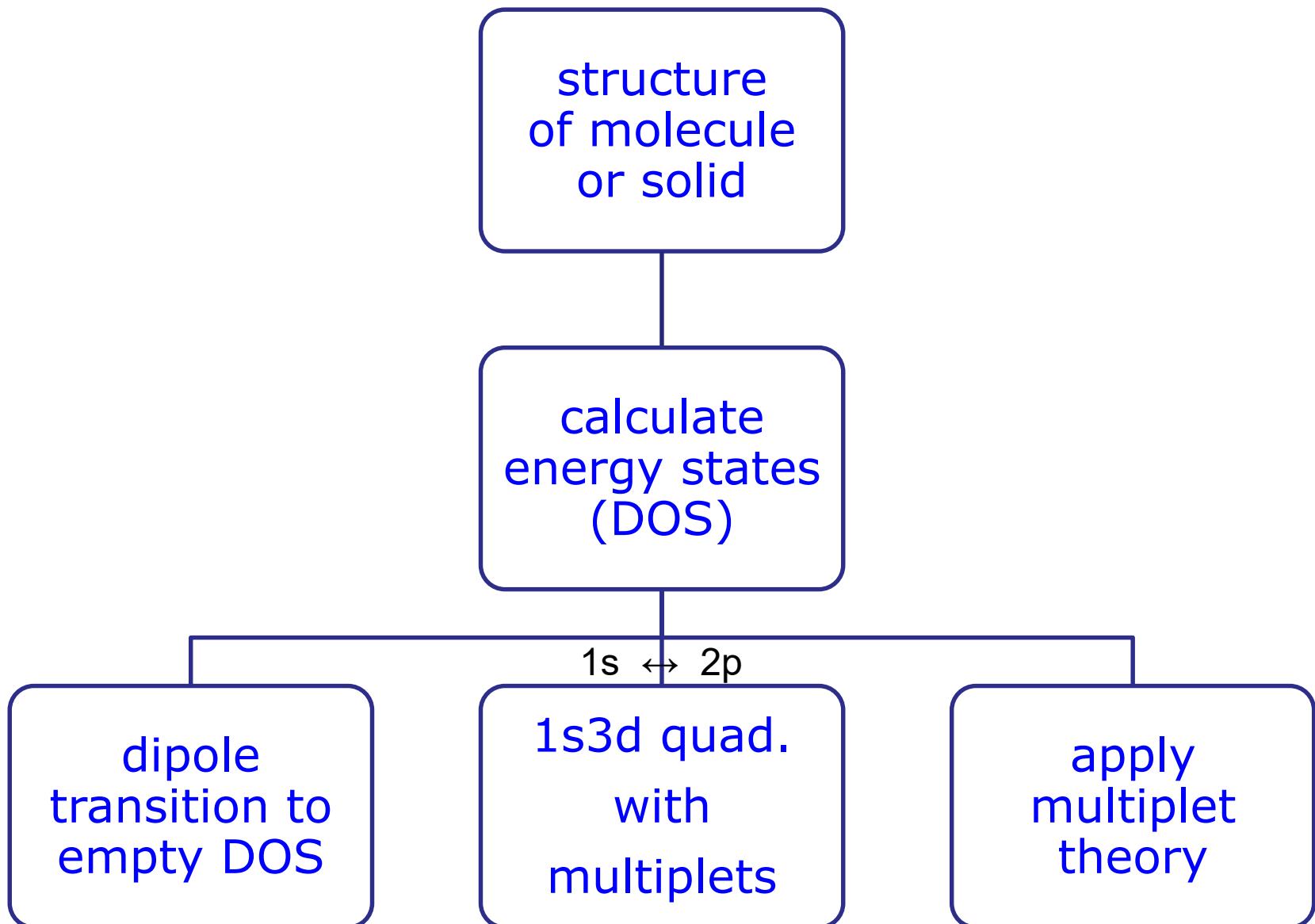
# pre-edge (interpretation)



# pre-edge (interpretation)



# XAS spectral shape



# XAS multiplet codes

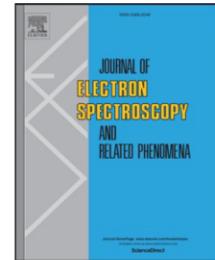
Journal of Electron Spectroscopy and Related Phenomena 249 (2021) 147061



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## Journal of Electron Spectroscopy and Related Phenomena

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## 2p x-ray absorption spectroscopy of 3d transition metal systems

Frank M.F. de Groot<sup>a,\*</sup>, Hebatalla Elnaggar<sup>a</sup>, Federica Frati<sup>a</sup>, Ru-pan Wang<sup>a</sup>,  
Mario U. Delgado-Jaime<sup>b</sup>, Michel van Veenendaal<sup>c,d</sup>, Javier Fernandez-Rodriguez<sup>e</sup>,  
Maurits W. Haverkort<sup>f</sup>, Robert J. Green<sup>g,h</sup>, Gerrit van der Laan<sup>i</sup>, Yaroslav Kvashnin<sup>j</sup>,  
Atsushi Hariki<sup>k</sup>, Hidekazu Ikeno<sup>l</sup>, Harry Ramanantoanina<sup>m</sup>, Claude Daul<sup>n</sup>, Bernard Delley<sup>o</sup>,  
Michael Odelius<sup>p</sup>, Marcus Lundberg<sup>q</sup>, Oliver Kuhn<sup>r</sup>, Sergey I. Bokarev<sup>r</sup>, Eric Shirley<sup>s</sup>,  
John Vinson<sup>s</sup>, Keith Gilmore<sup>t</sup>, Mauro Stener<sup>u</sup>, Giovanna Fronzoni<sup>u</sup>, Piero Decleva<sup>u</sup>,  
Peter Kruger<sup>v</sup>, Marius Retegan<sup>w</sup>, Yves Joly<sup>x</sup>, Christian Vorwerk<sup>y</sup>, Claudia Draxl<sup>y</sup>, John Rehr<sup>z</sup>,  
Arata Tanaka<sup>A</sup>

CODES: CTM, QUANTY, multiX, etc.

# Multiplet calculations

ATOMIC

valence e-e interactions  $F_{dd}$   
core-valence e-e  $F_{pd}$   $G_{pd}$   
core & valence spin-orbit  $\zeta$

4f, 5f

SYMMETRY

crystal field 10Dq, D<sub>s</sub>, D<sub>t</sub>  
molecular field, M or H  
e-e screening  $\kappa$

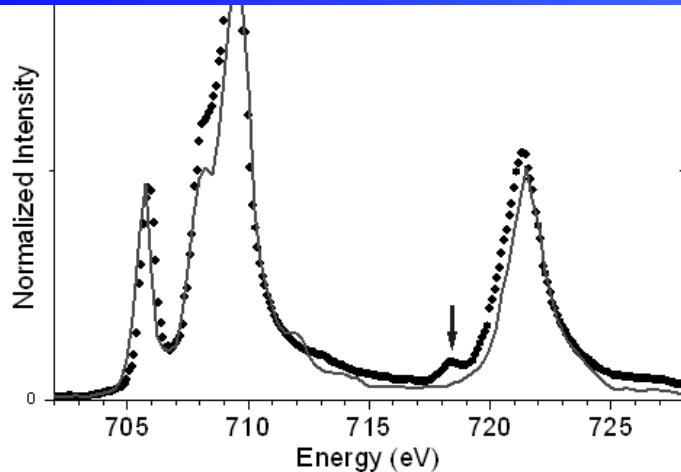
3d  
(4d, 5d)

BONDING  
& SCREENING

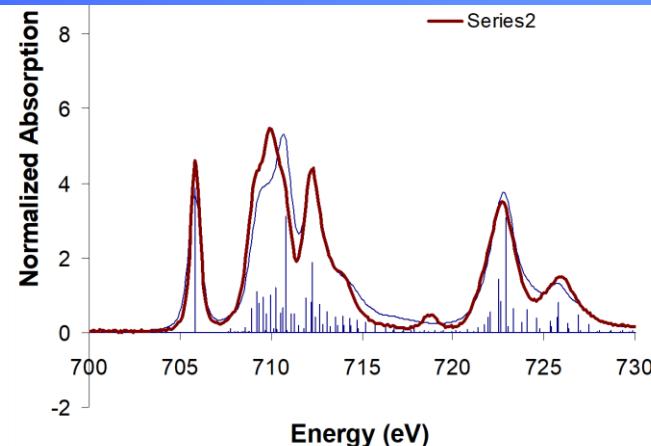
charge transfer  $\Delta$ , U, Q  
hopping  $T_\Gamma$

covalent  
3d & 4f

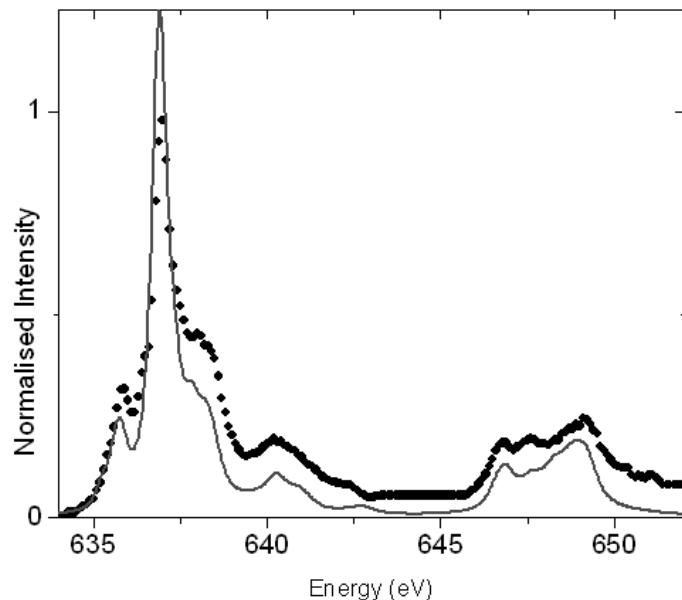
# Multiplet calculations



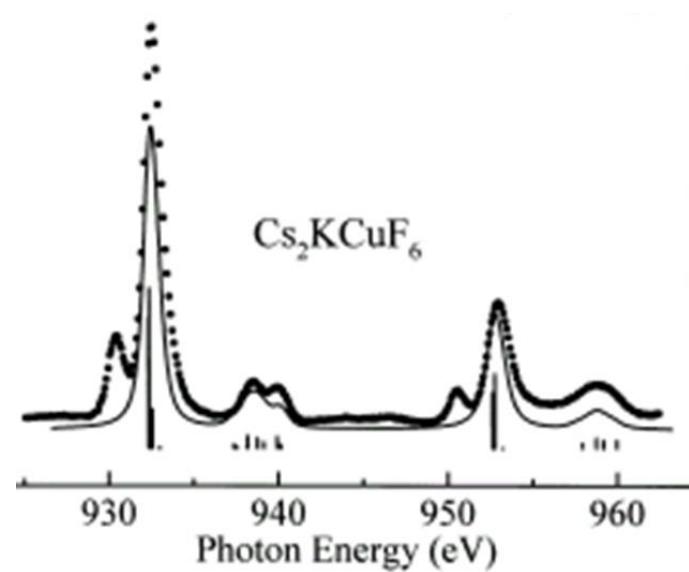
Fe(III)-tacn (low-spin Oh)



Fe(III)-CN (MLCT & LMCT)



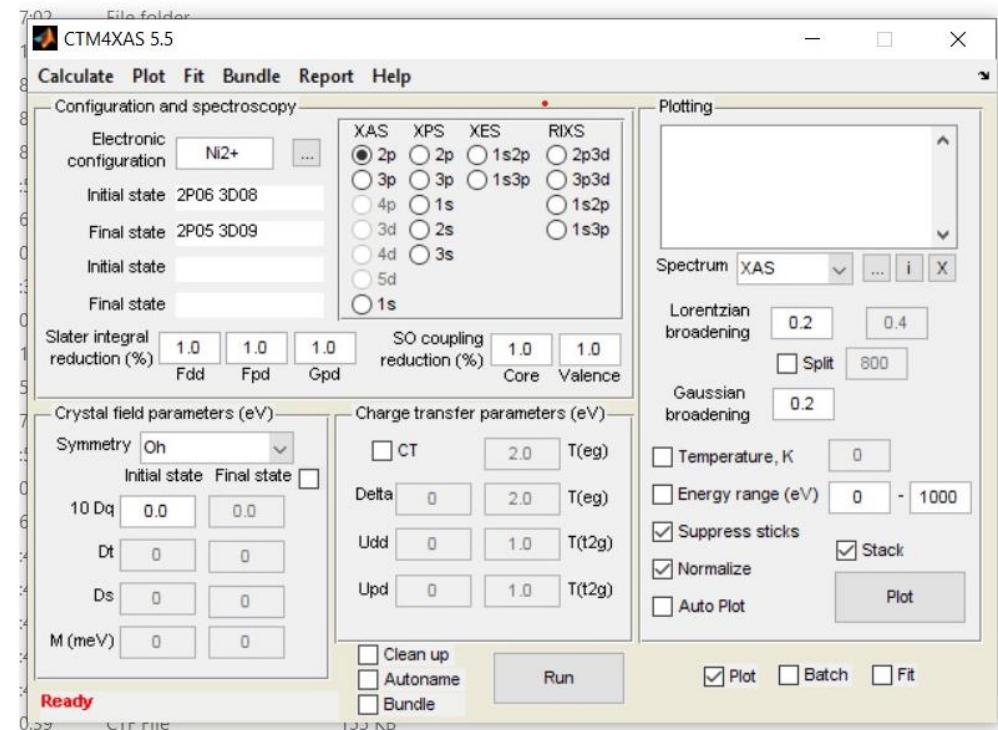
MnO (high-spin Oh)



Cu(III) (strong LMCT)

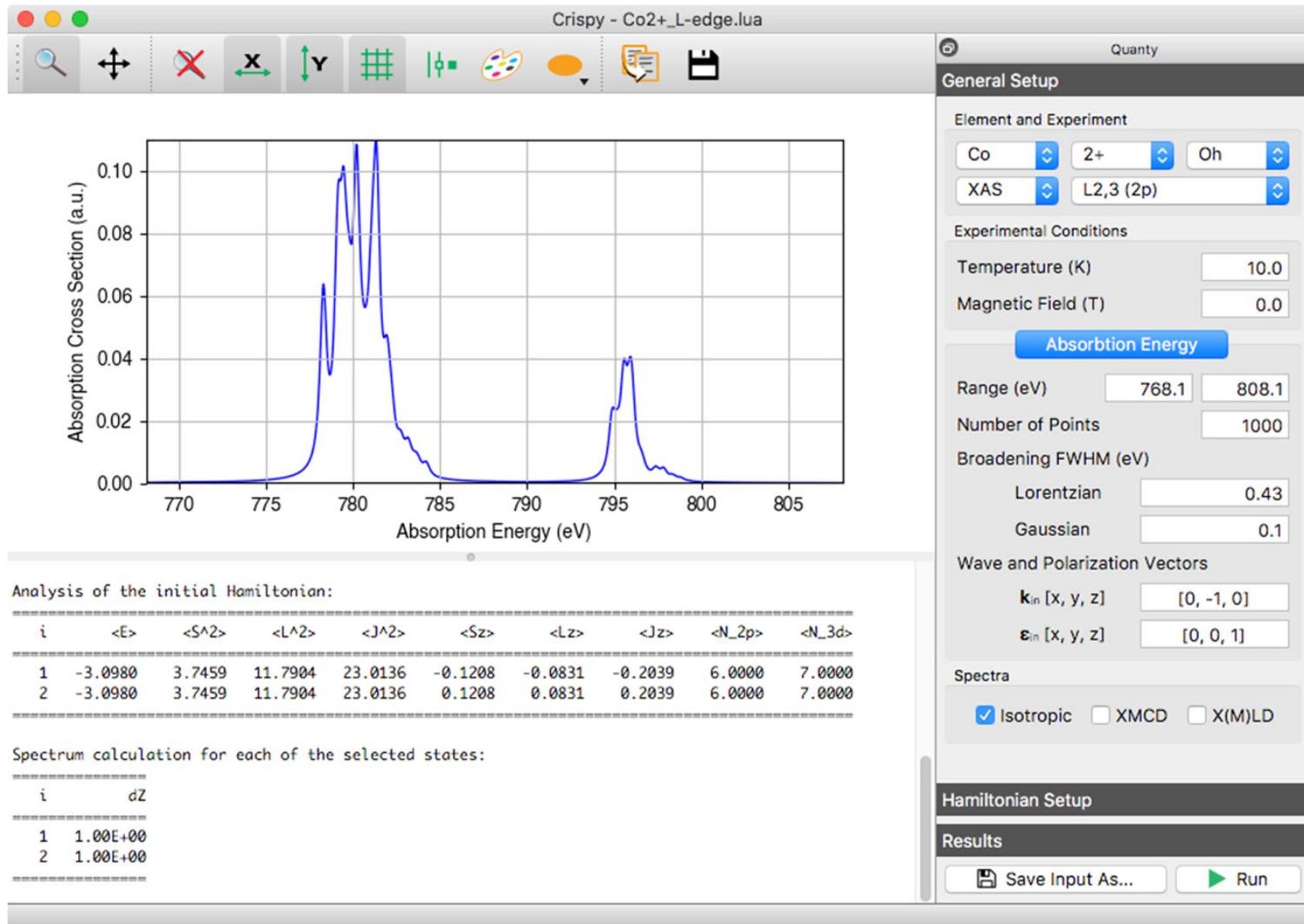
# Multiplet calculations: CTM4XAS

- Calculations for all core level spectroscopies of open shell systems (when DFT and TD-DFT break down)
- Interfaces: XAS & XMCD; XPS; XES & RIXS
- Also: Auger, resonant photoemission, coincidence (not in interface)
- Monday or Tuesday break: can teach how to get started with calculations CTM4XAS and/or QUANTY

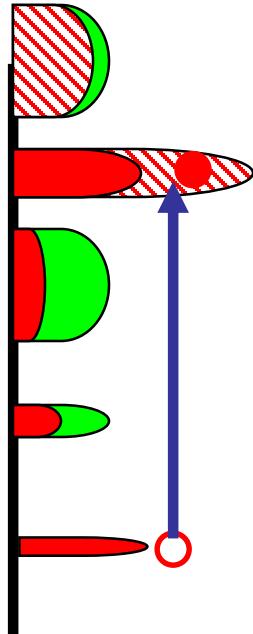


f.m.f.degroot@uu.nl

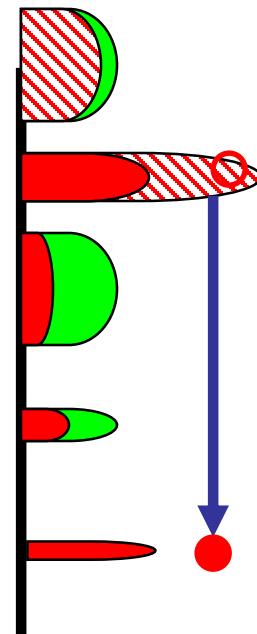
# Multiplet calculations: quantity



# Decay of the core hole



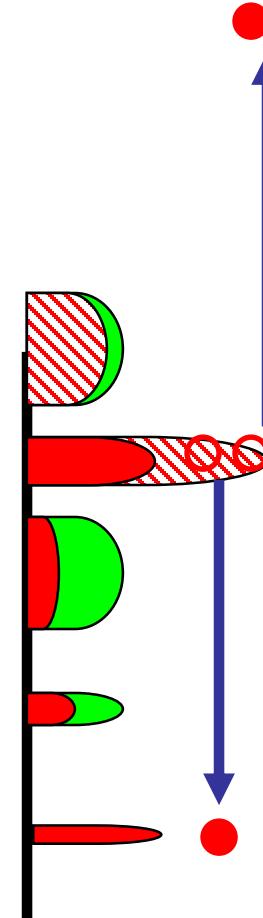
XAS



x-ray emission  
1%

(2 meV)

500 nm



Auger  
99%

200 meV

5 nm

# XAS: detection techniques

## Transmission

(homogeneous, saturation > **thin samples**)

below ~50 nm

## Electron Yield

> **surface** sensitive (5 nm)

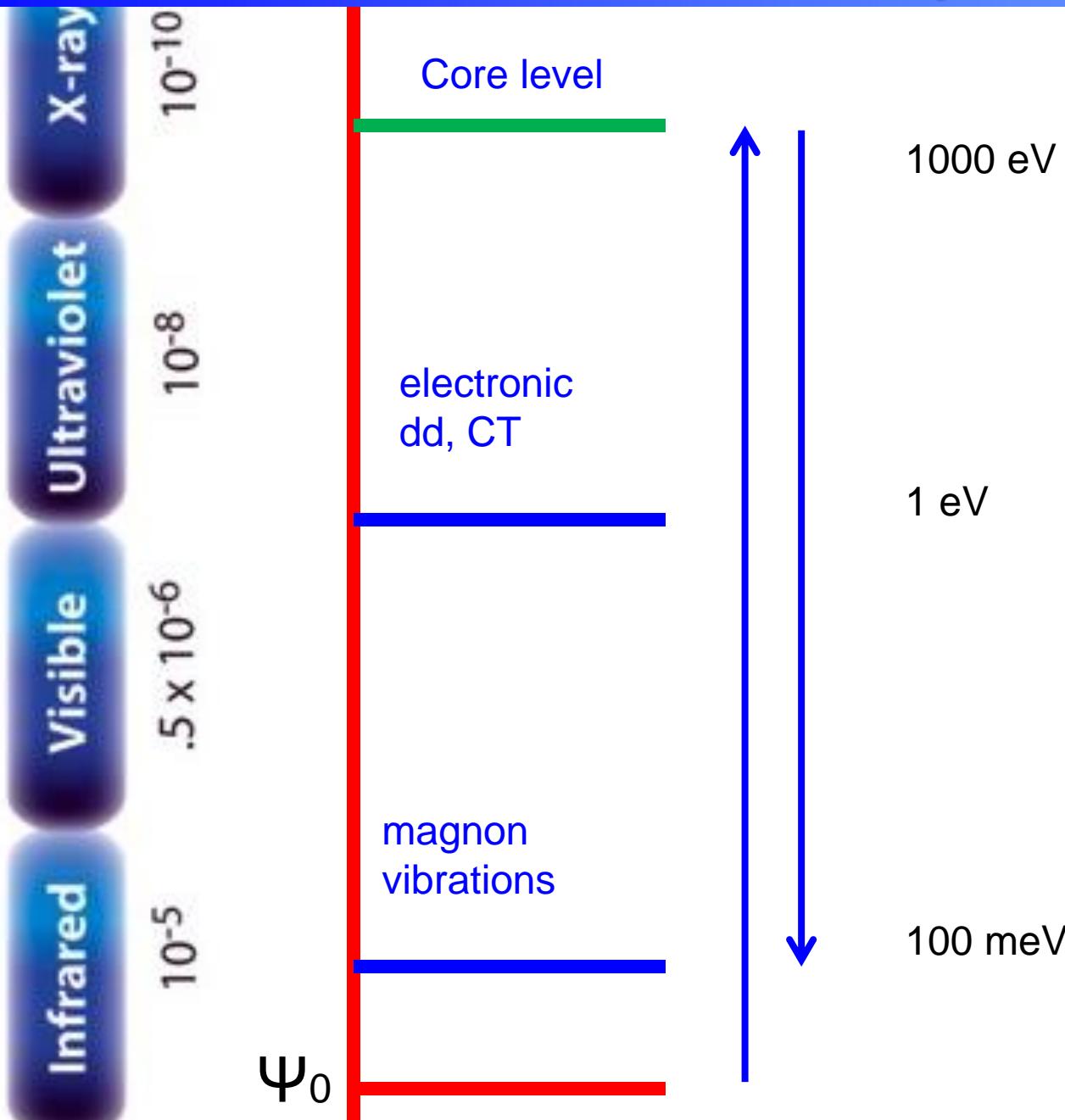
## Fluorescence Yield

saturation = energy dep. probing depth  
self-absorption = re-absorption emitted x-rays

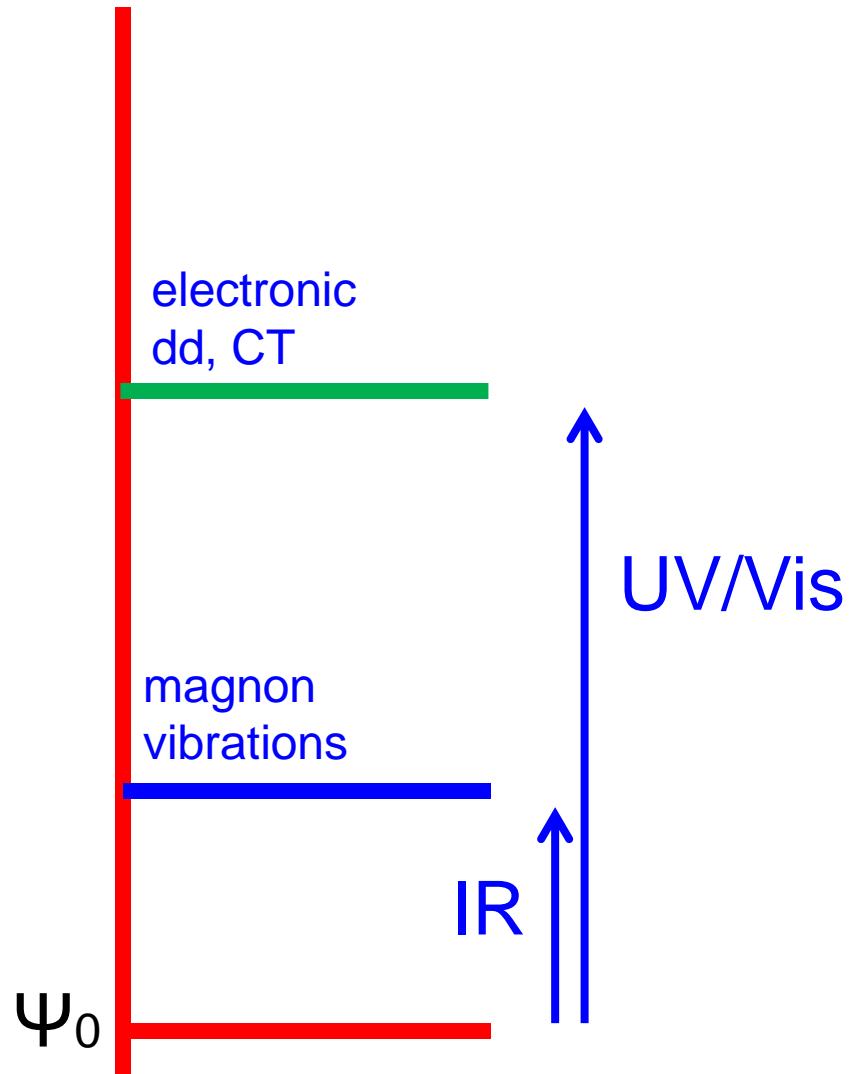
> **dilute samples** below ~5%

[*L* edges are *intrinsically distorted*]

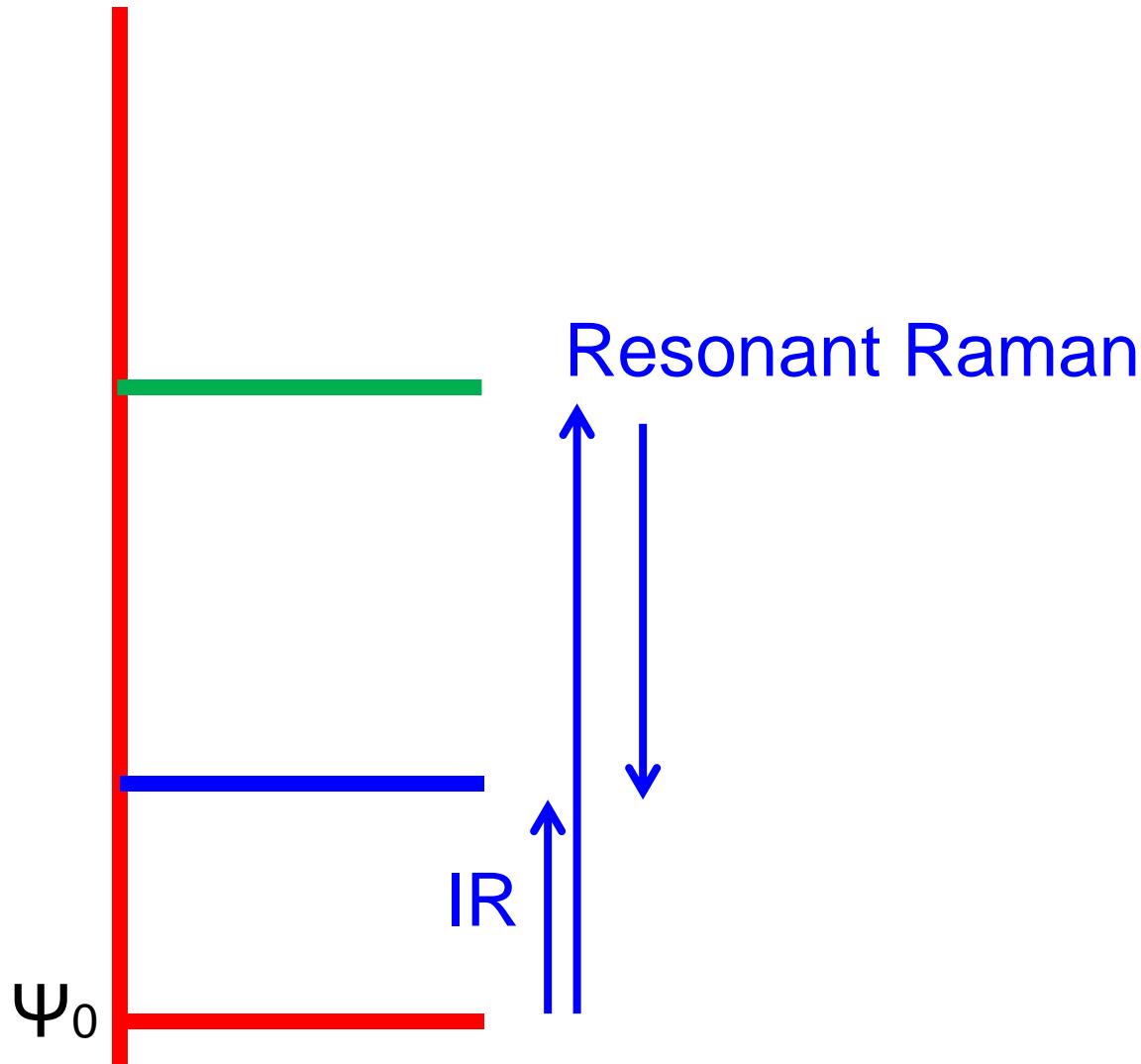
# resonant inelastic x-ray scattering



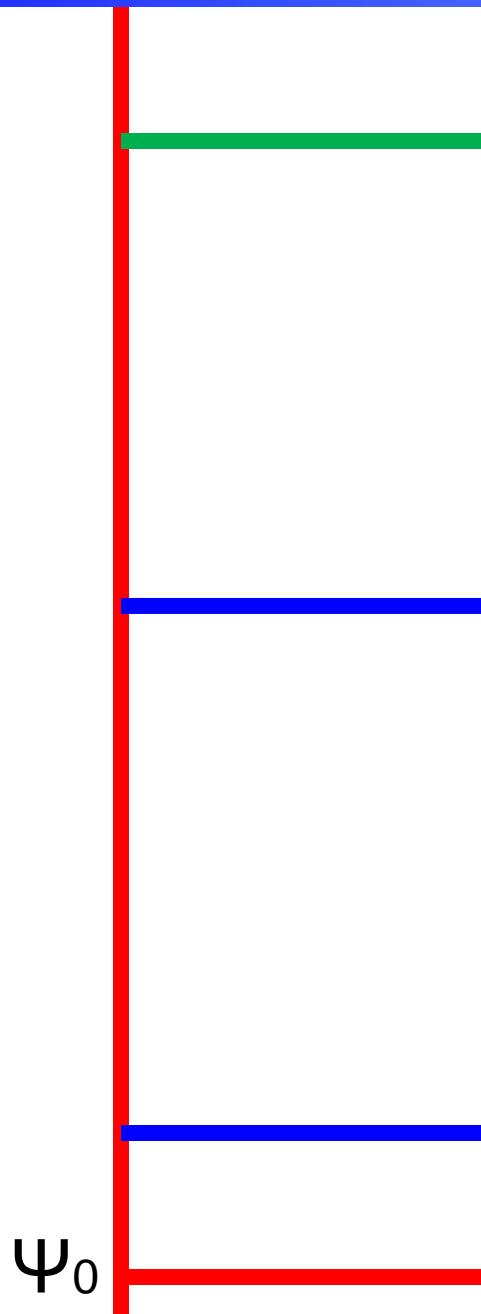
# resonant inelastic x-ray scattering



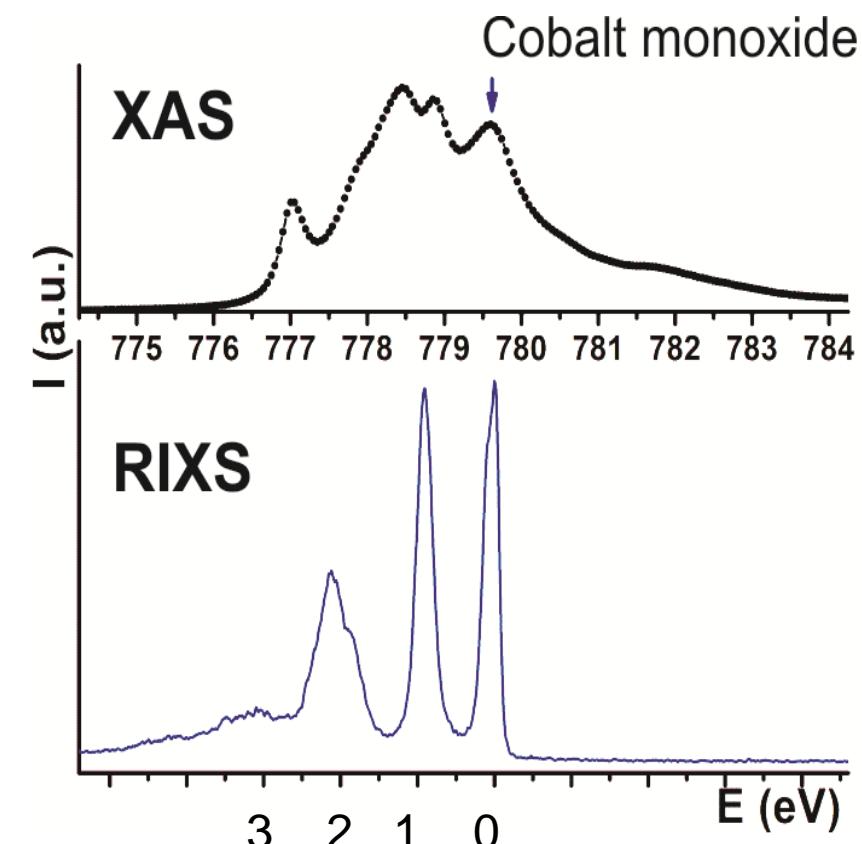
# resonant inelastic x-ray scattering



# resonant inelastic x-ray scattering



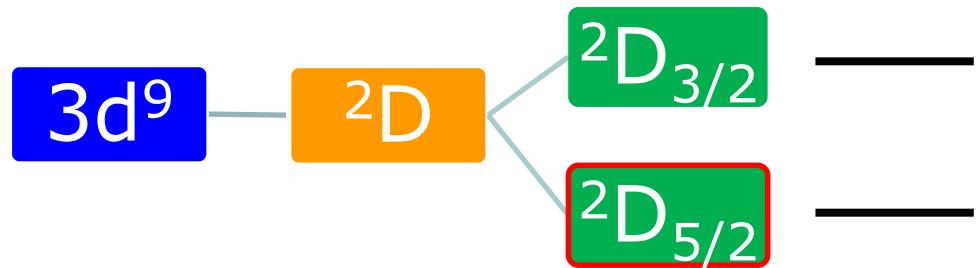
Resonant X-ray Raman



# Cu<sup>2+</sup> 2p3d RIXS (from 3d<sup>9</sup> to 2p<sup>5</sup>3d<sup>10</sup> to 3d<sup>9</sup>)

## ATOMIC

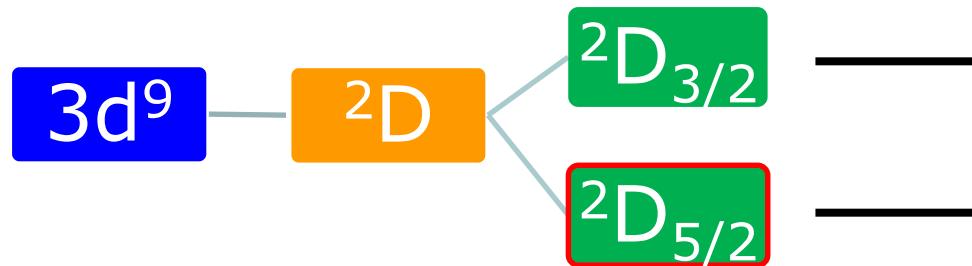
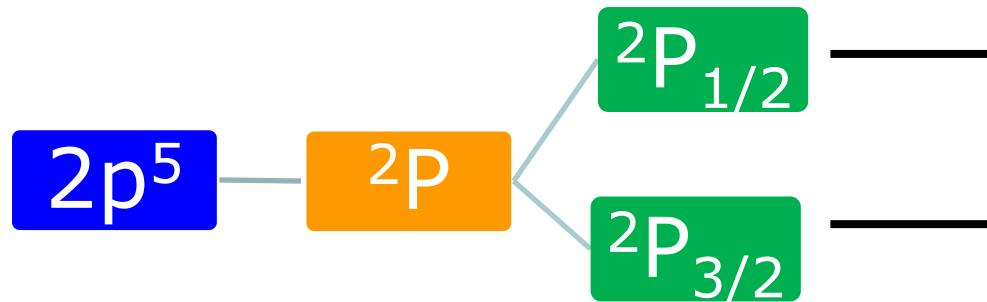
Dipole selection rule:  
 $\Delta J = -1, 0 \text{ or } +1$   
 $J = J' \neq 0$



# Cu<sup>2+</sup> 2p3d RIXS (from 3d<sup>9</sup> to 2p<sup>5</sup>3d<sup>10</sup> to 3d<sup>9</sup>)

## ATOMIC

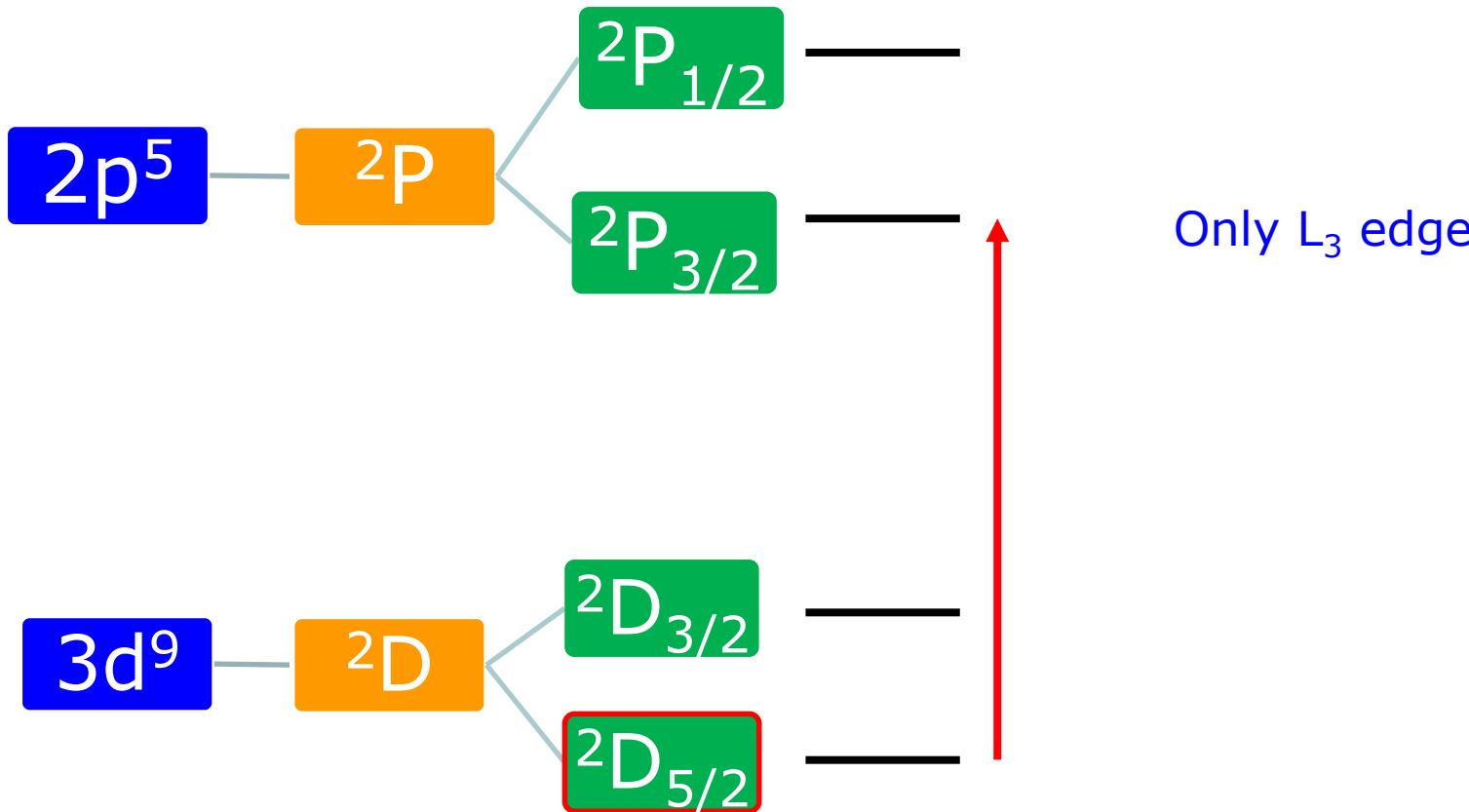
Dipole selection rule:  
 $\Delta J = -1, 0 \text{ or } +1$   
 $J = J' \neq 0$



# Cu<sup>2+</sup> 2p XAS (from 3d<sup>9</sup> to 2p<sup>5</sup>3d<sup>10</sup>)

## ATOMIC

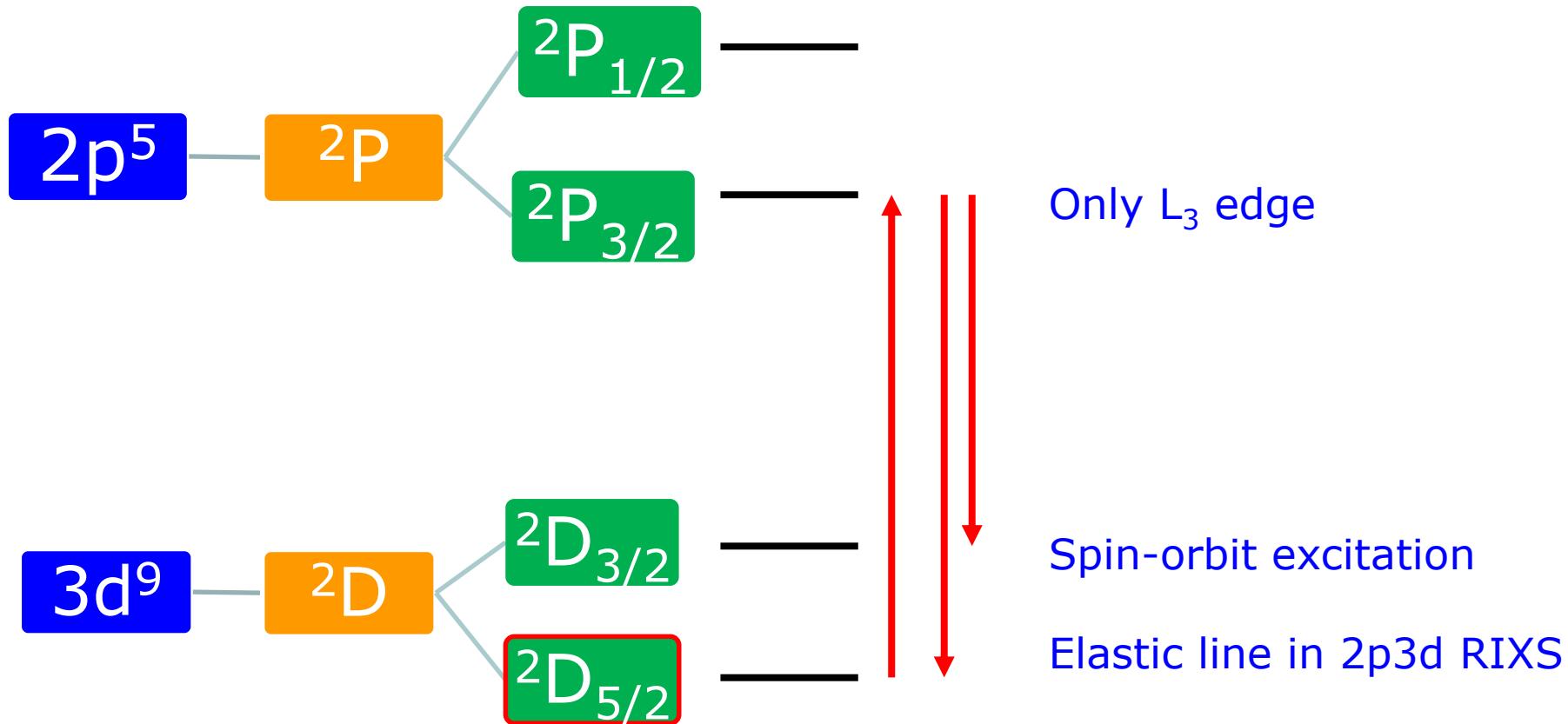
Dipole selection rule:  
 $\Delta J = -1, 0 \text{ or } +1$   
 $J = J' \neq 0$



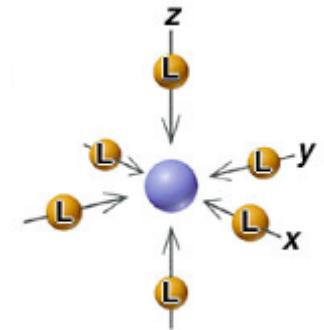
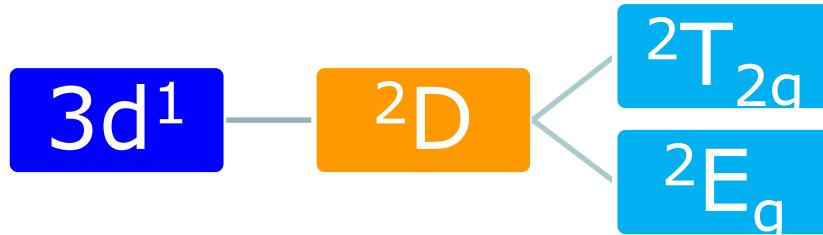
# Cu<sup>2+</sup> 2p3d RIXS (from 3d<sup>9</sup> to 2p<sup>5</sup>3d<sup>10</sup> to 3d<sup>9</sup>)

## ATOMIC

Dipole selection rule:  
 $\Delta J = -1, 0 \text{ or } +1$   
 $J = J' \neq 0$



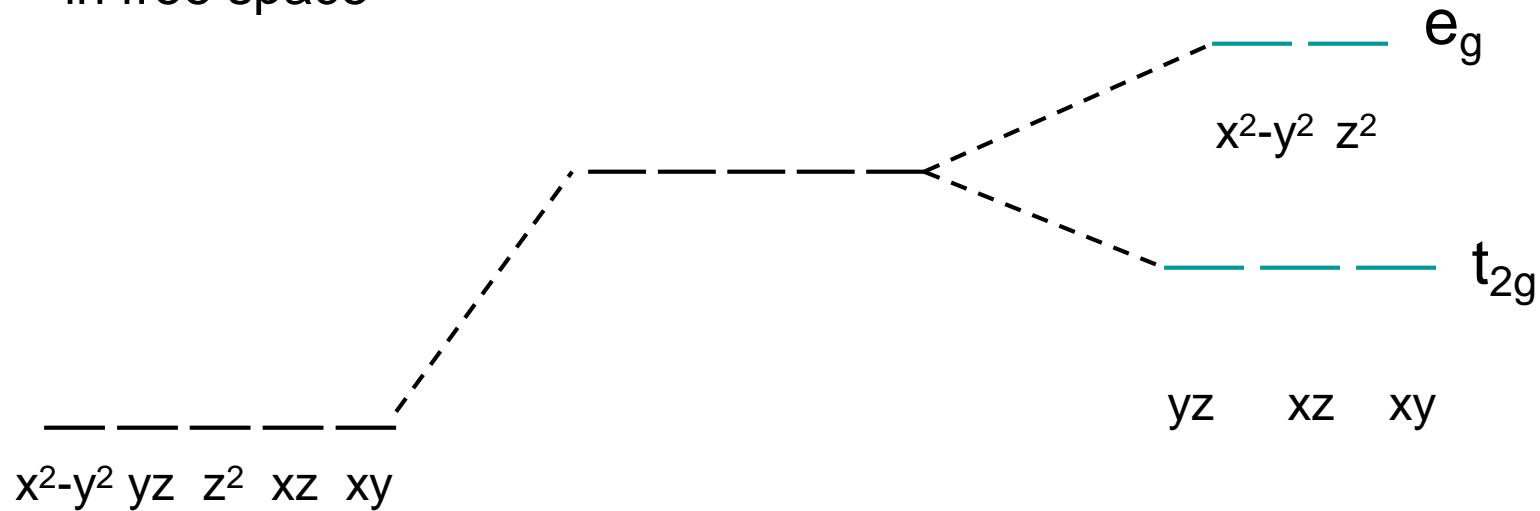
# CRYSTAL FIELD EFFECT



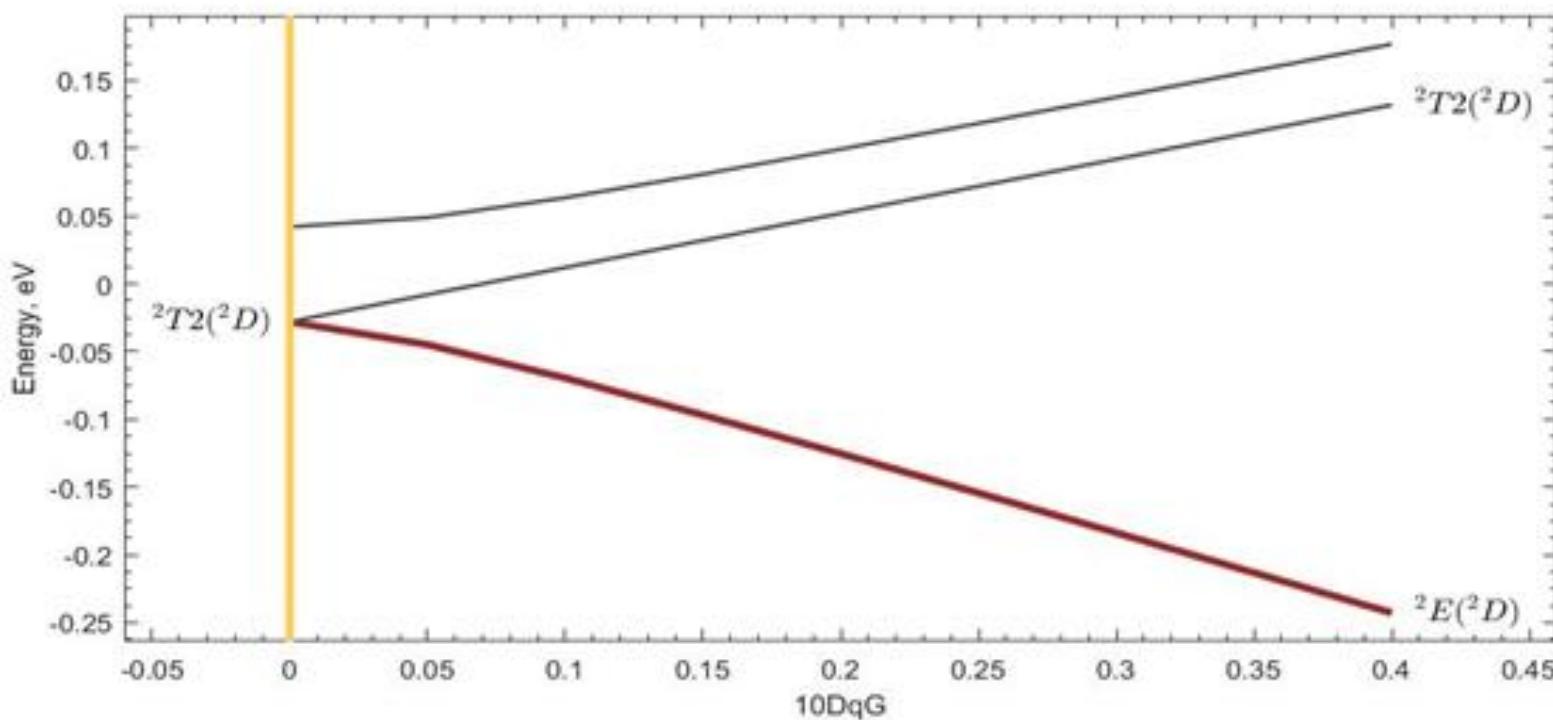
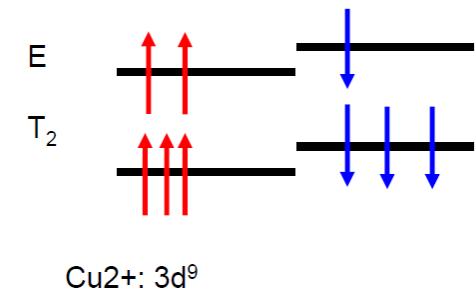
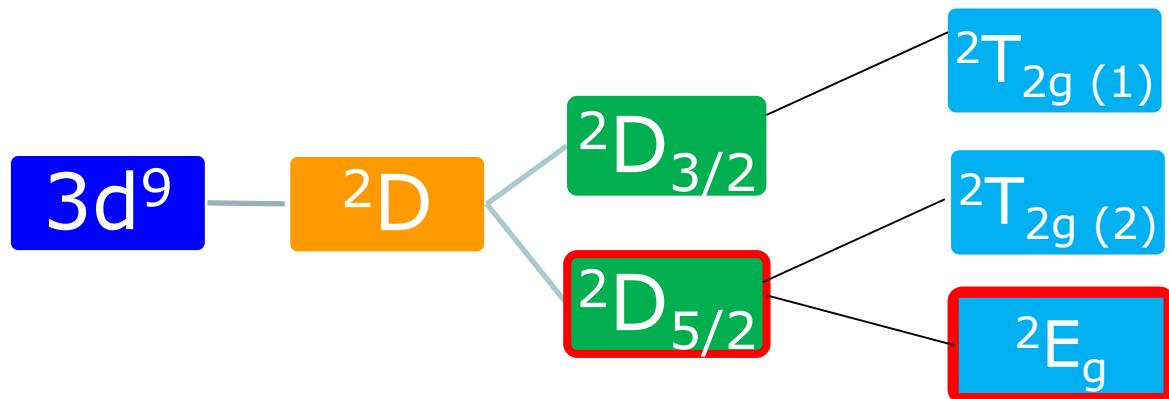
metal ion  
in free space

in symmetrical field

in octahedral ligand field



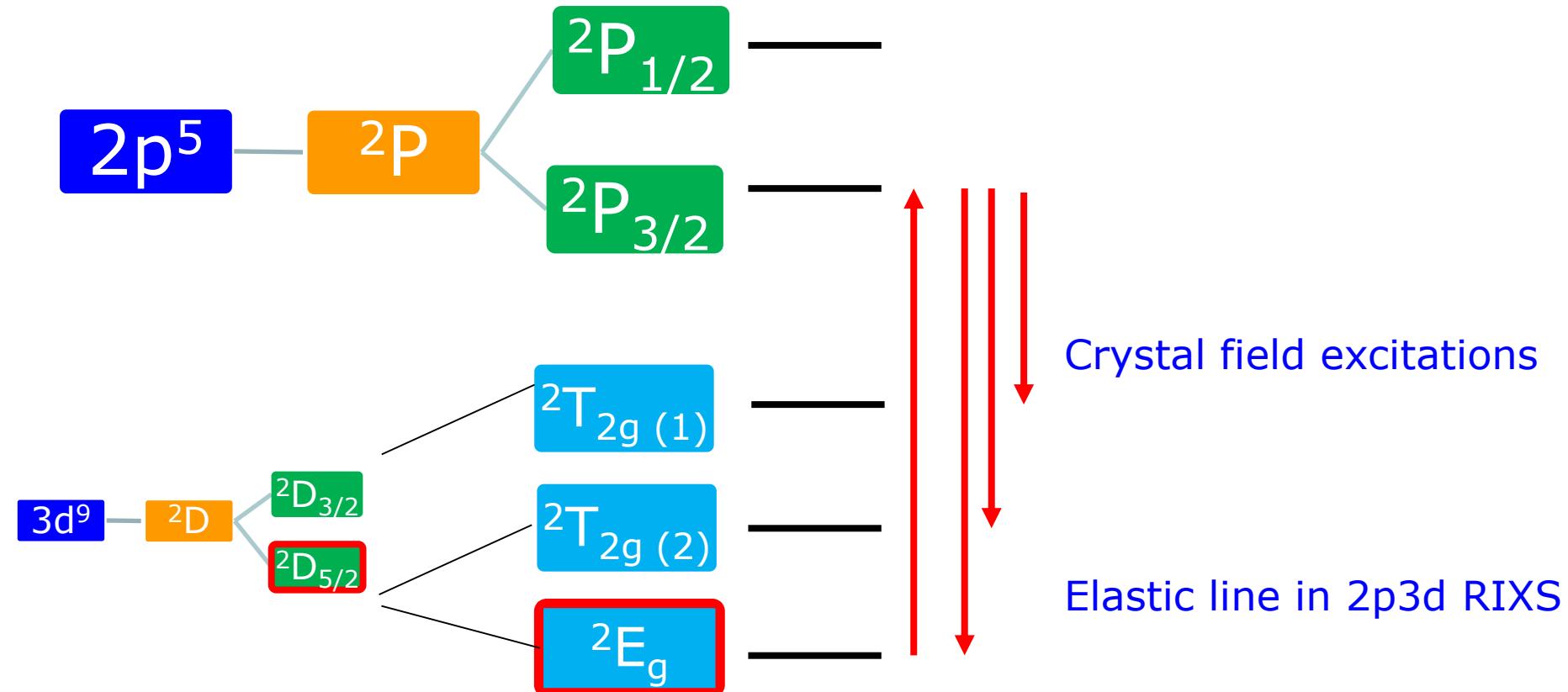
# CRYSTAL FIELD EFFECT



# Cu<sup>2+</sup> 2p3d RIXS (from 3d<sup>9</sup> to 2p<sup>5</sup>3d<sup>10</sup> to 3d<sup>9</sup>)

## OCTAHEDRAL

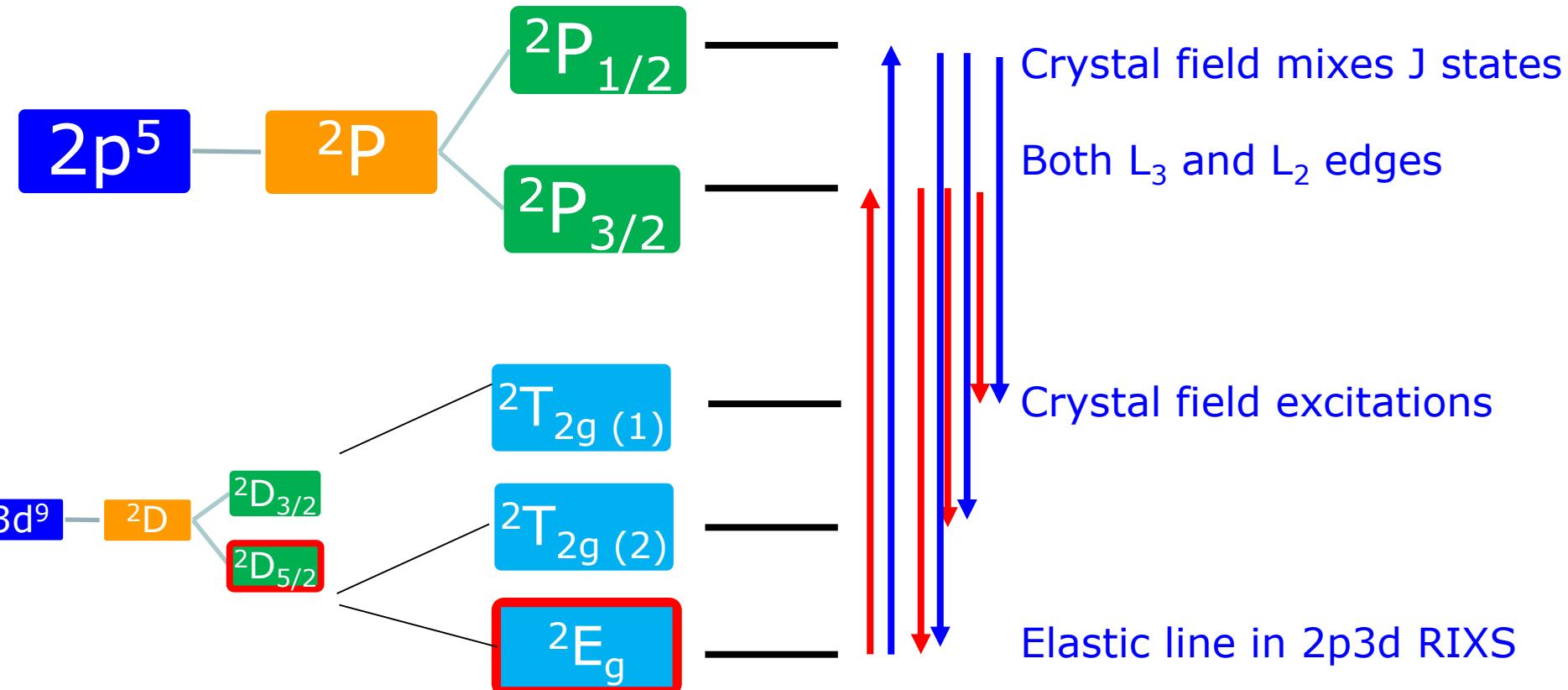
Dipole selection rule:  
 $\Delta J = -1, 0 \text{ or } +1$   
 $J = J' \neq 0$



# Cu<sup>2+</sup> 2p3d RIXS (from 3d<sup>9</sup> to 2p<sup>5</sup>3d<sup>10</sup> to 3d<sup>9</sup>)

## OCTAHEDRAL

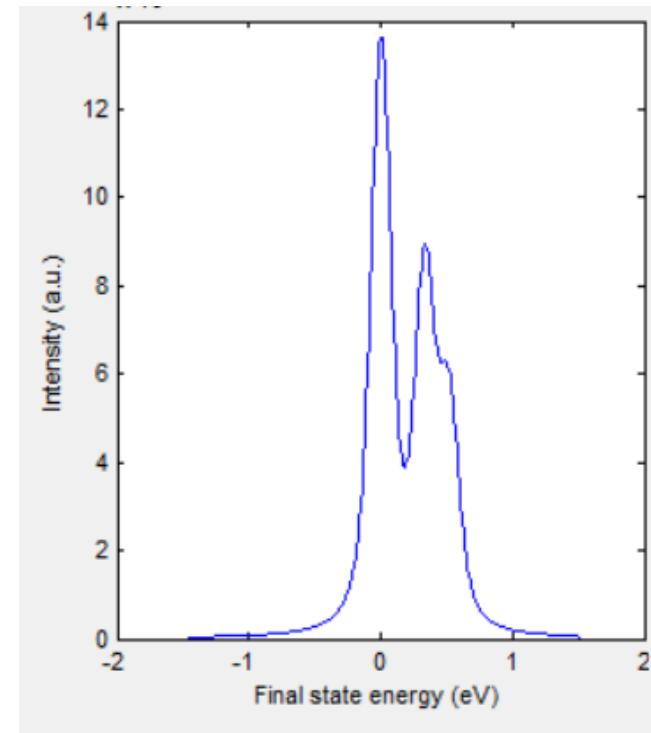
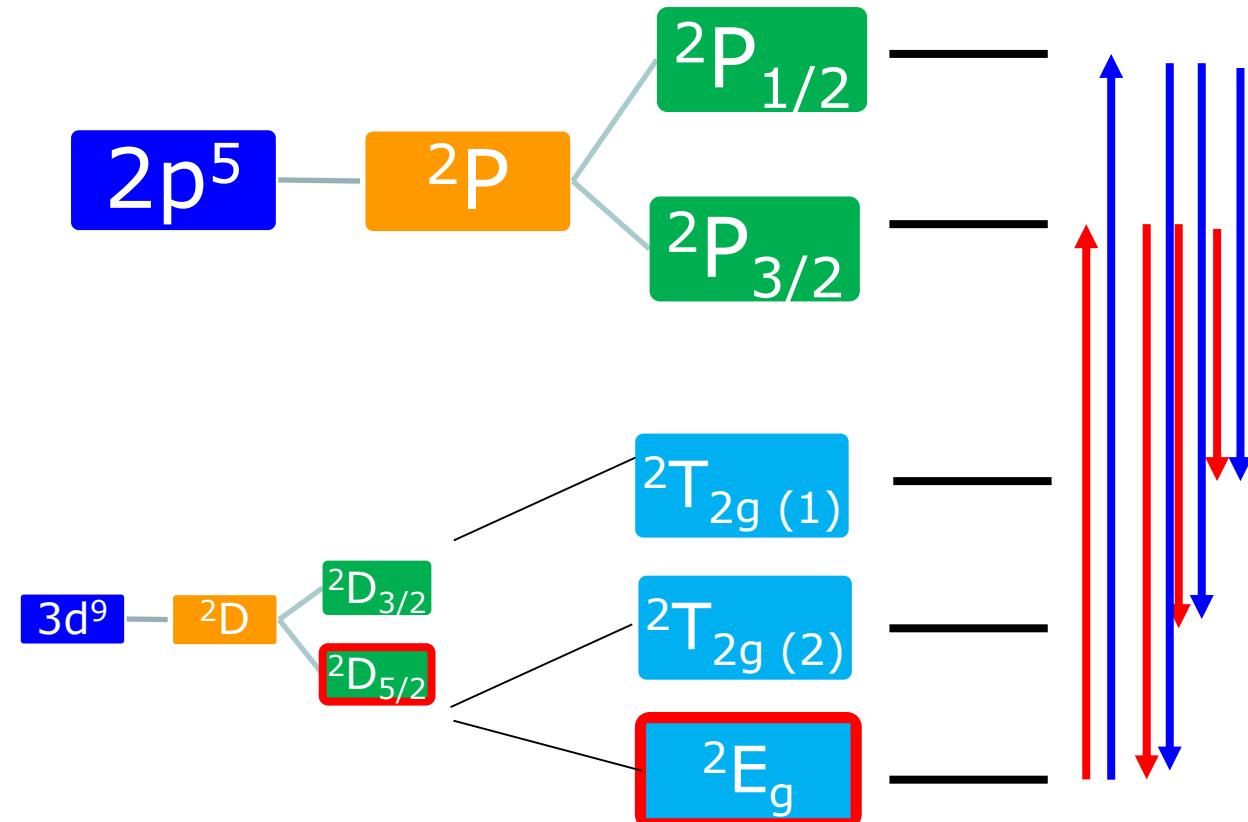
Dipole selection rule:  
 $\Delta J = -1, 0 \text{ or } +1$   
 $J = J' \neq 0$



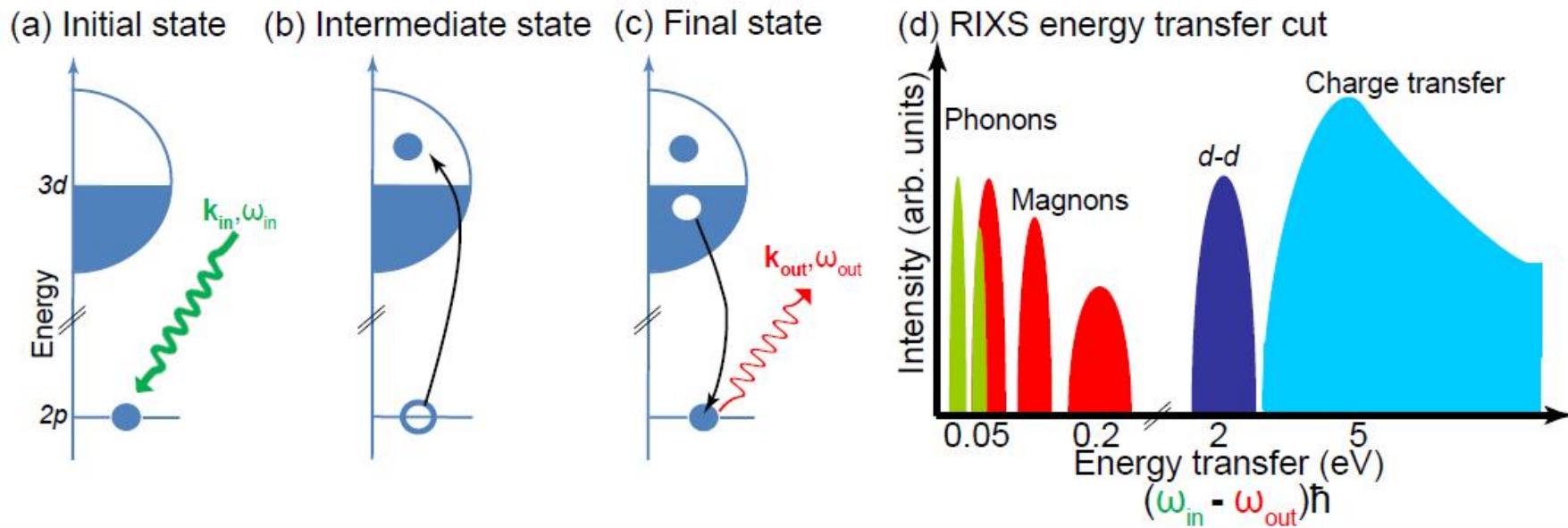
# Cu<sup>2+</sup> 2p3d RIXS (from 3d<sup>9</sup> to 2p<sup>5</sup>3d<sup>10</sup> to 3d<sup>9</sup>)

## OCTAHEDRAL

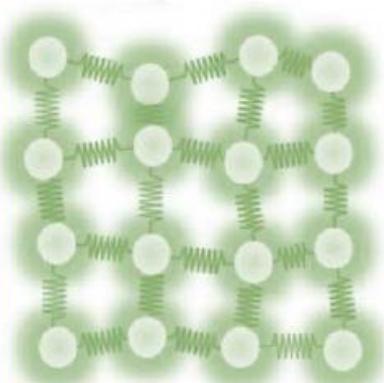
Dipole selection rule:  
 $\Delta J = -1, 0 \text{ or } +1$   
 $J = J' \neq 0$



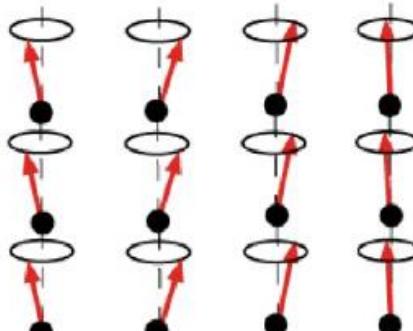
# 2p3d RIXS of transition metal ions



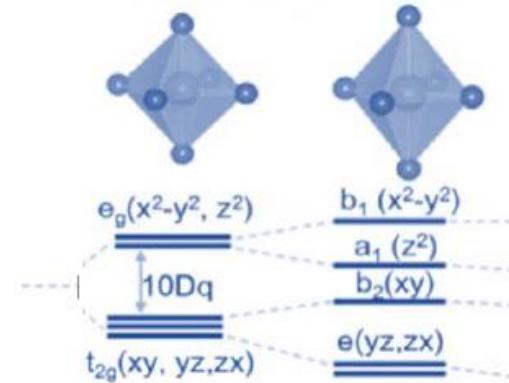
Phonons



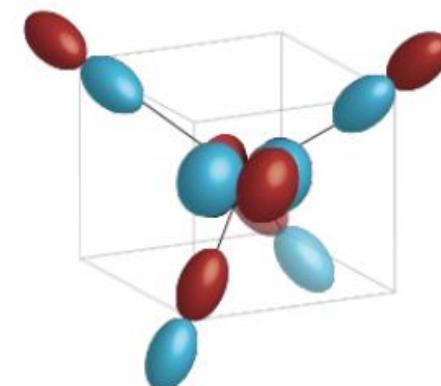
Magnons



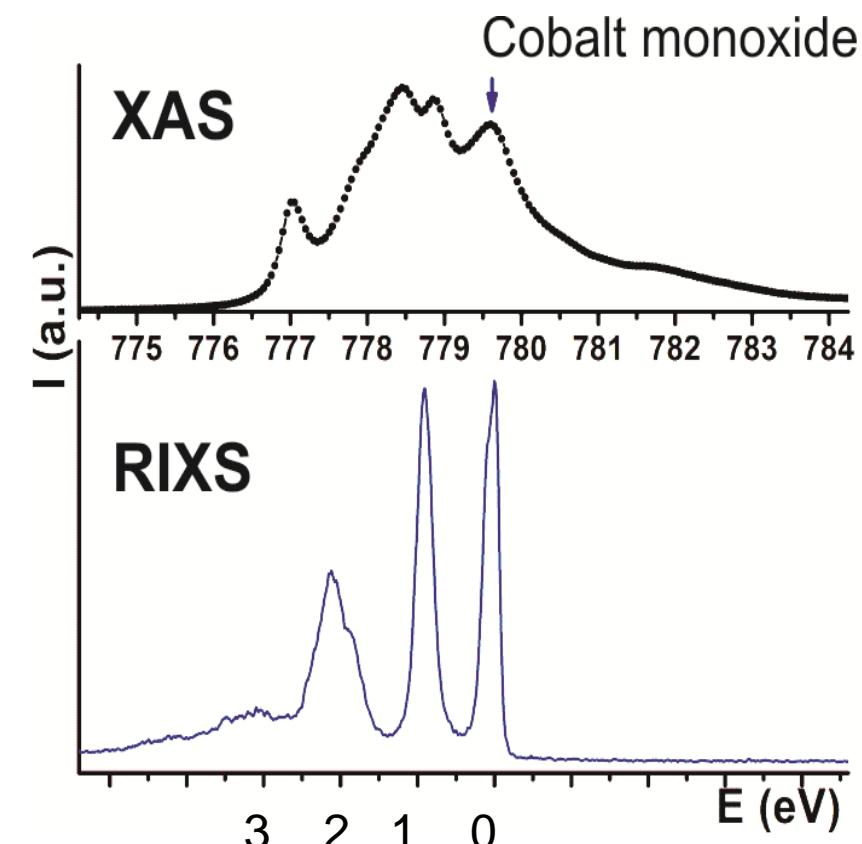
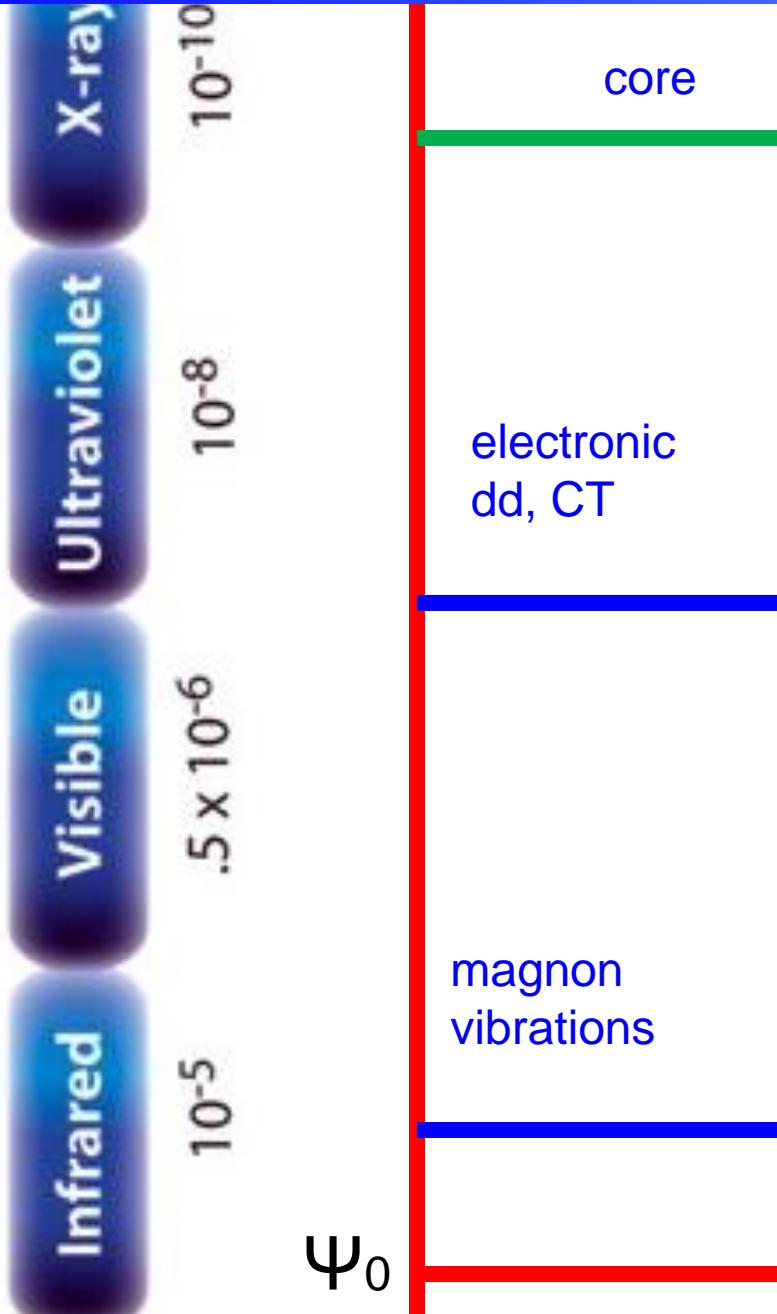
d-d Excitations



Charge Transfer



# 2p3d RIXS of transition metal ions



# 2p3d RIXS

polarization, angles  
(in, sample, out)

eV  
electron-electron  
crystal field  
charge transfer

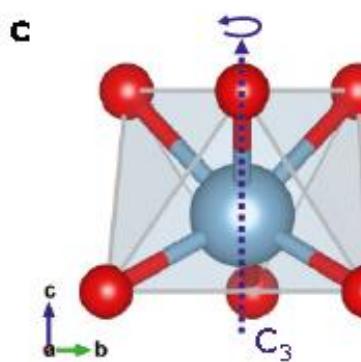
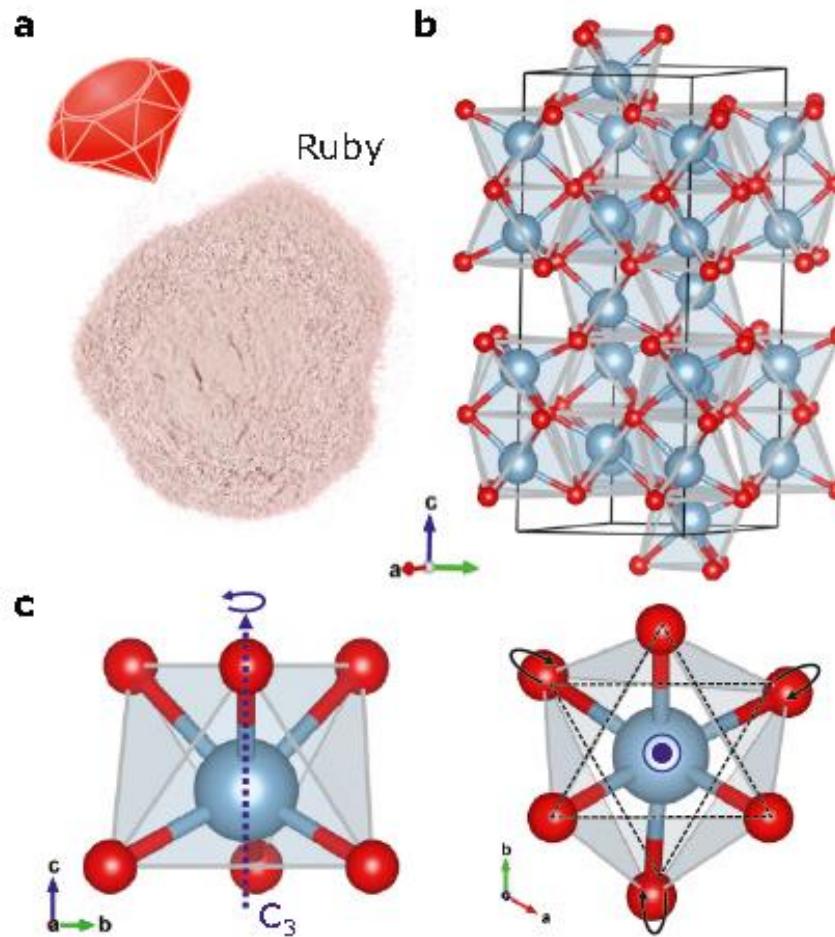
meV  
spin-orbit, magnetic  
distortions  
vibrations

RIXS<sub>1998</sub>:  
500 meV

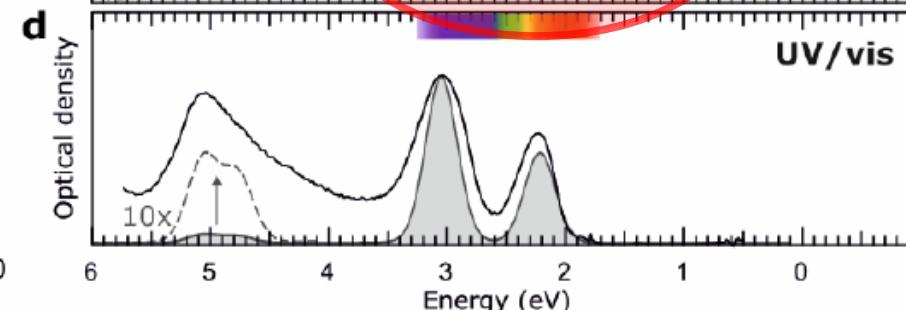
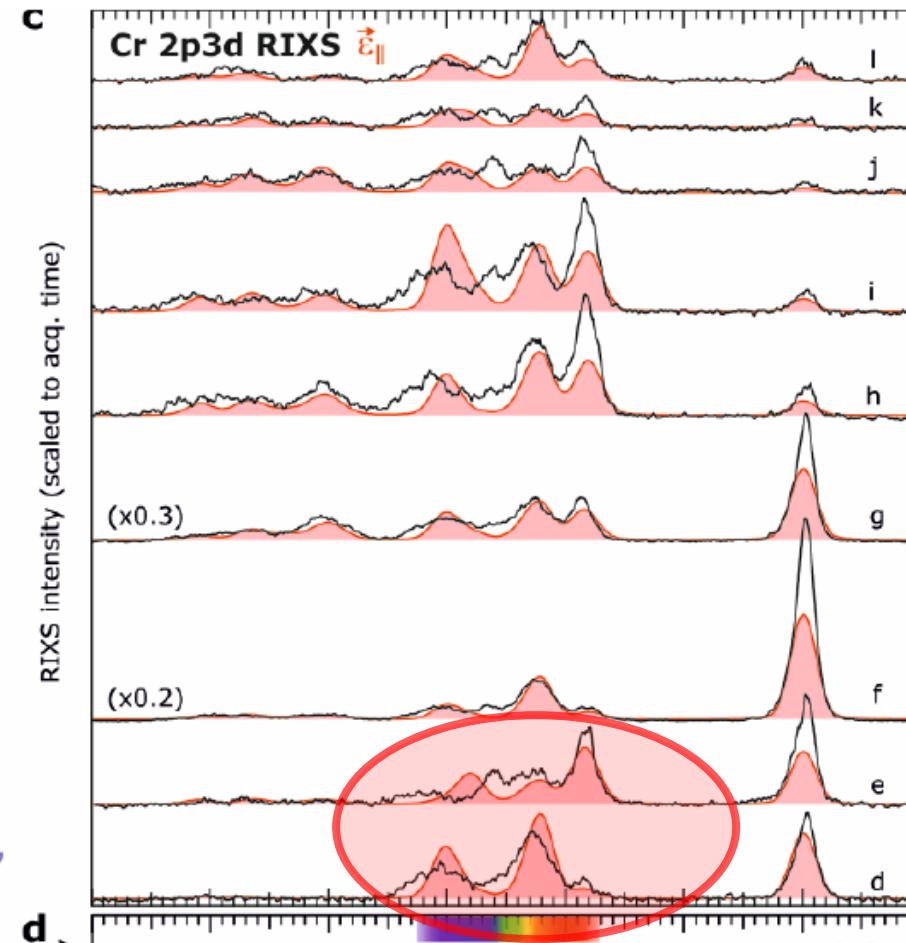
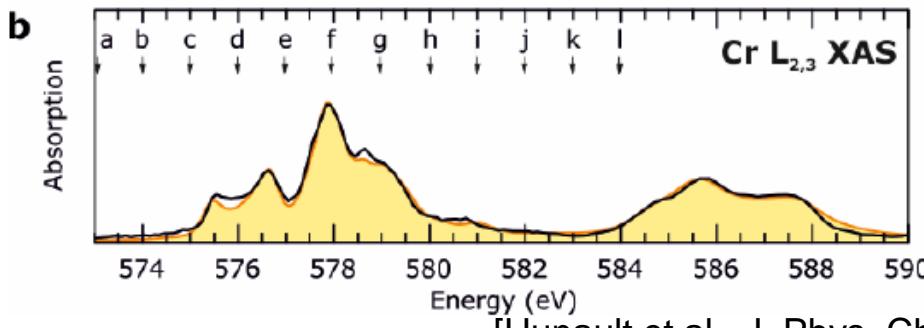
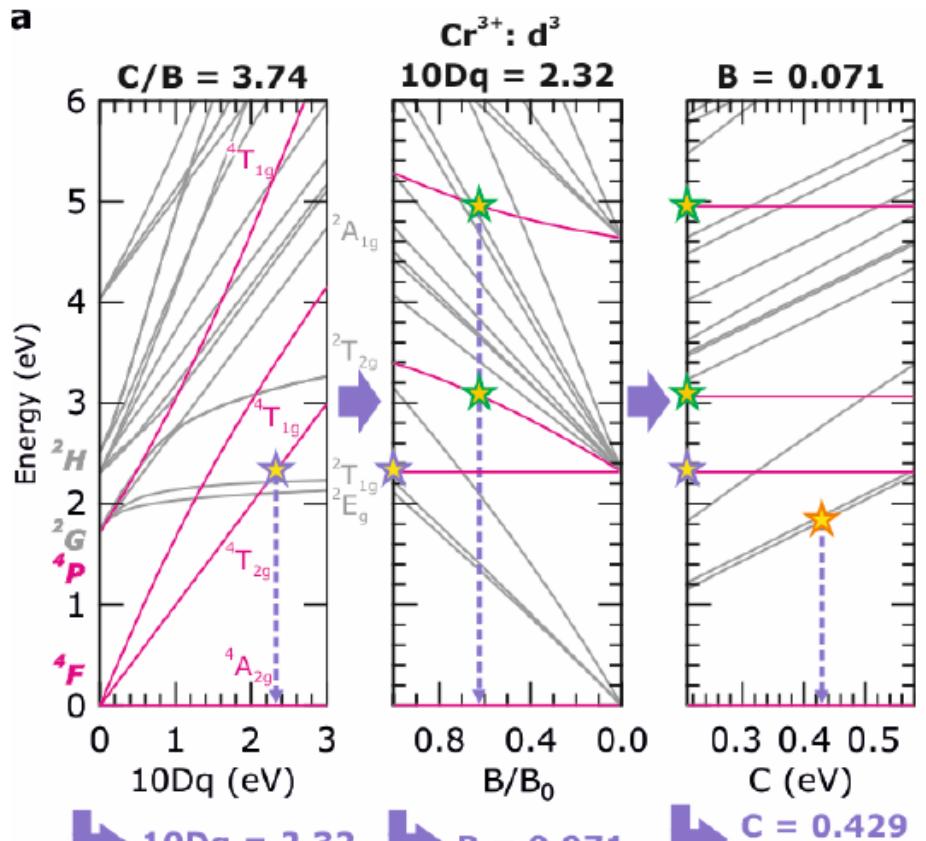


RIXS 2022:  
20 meV

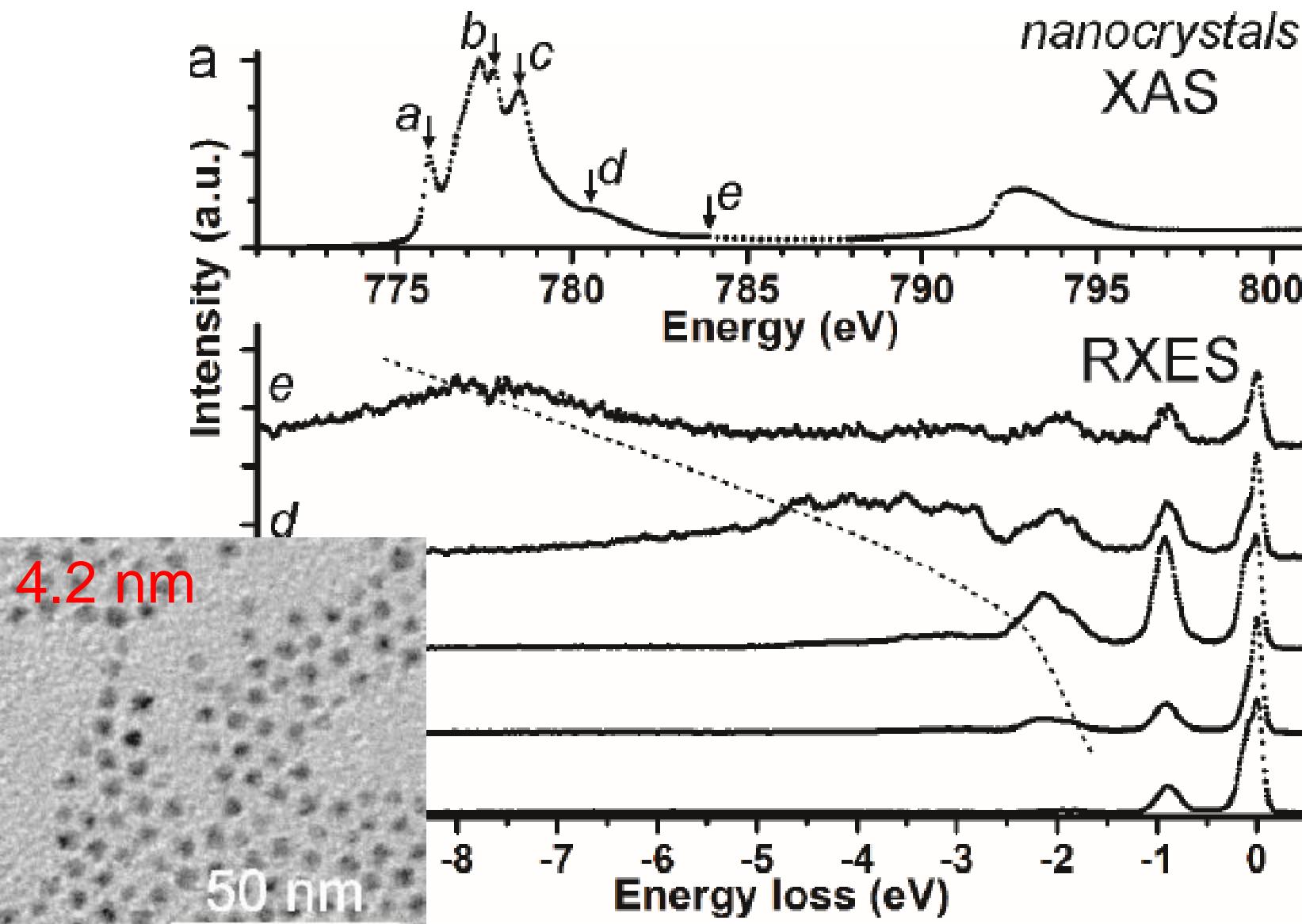
# 2p3d RIXS of isolated chromium ions (ruby)



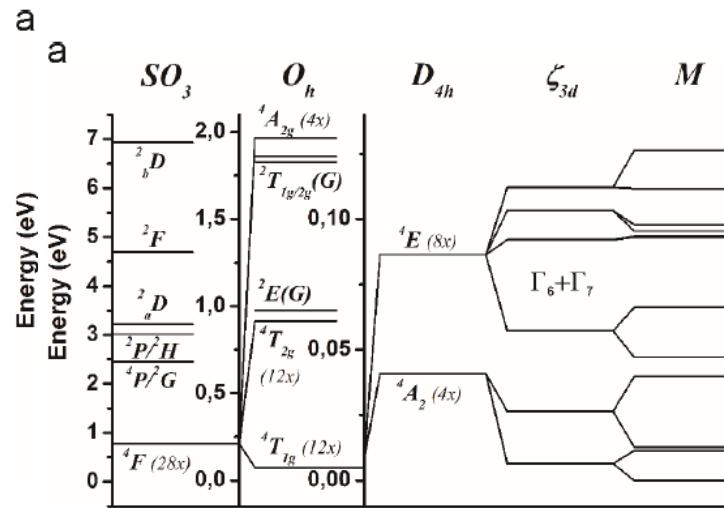
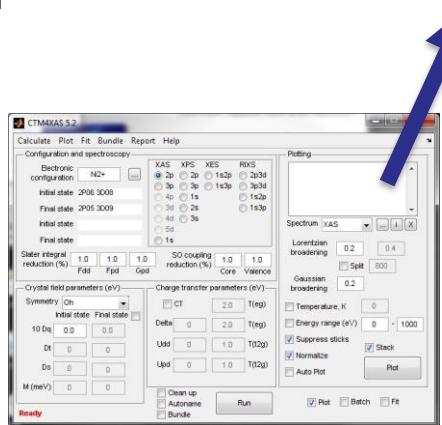
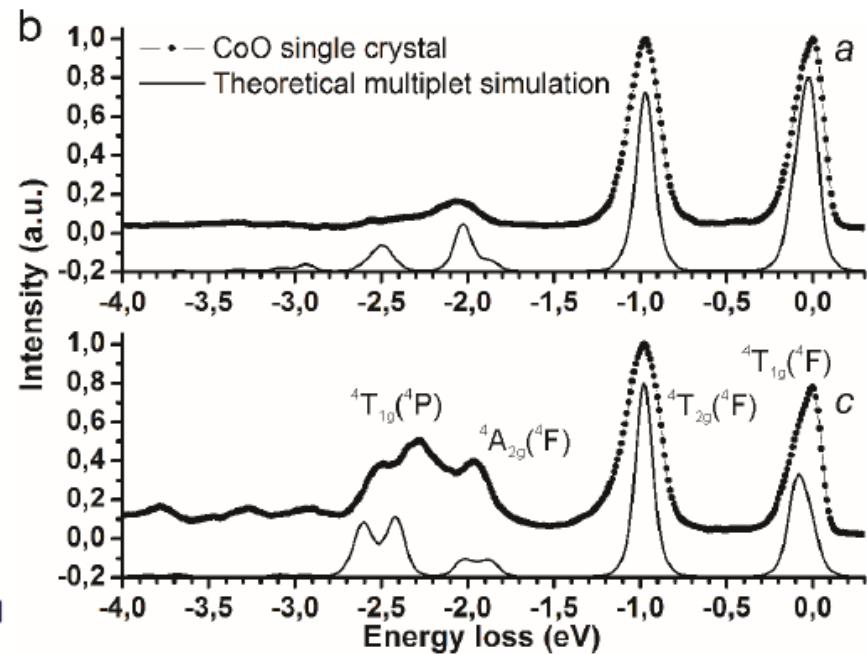
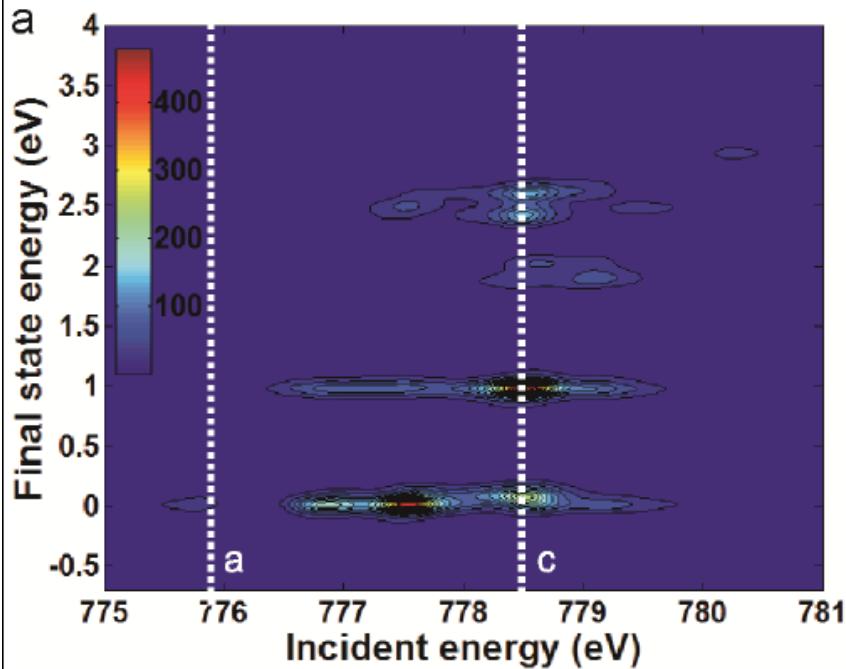
# Multiplet challenge: 2p3d RIXS on Cr<sup>3+</sup>



# 2p3d RIXS of CoO



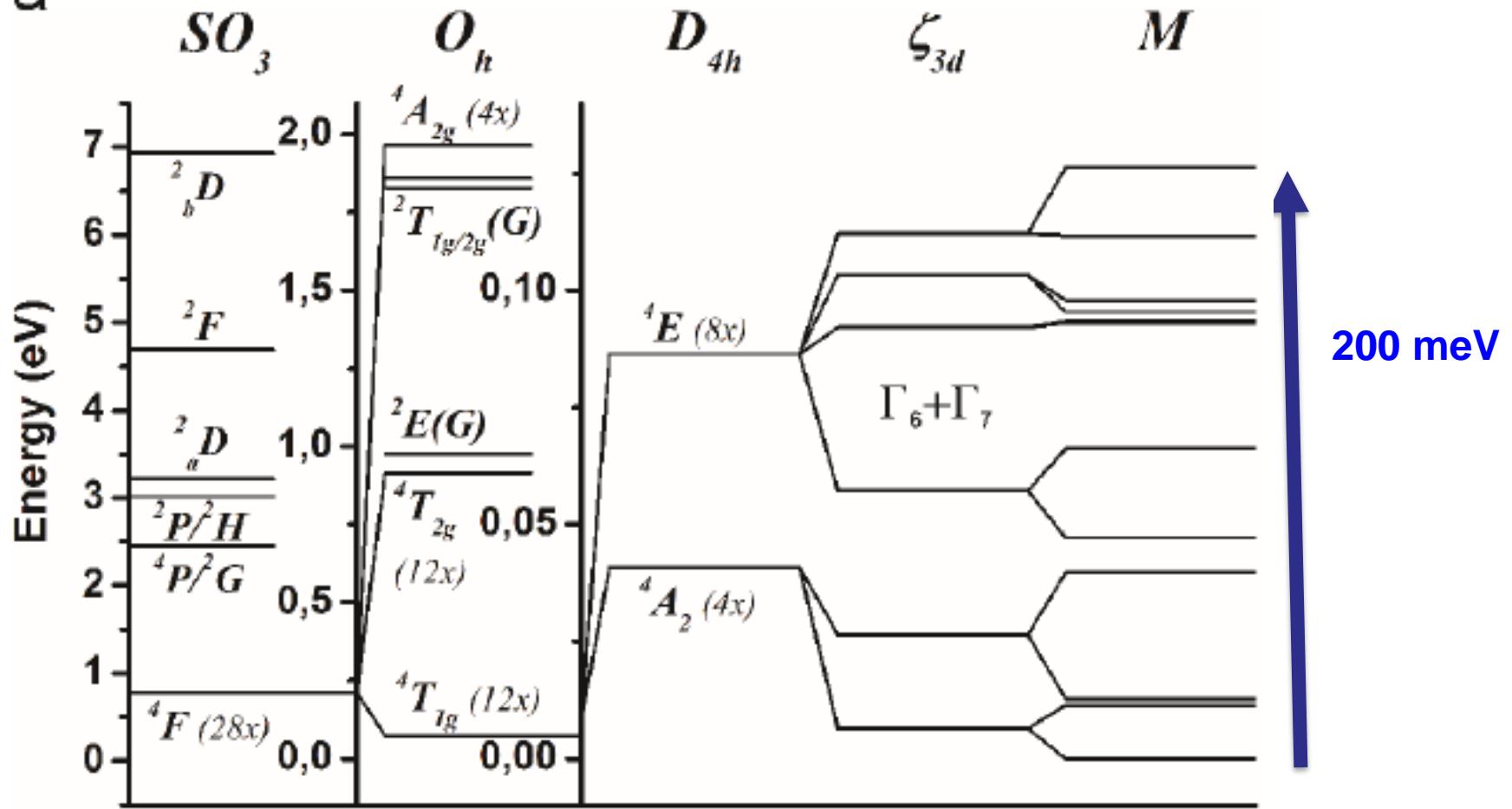
# 2p3d RIXS of CoO



# 2p3d RIXS of CoO

RIXS<sub>2016</sub>:  
20 meV

a



(+ phonons, charge transfer, dispersion)

# 2p3d RIXS of Co<sub>3</sub>O<sub>4</sub> (separate valences)

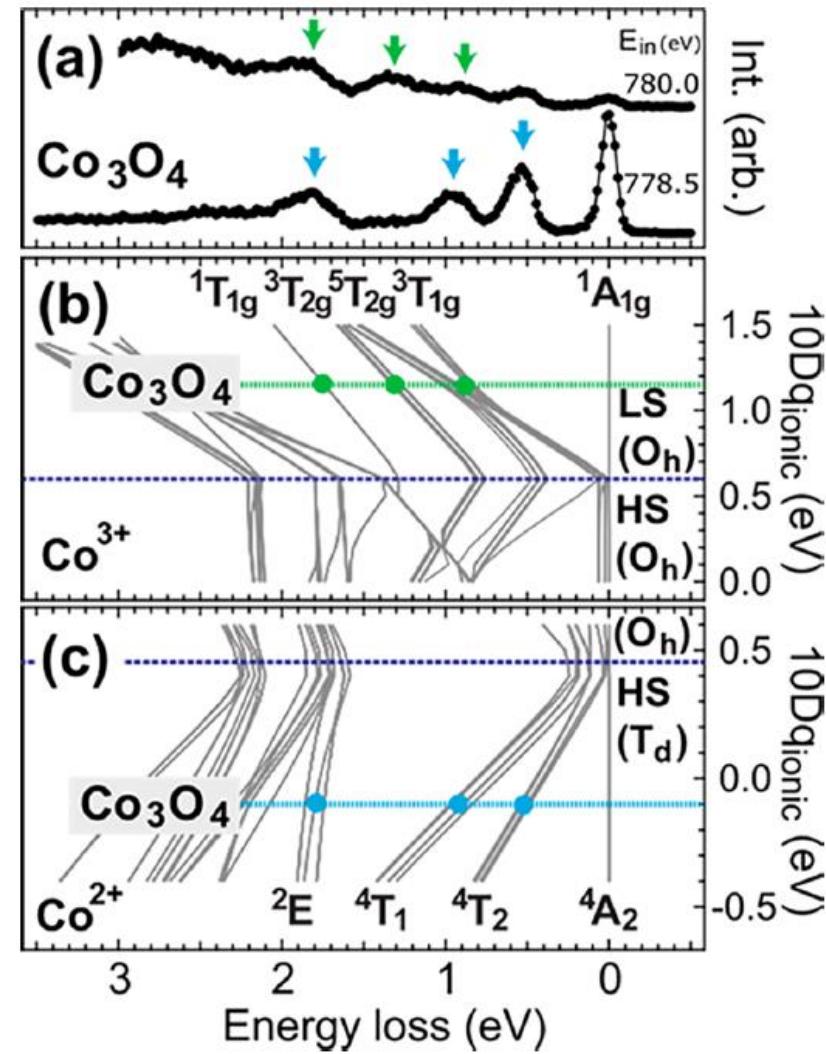
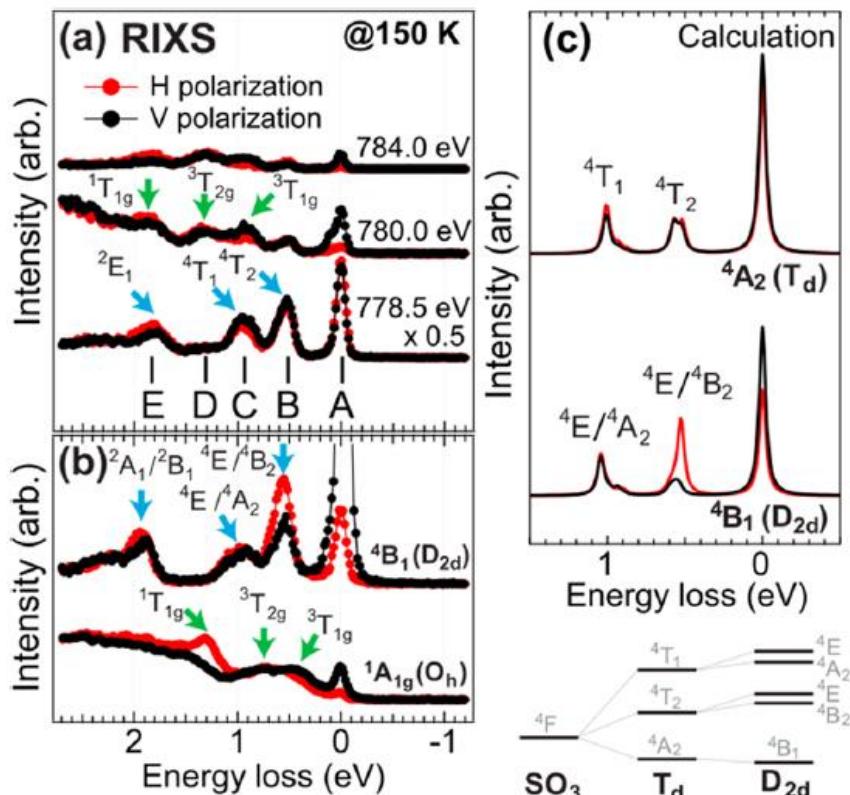
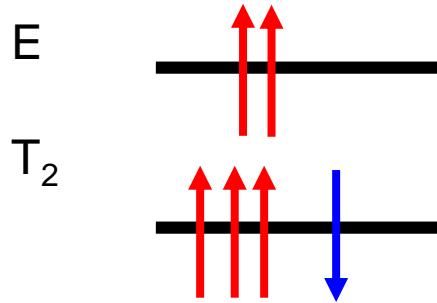


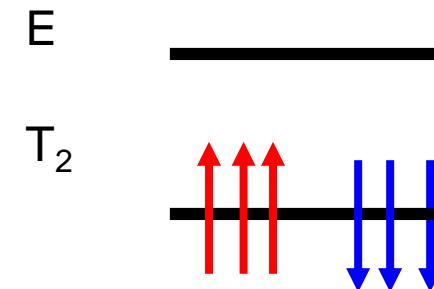
Figure 2. Comparison of H- and V-polarization RIXS spectra of (a) the Co<sub>3</sub>O<sub>4</sub> and (b) the  $^4B_1(D_{2d})$  and  $^1A_{1g}(O_h)$  ground states from refs 44 and 45. The blue (green) arrows indicate the characteristic features of the Co<sup>2+</sup>(Co<sup>3+</sup>) site. (c) The calculated 2p3d RIXS polarization comparison of distorted and nondistorted tetrahedral Co<sup>2+</sup> using parameters in ref 44 without considering the ligand-to-model charge transfer.

# Spin state of LaCoO<sub>3</sub>

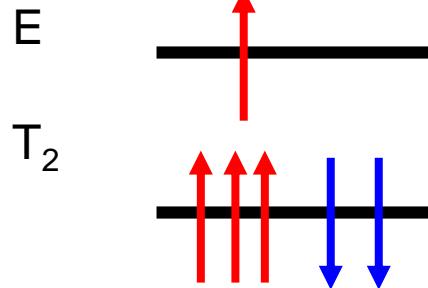
**$^5T_2$**



**$^1A_1$**

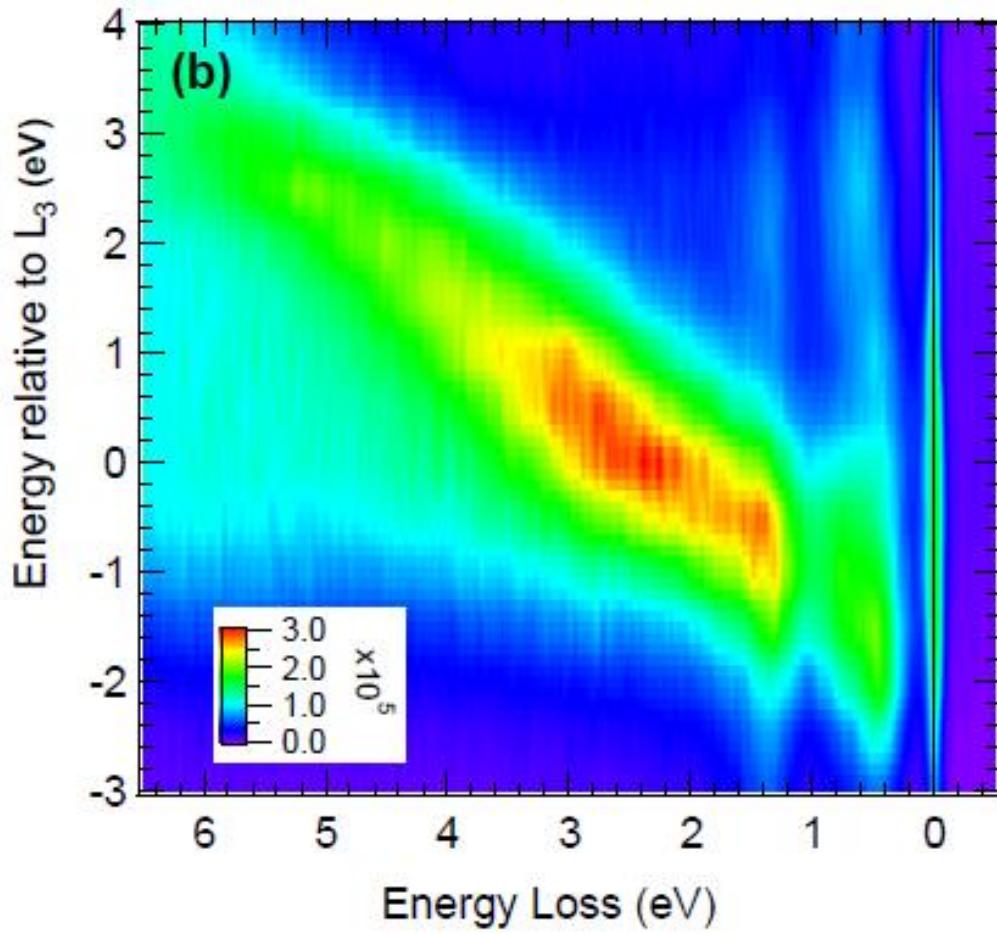


**$^3T_1$**

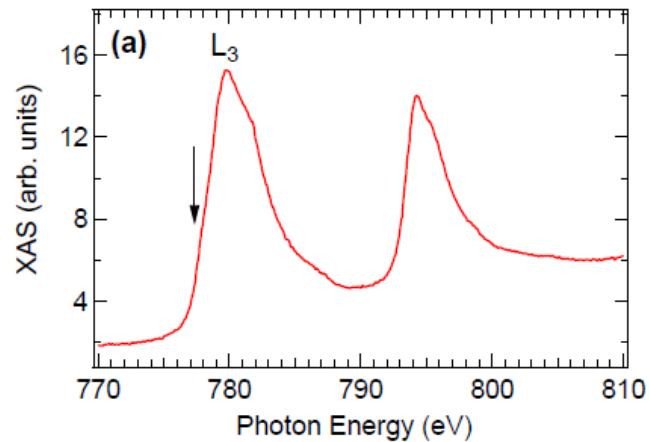
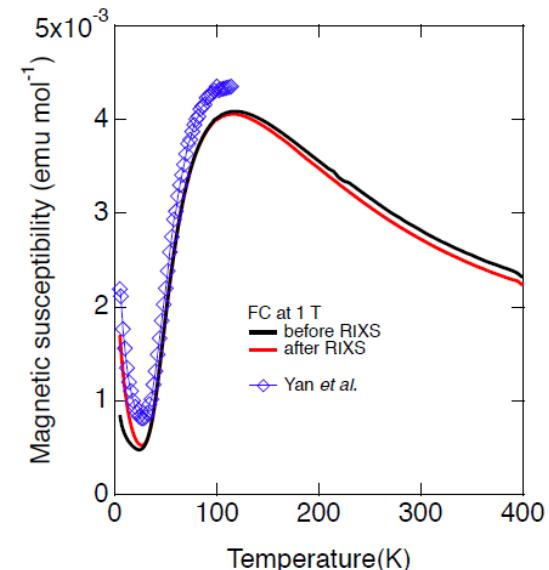


NOTE: Term symbols and orbital occupations are only indications:  
they are mixed by electron-electron, spin-orbit, etc.

# Spin state of $\text{LaCoO}_3$

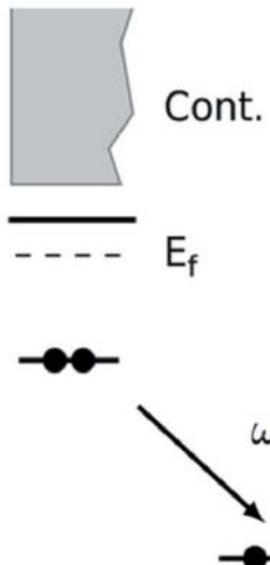


dd-excitations + fluorescence

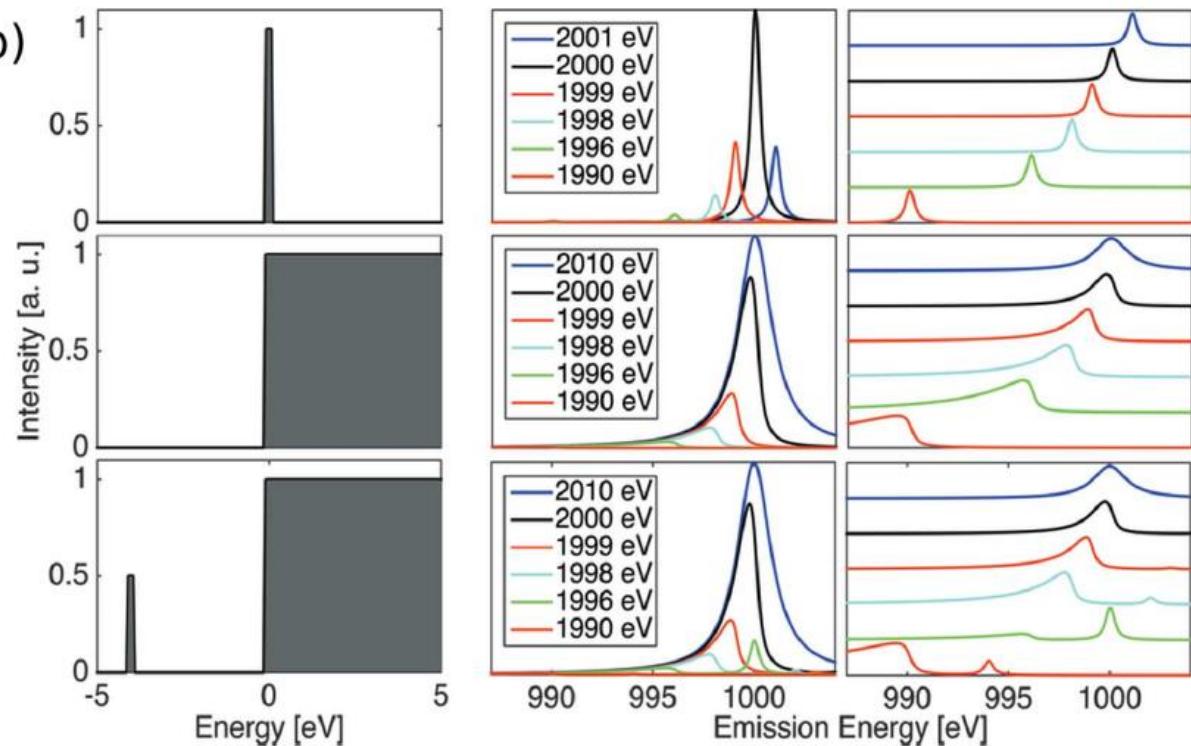


# Excitons and continuum excitations

a)

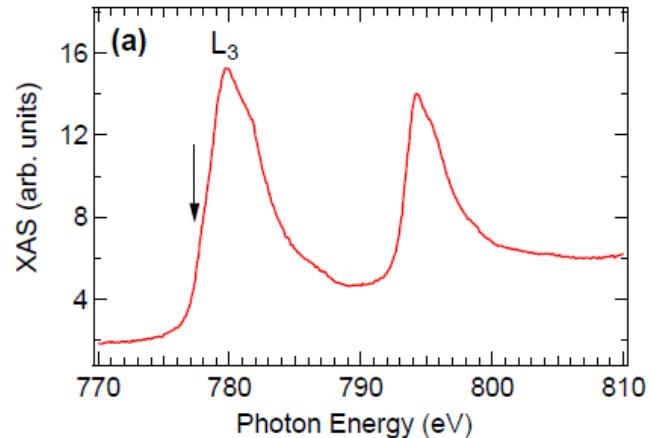
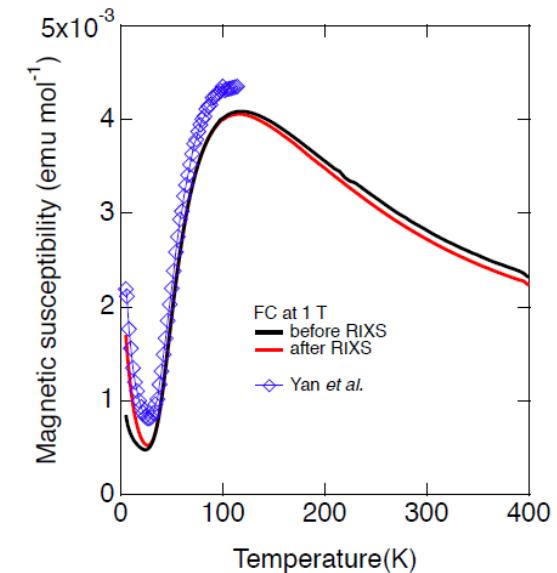
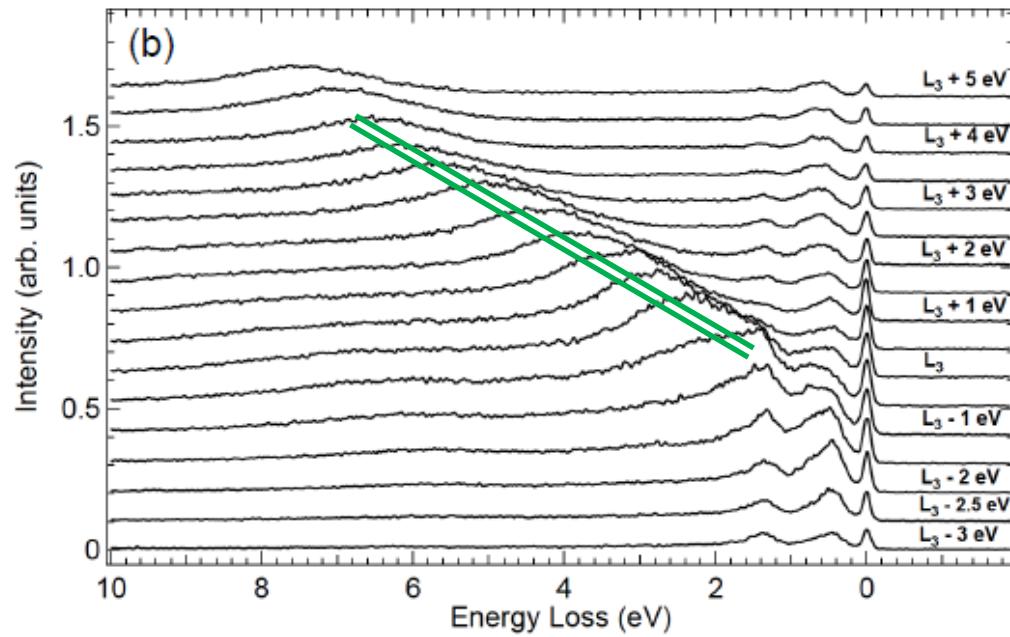


b)



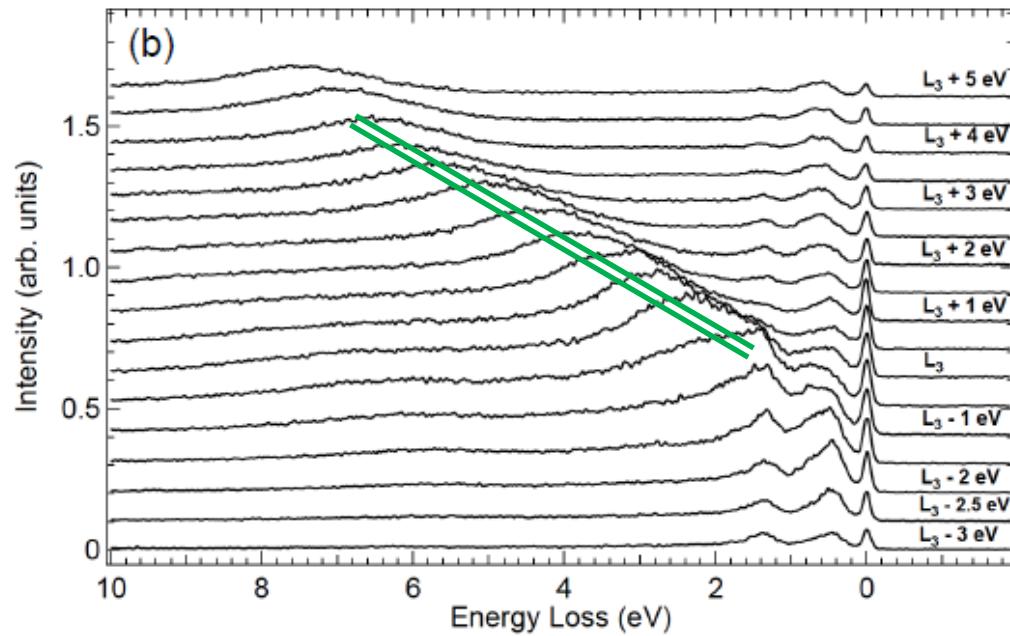
dd-excitations + fluorescence

# Spin state of $\text{LaCoO}_3$

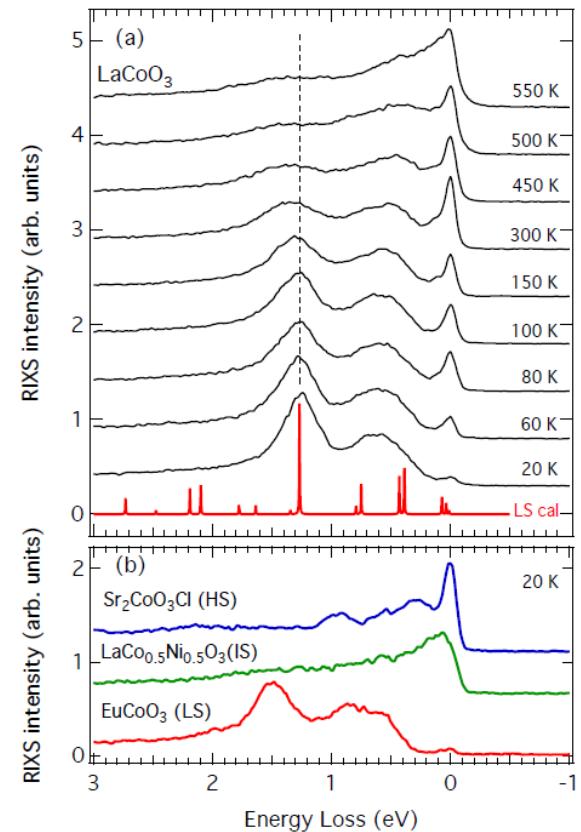


dd-excitations + fluorescence

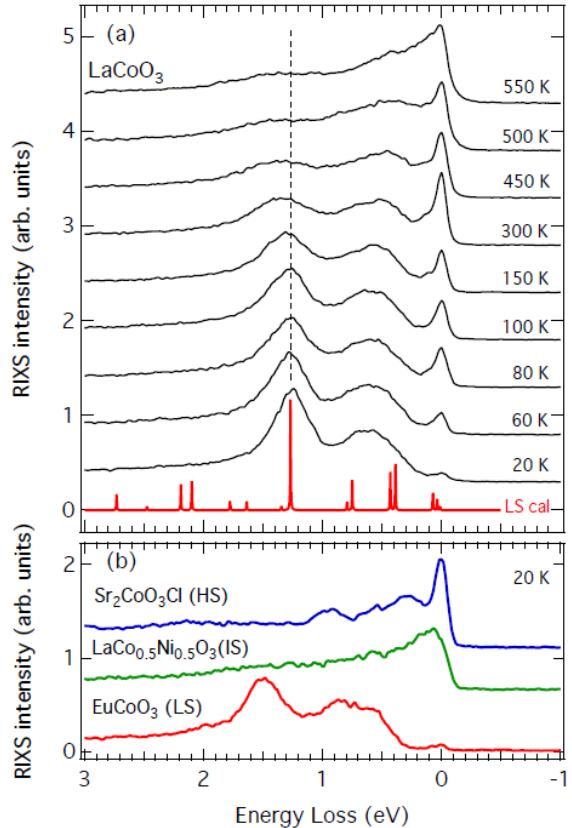
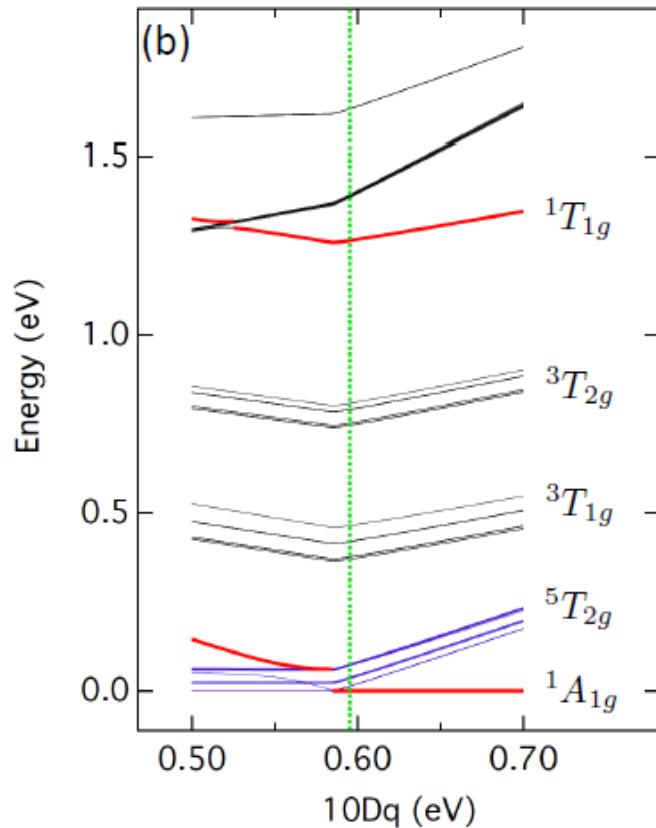
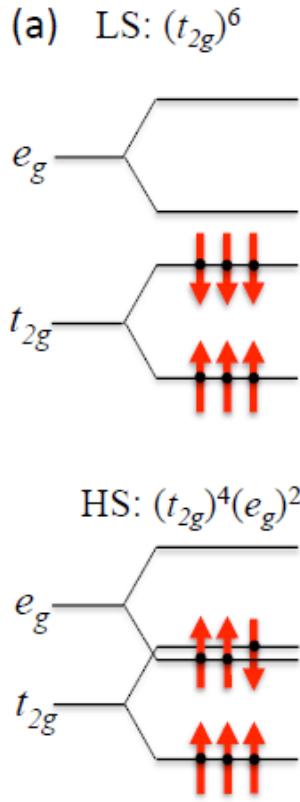
# Spin state of $\text{LaCoO}_3$



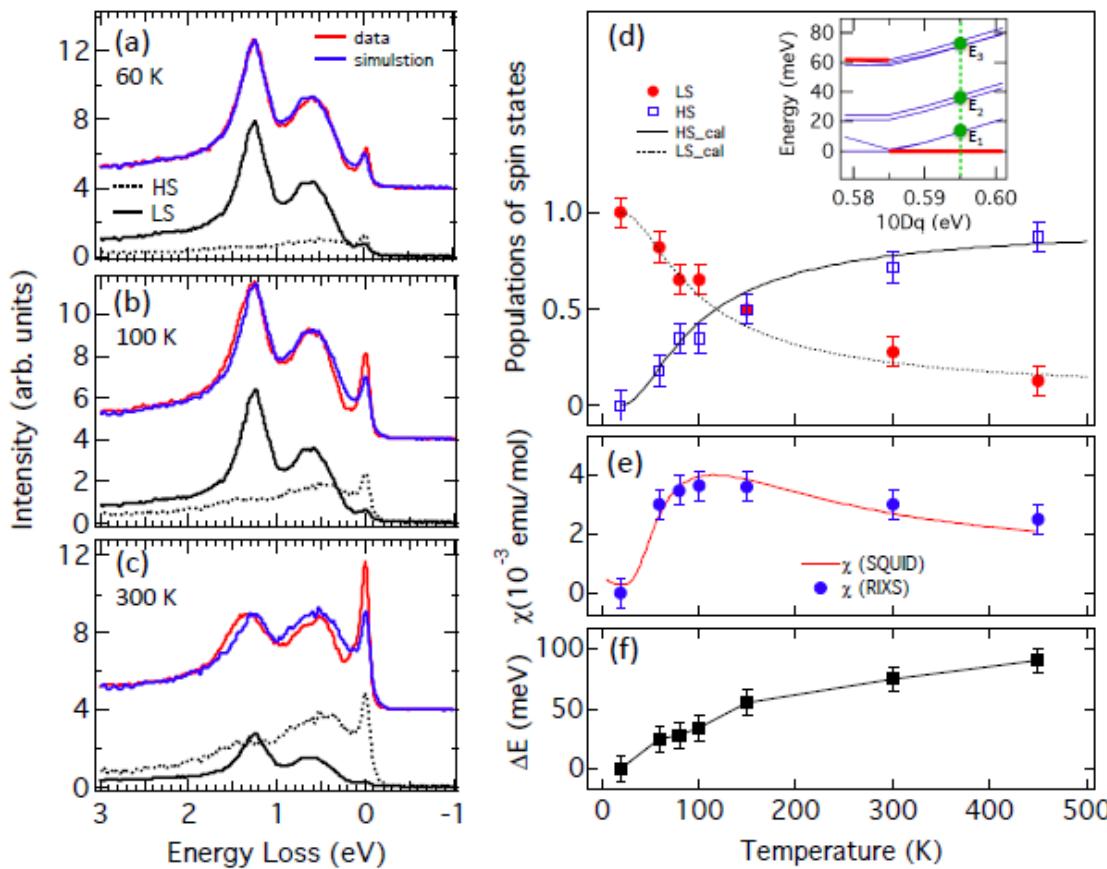
dd-excitations + fluorescence



# Spin state of $\text{LaCoO}_3$

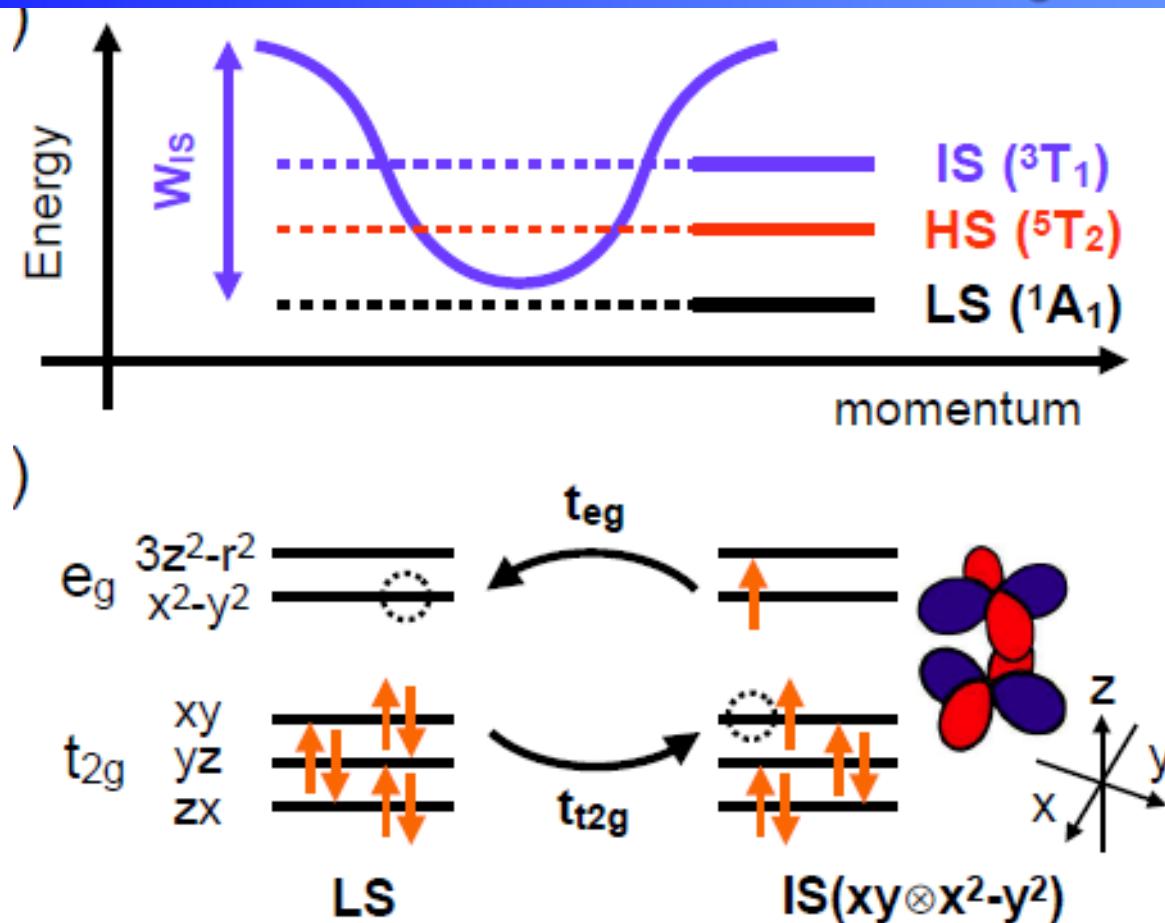


# Spin state of $\text{LaCoO}_3$



Spin transition is from low-spin to high-spin

# Spin state of LaCoO<sub>3</sub>

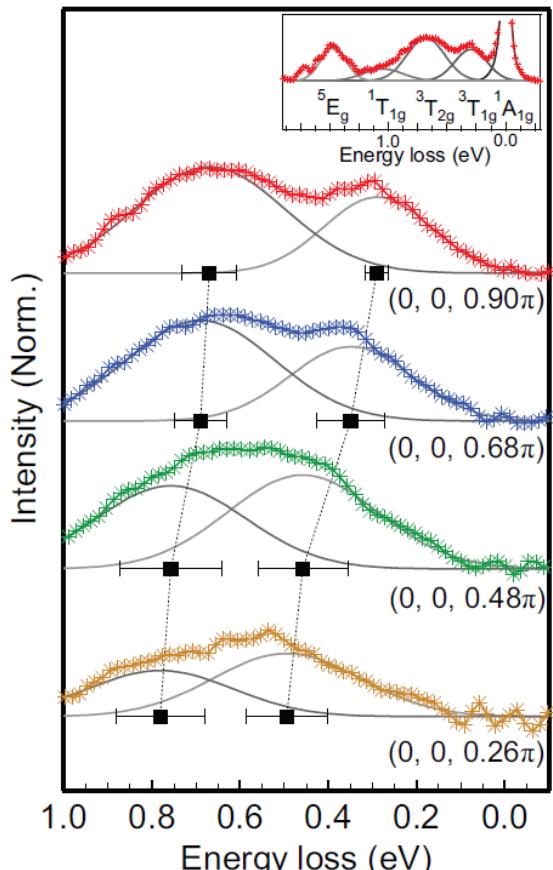


Order of states at zero Kelvin: LS < HS < IS

Strong coupling between LS and IS (not with HS)

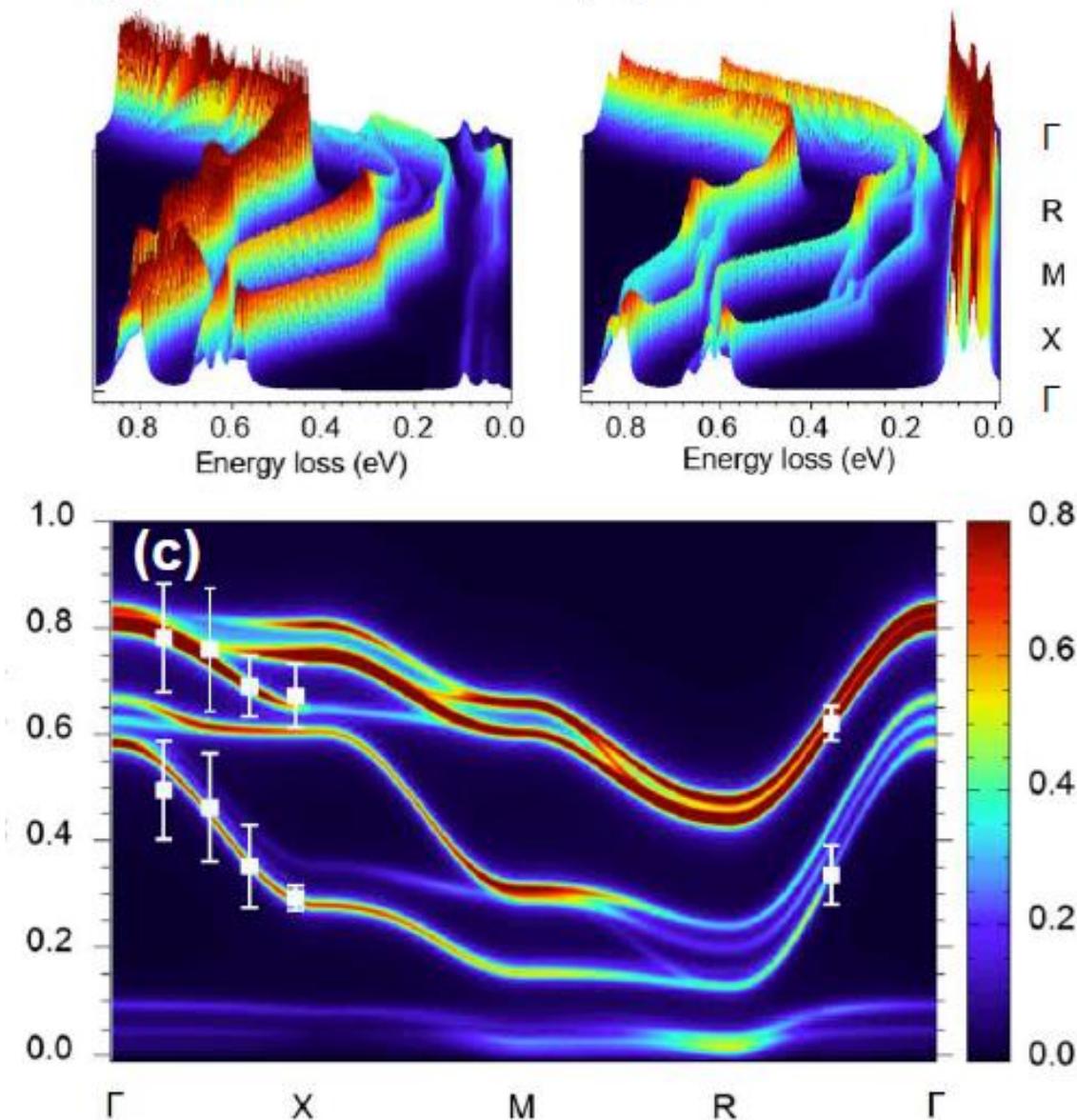
Large dispersion of IS: **Excitonic Insulator**

# Spin state of $\text{LaCoO}_3$

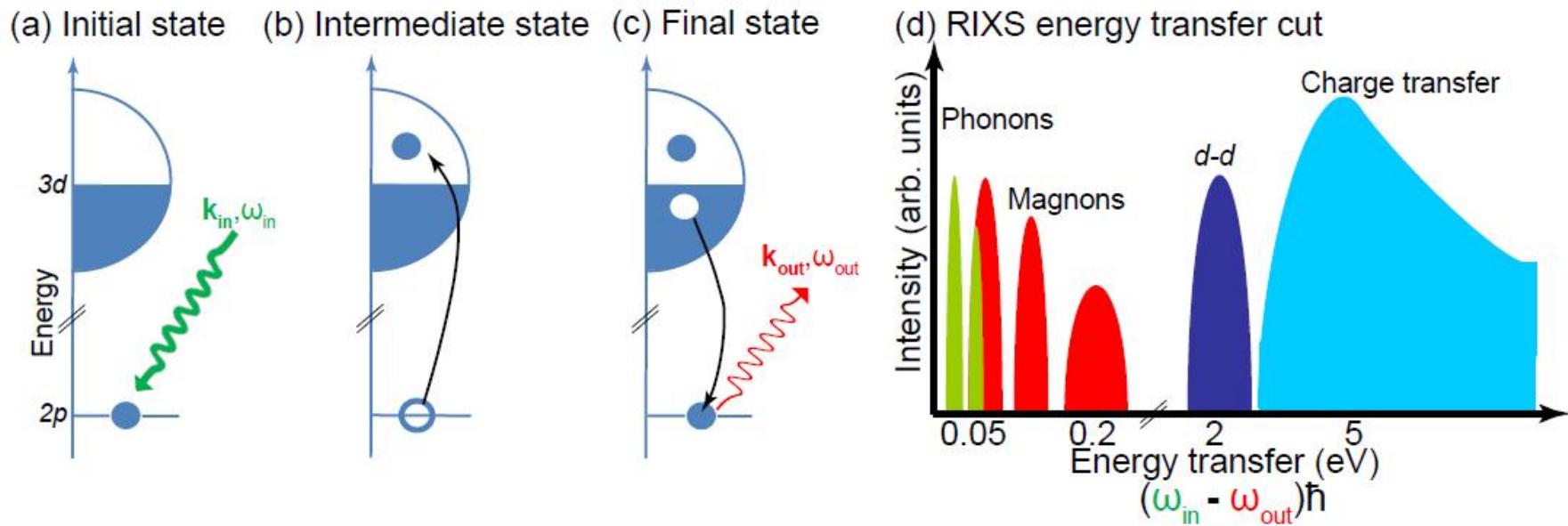


(a) RIXS

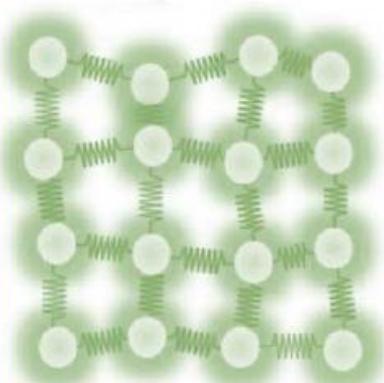
(b) DOS



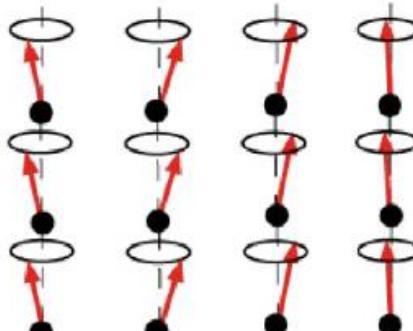
# 2p3d RIXS of transition metal ions



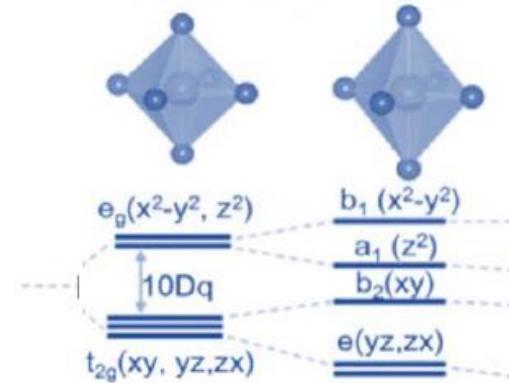
Phonons



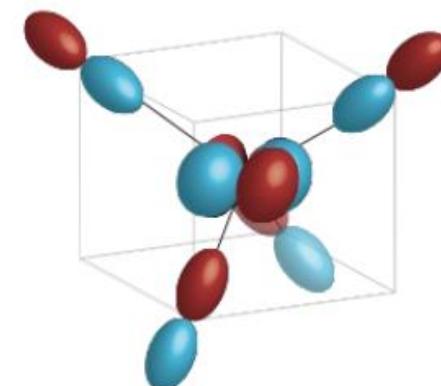
Magnons



d-d Excitations



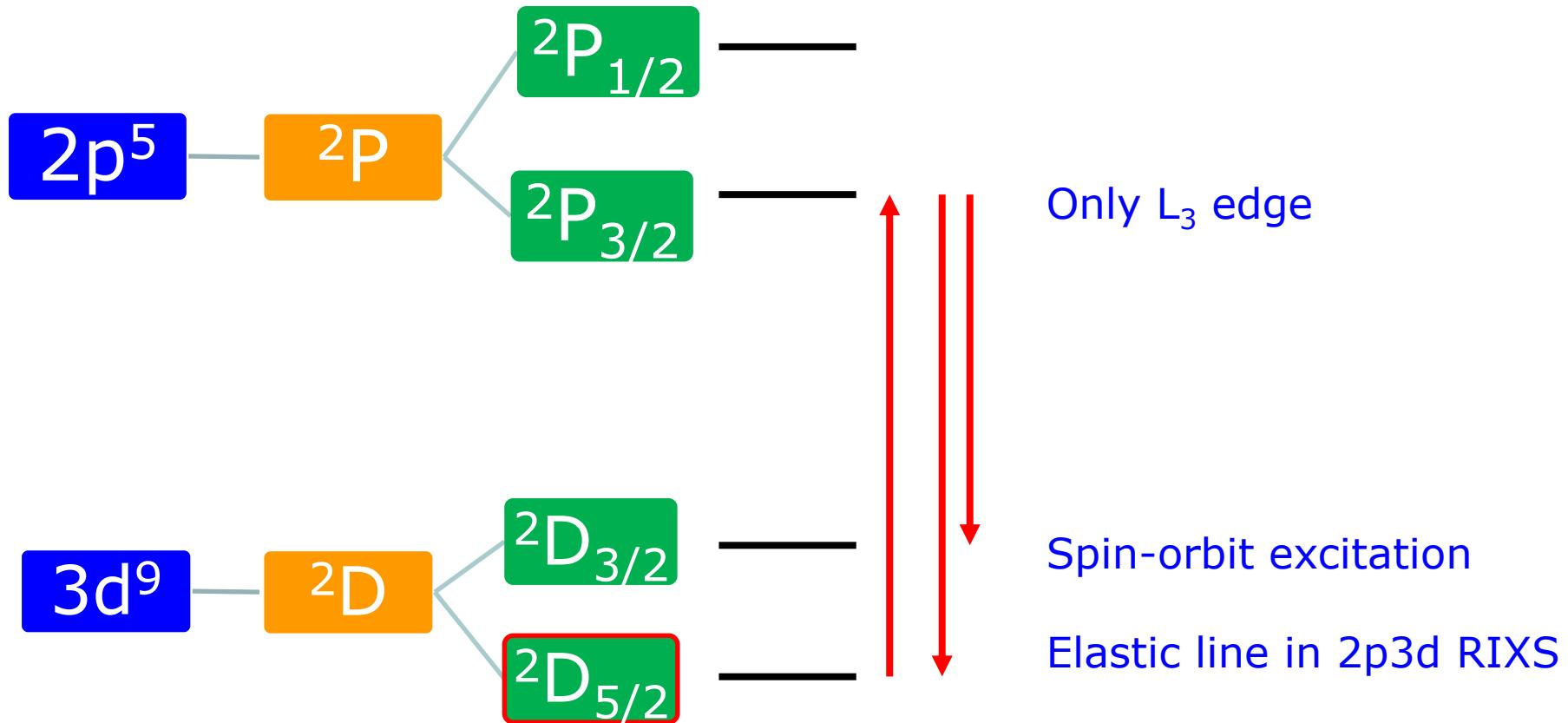
Charge Transfer



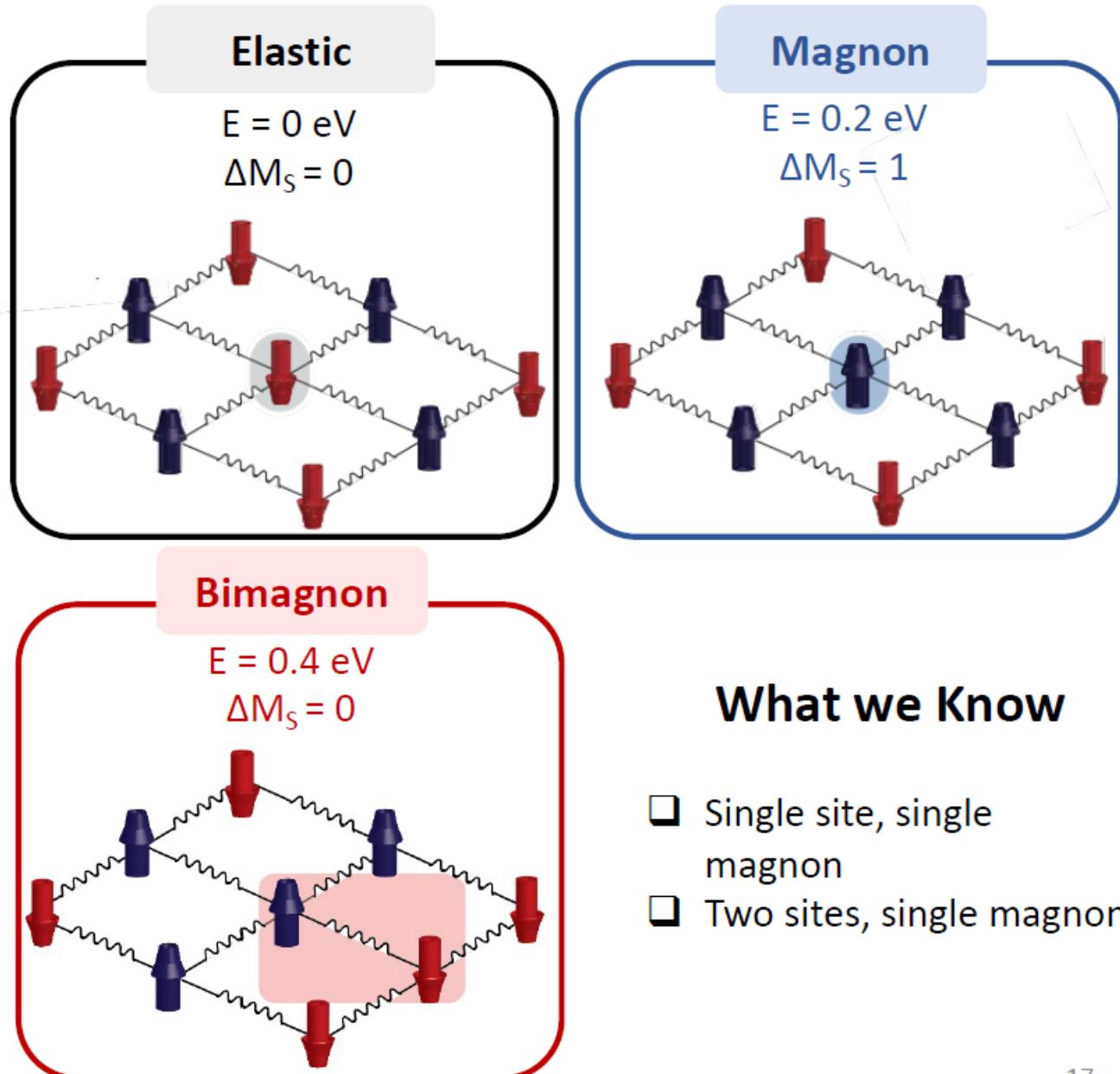
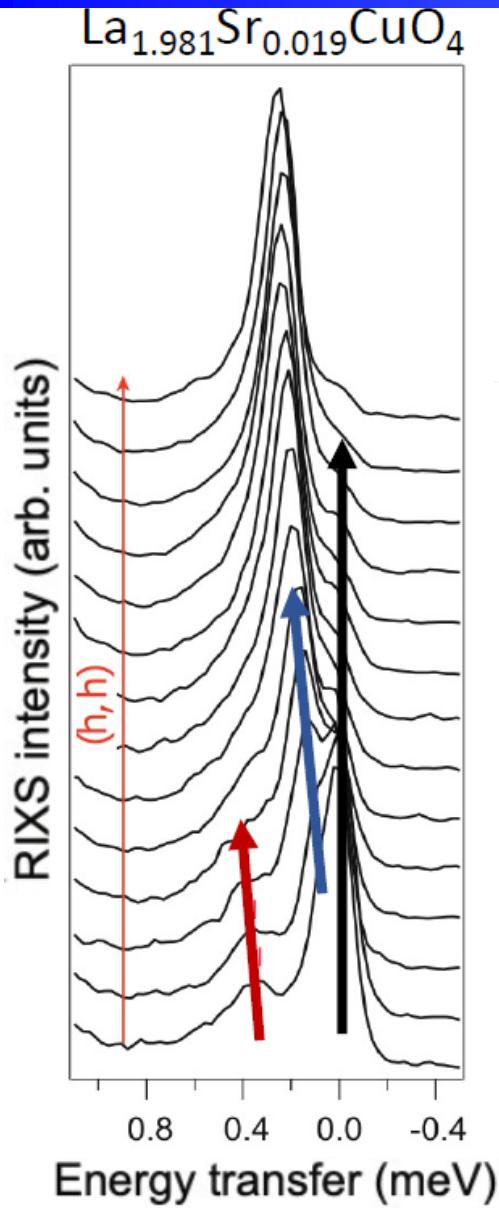
# Cu<sup>2+</sup> 2p3d RIXS (from 3d<sup>9</sup> to 2p<sup>5</sup>3d<sup>10</sup> to 3d<sup>9</sup>)

## ATOMIC

Dipole selection rule:  
 $\Delta J = -1, 0 \text{ or } +1$   
 $J = J' \neq 0$



# Magnon 2p3d RIXS in cuprates

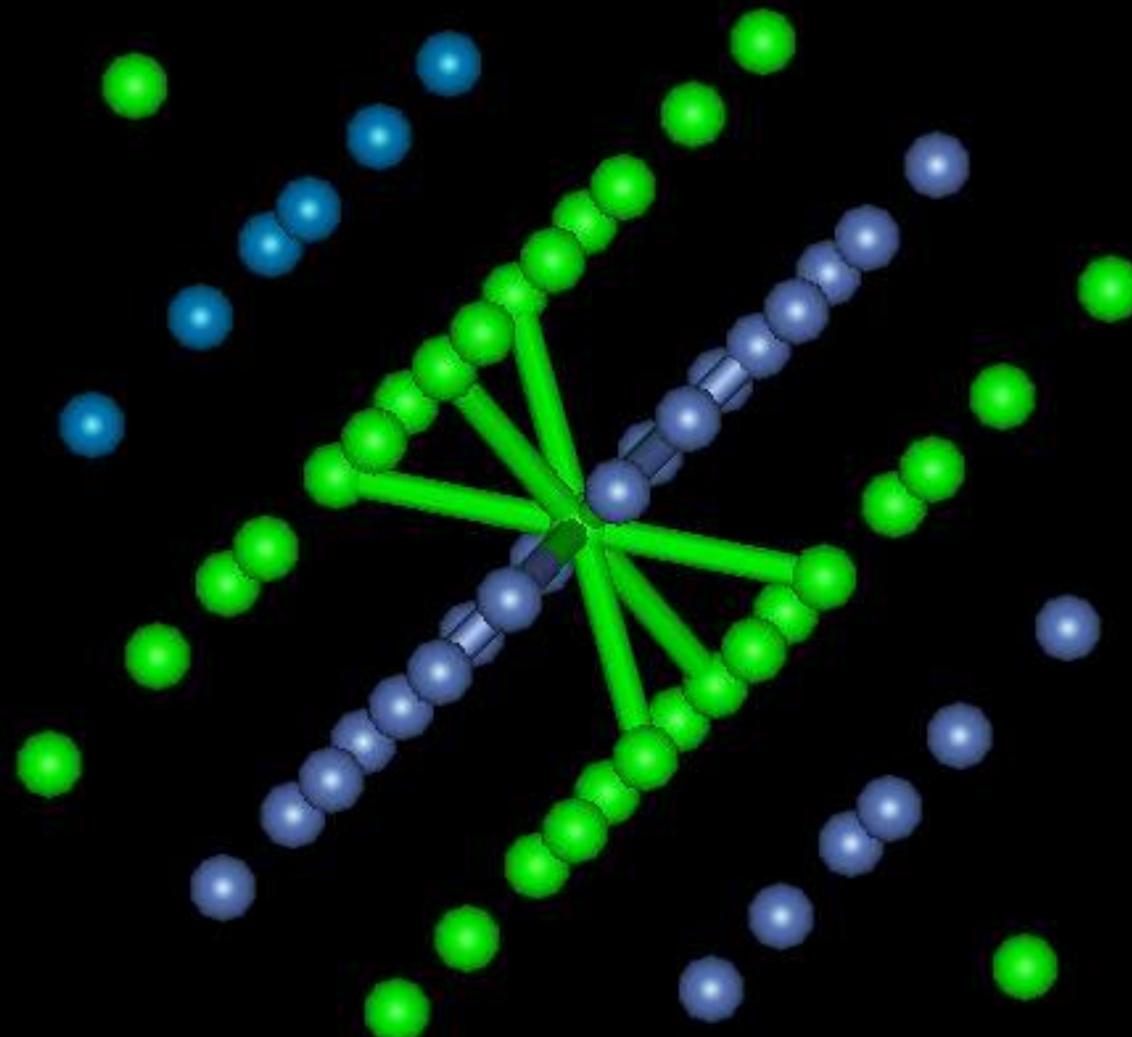


## What we Know

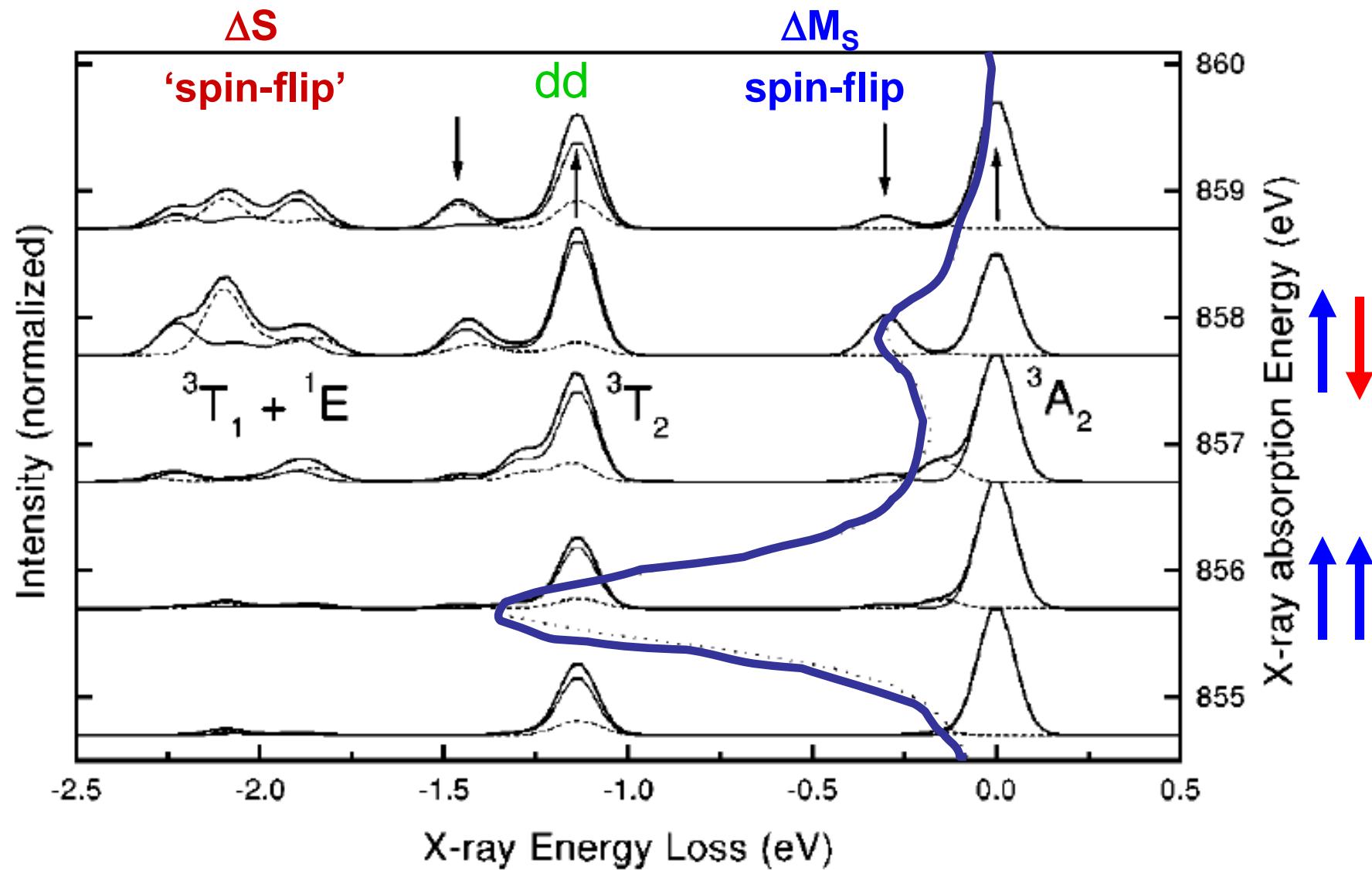
- Single site, single magnon
- Two sites, single magnon

# 2p3d RIXS of NiO

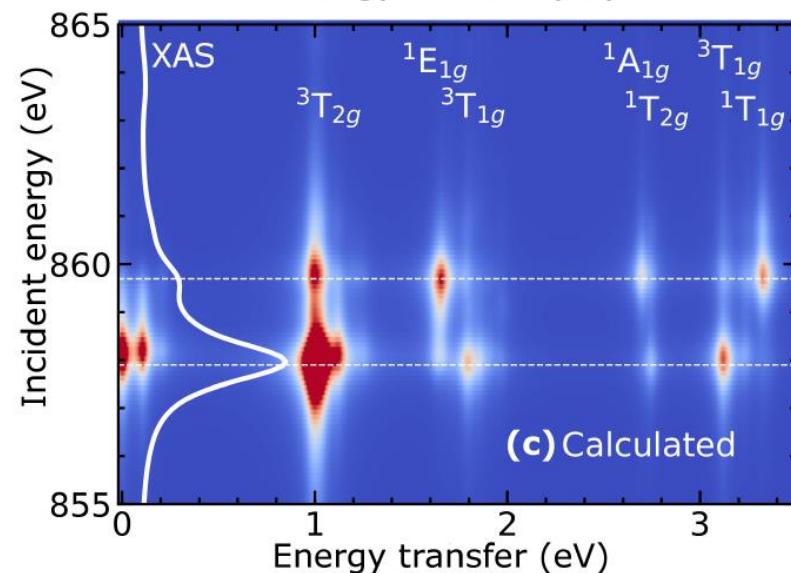
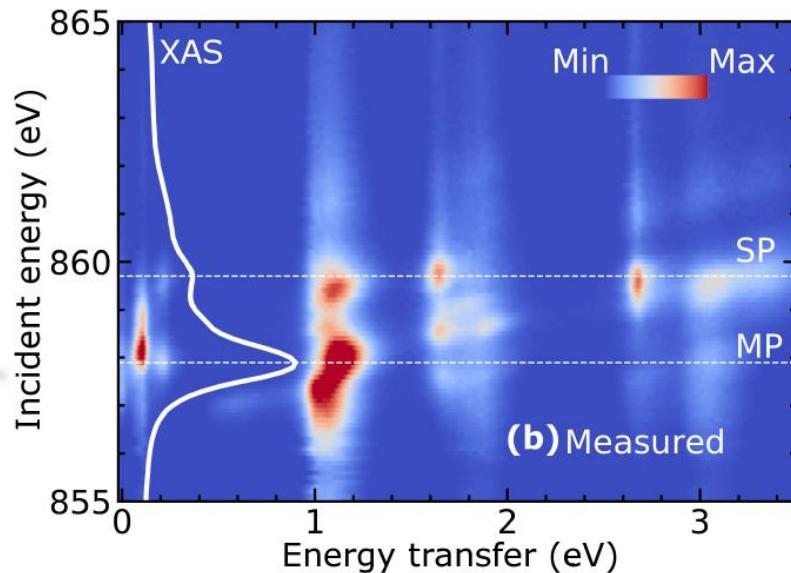
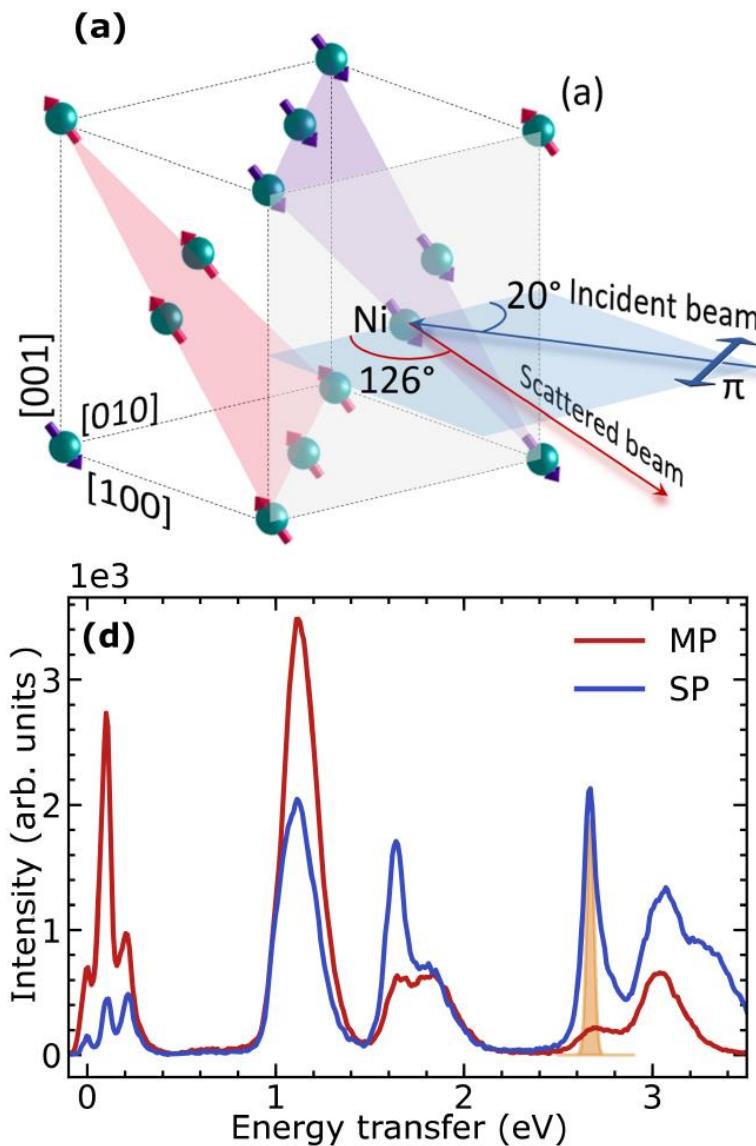
Ni<sup>II</sup> 3d<sup>8</sup> [↑↑] → 2p<sup>5</sup>3d<sup>9</sup>[jj] → 3d<sup>8</sup>[↓↓]



# 2p3d RIXS of NiO

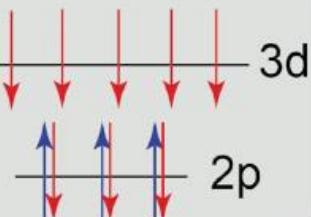


# 2p3d RIXS of NiO



# 2p3d RIXS of Fe<sub>2</sub>O<sub>3</sub>

Fe<sup>3+</sup> Ion

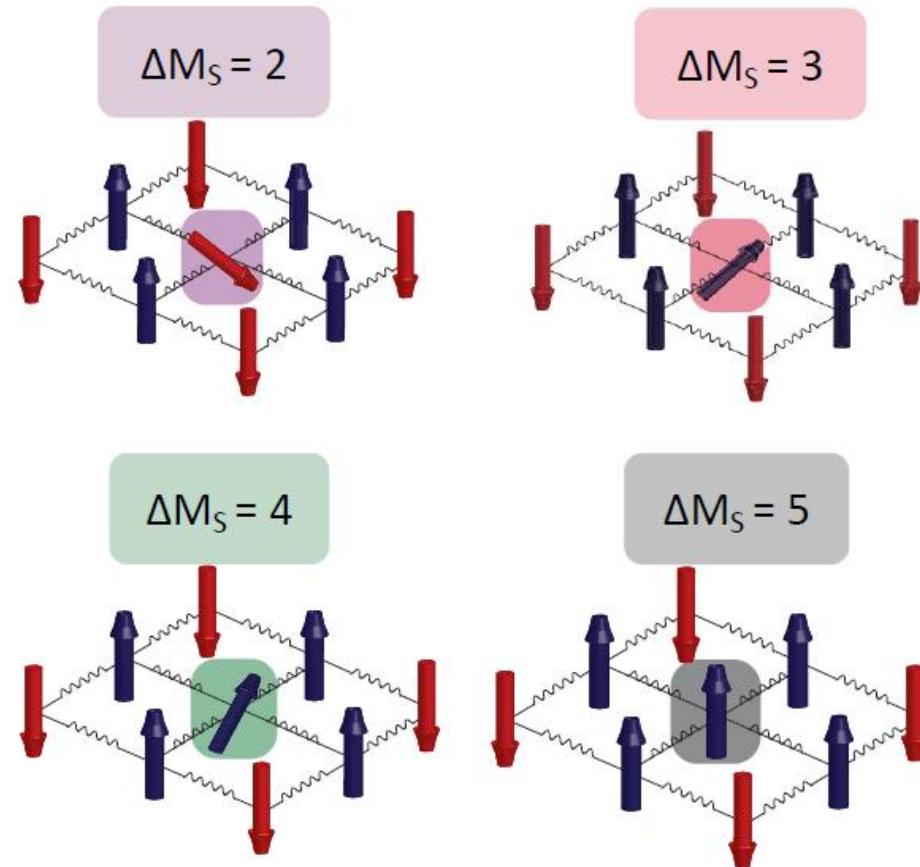
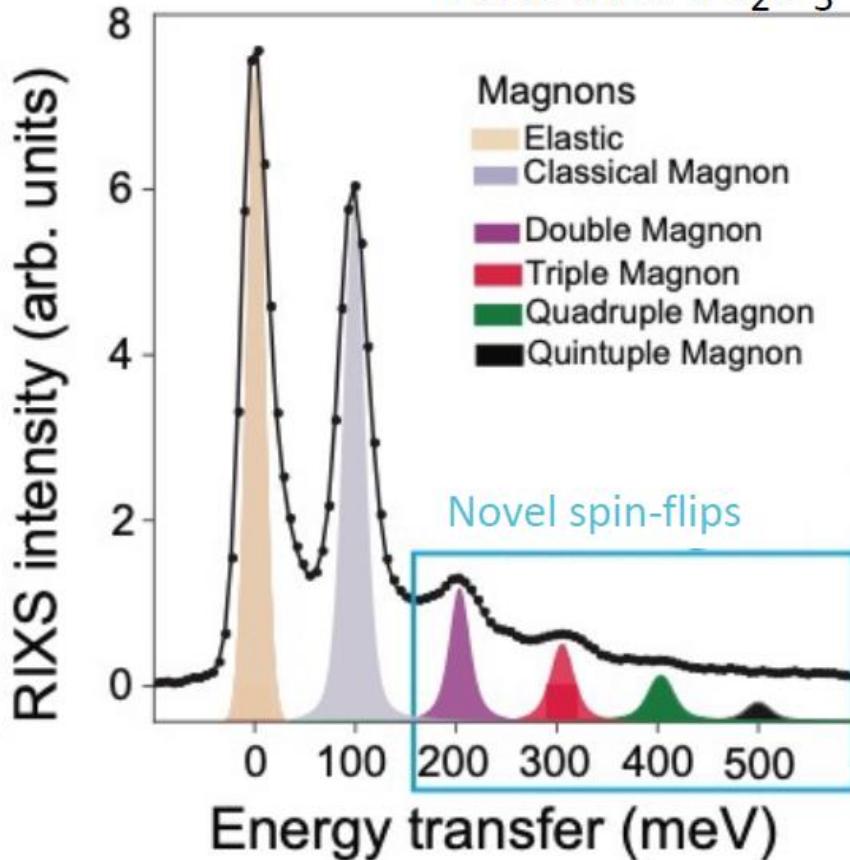


Ground State:

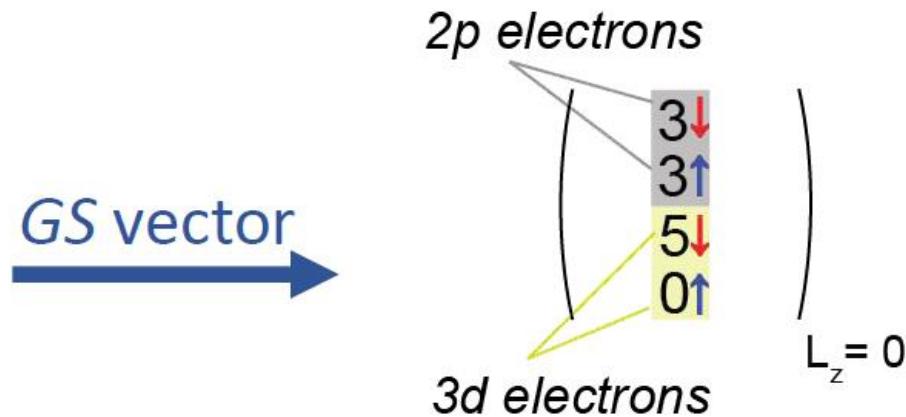
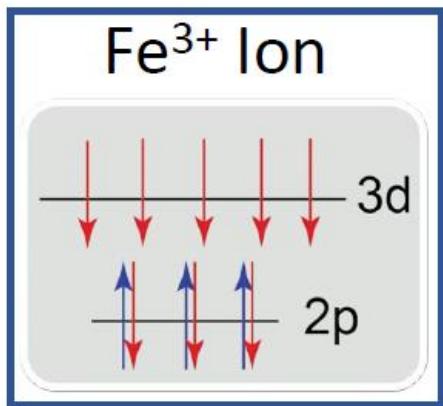
- 3d<sup>5</sup>
- S<sub>z</sub> = 5/2

Novel Magnetic Excitations

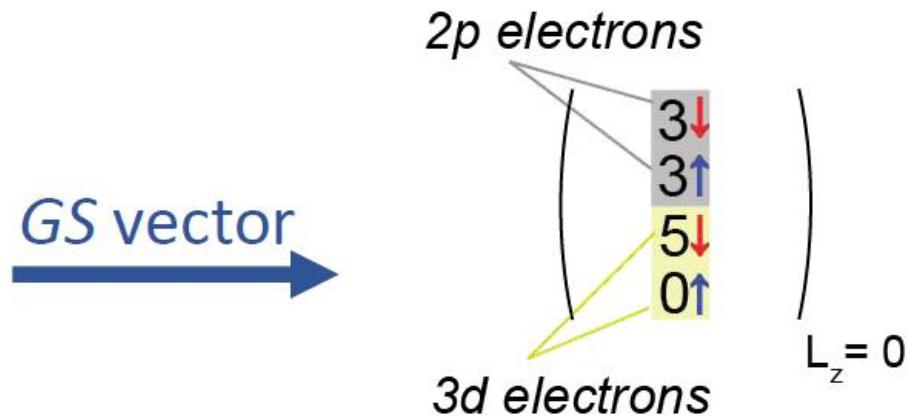
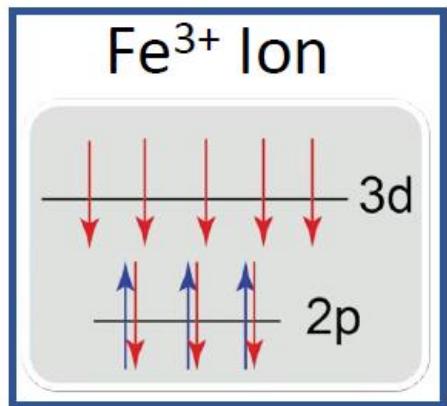
RIXS in α-Fe<sub>2</sub>O<sub>3</sub>



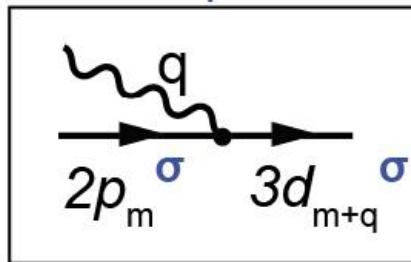
# 0,1 and 2-magnons in 2p3d RIXS of Fe<sub>2</sub>O<sub>3</sub>



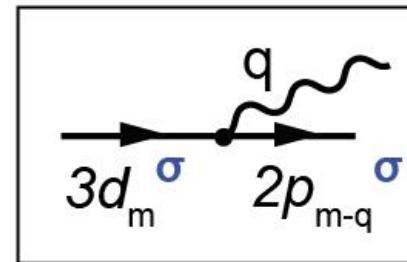
# 0,1 and 2-magnons in 2p3d RIXS of Fe<sub>2</sub>O<sub>3</sub>



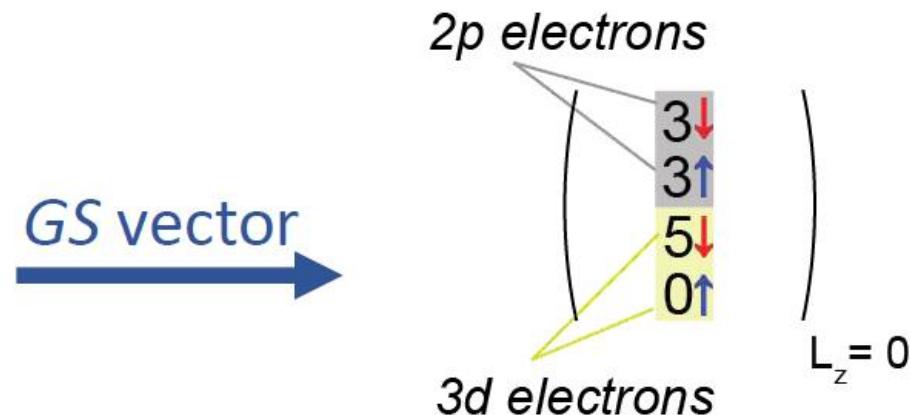
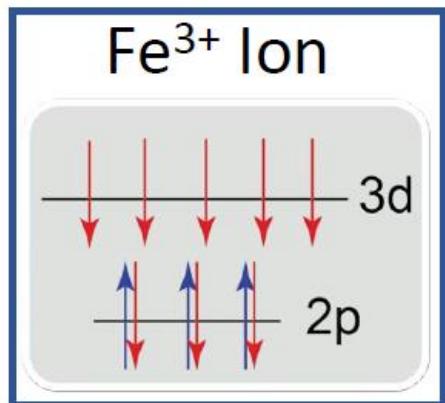
Absorption



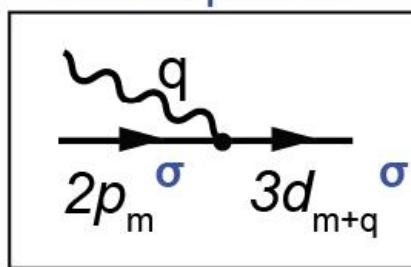
Emission



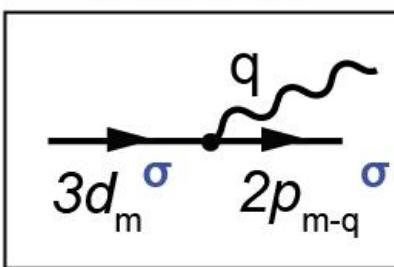
# 0,1 and 2-magnons in 2p3d RIXS of Fe<sub>2</sub>O<sub>3</sub>



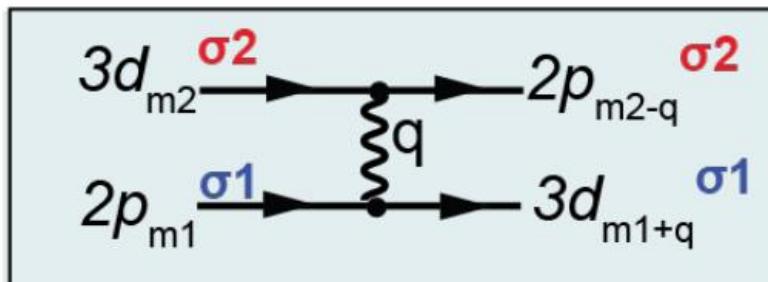
Absorption



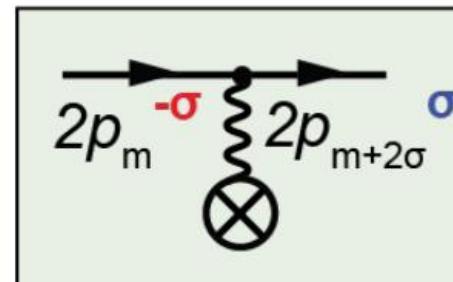
Emission



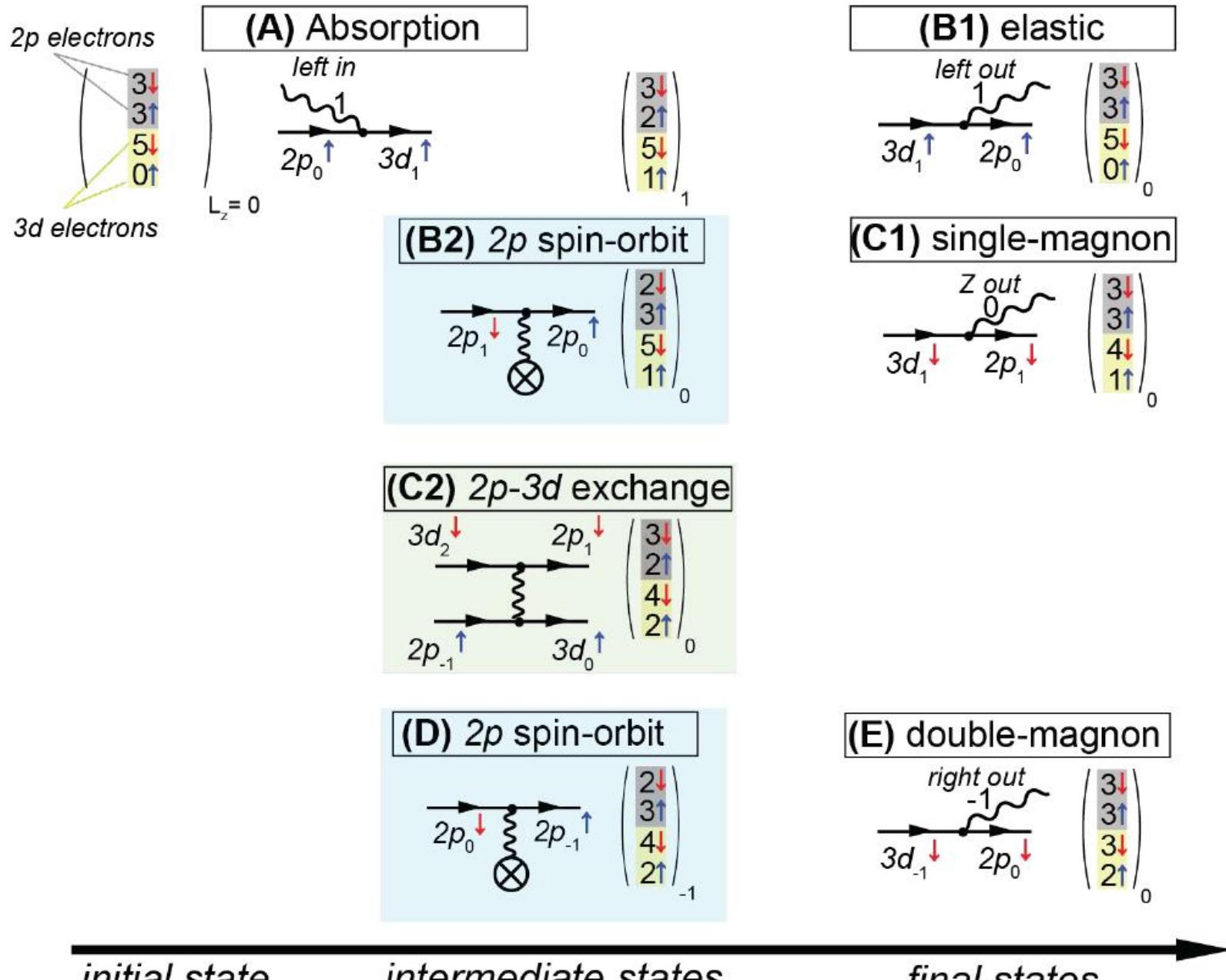
Exchange (2p3d)



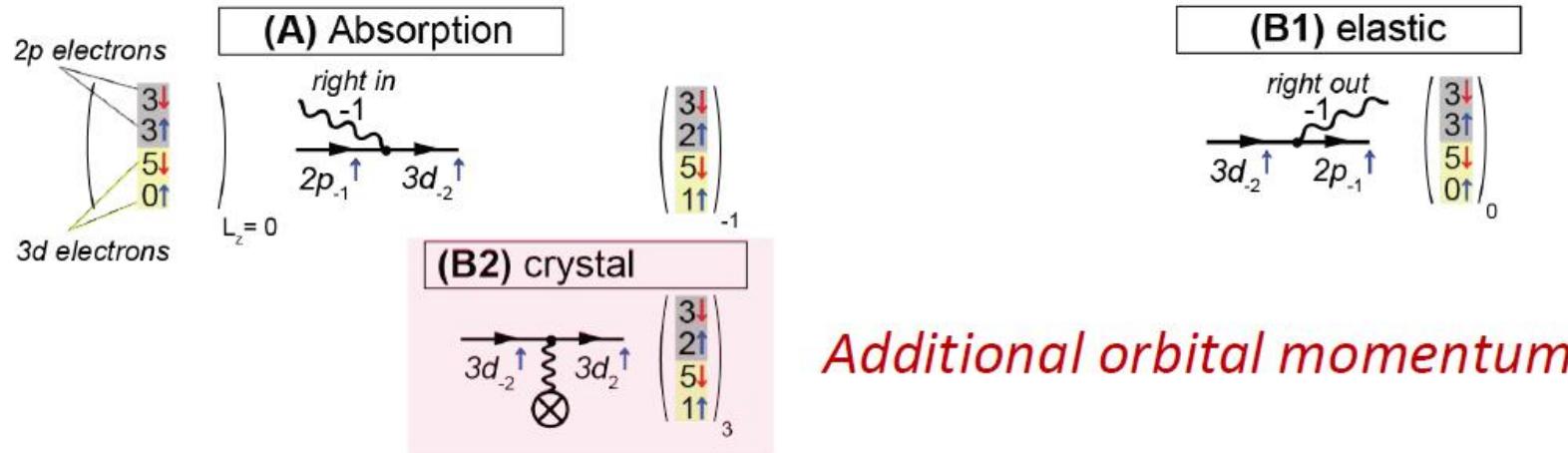
Spin-orbit (2p)



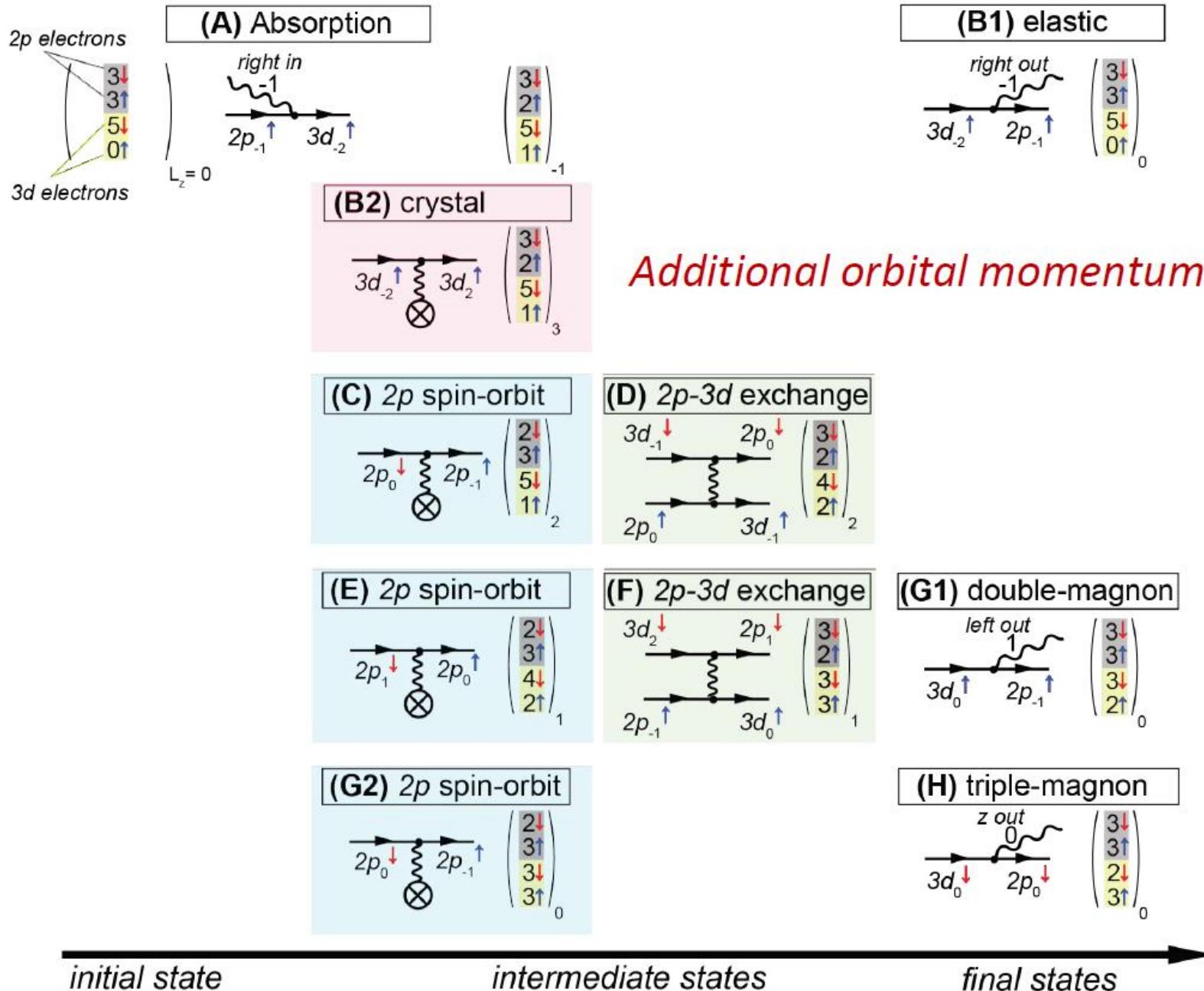
# 0,1 and 2-magnons in 2p3d RIXS of Fe<sub>2</sub>O<sub>3</sub>



# 3-magnons in 2p3d RIXS of Fe<sub>2</sub>O<sub>3</sub>



# 3-magnons in 2p3d RIXS of Fe<sub>2</sub>O<sub>3</sub>



# 2p3d RIXS

polarization, angles  
(in, sample, out)

eV  
electron-electron  
crystal field  
charge transfer

meV  
spin-orbit, magnetic  
distortions  
vibrations

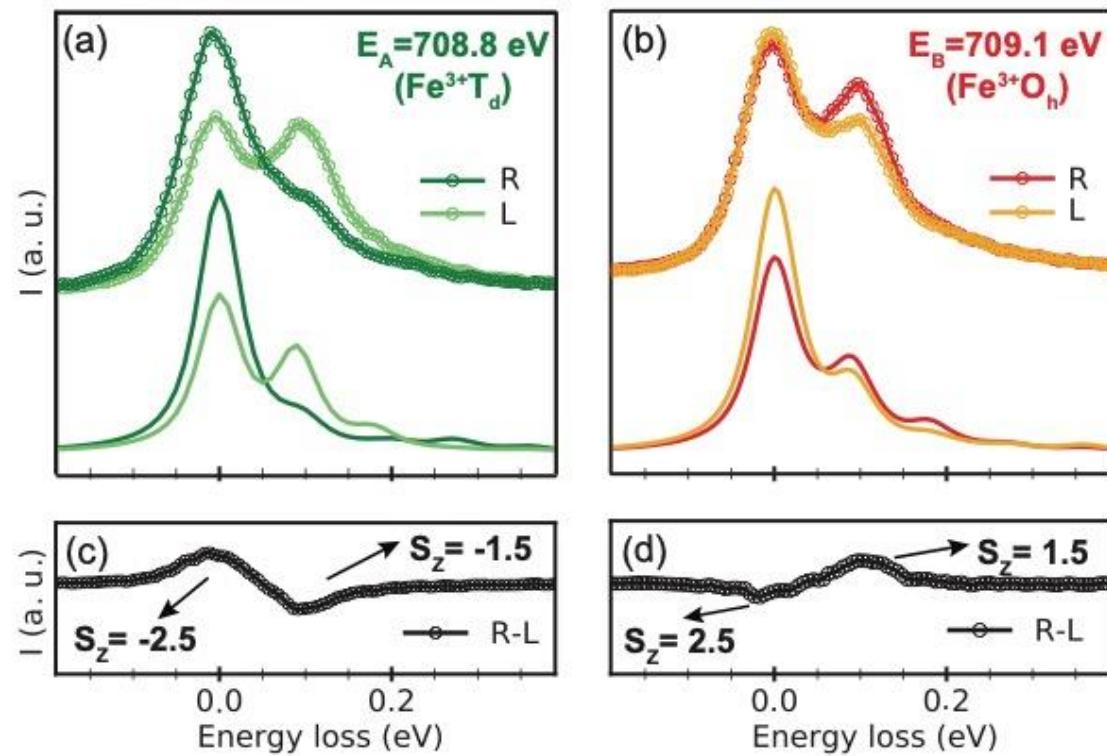
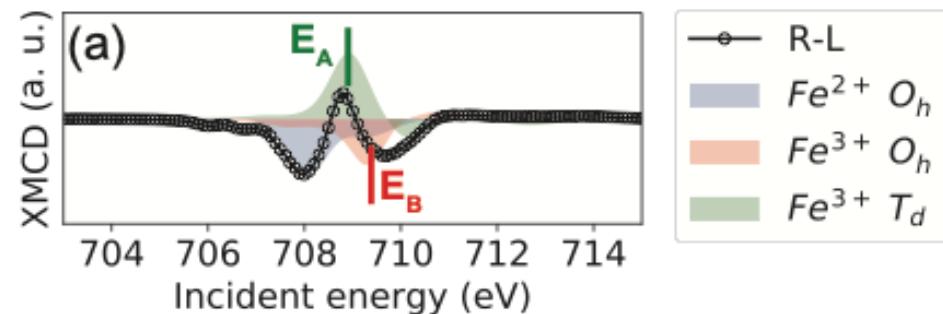
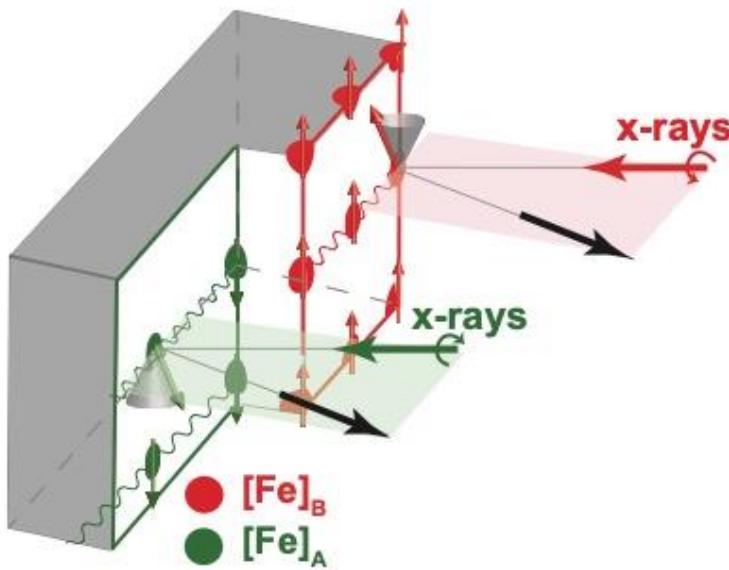
RIXS<sub>1998</sub>:  
500 meV



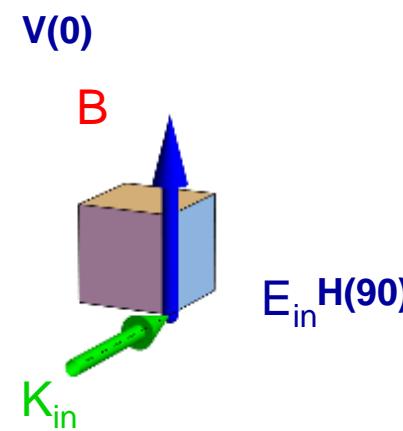
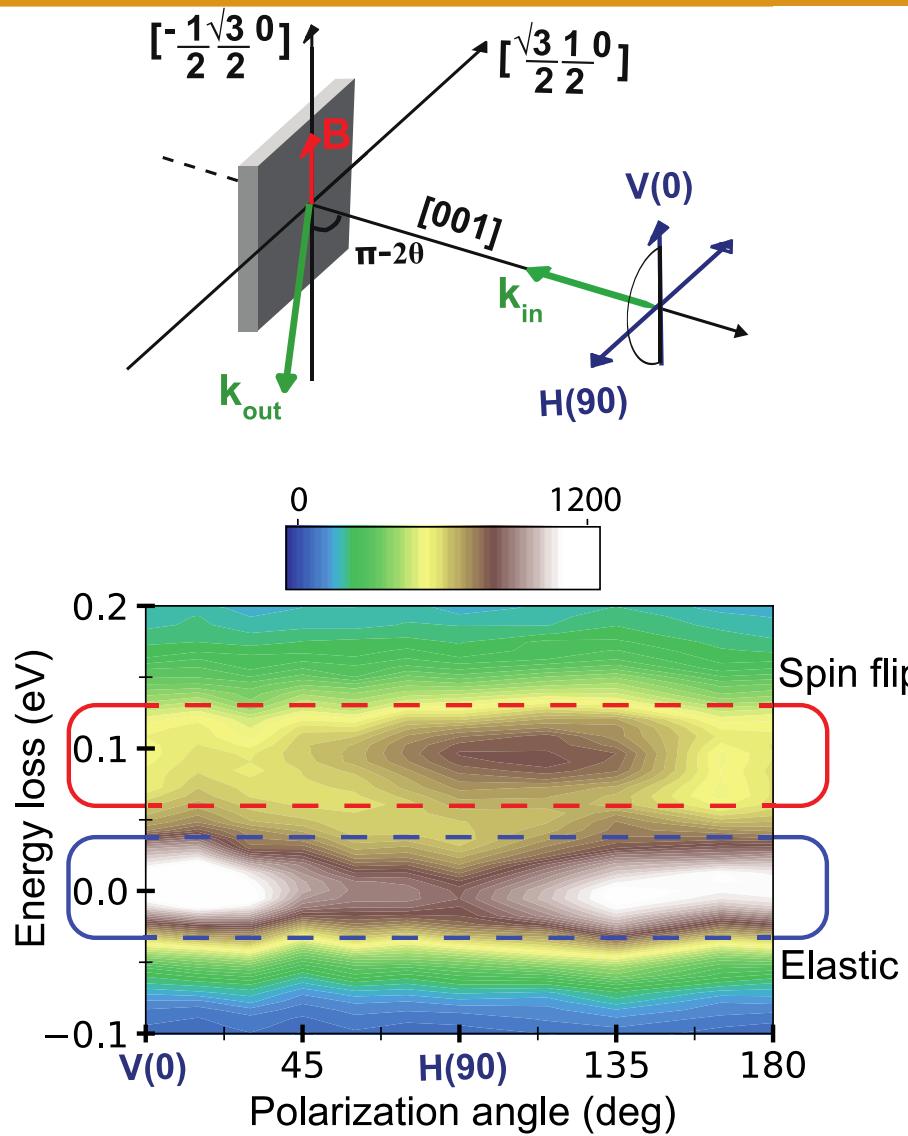
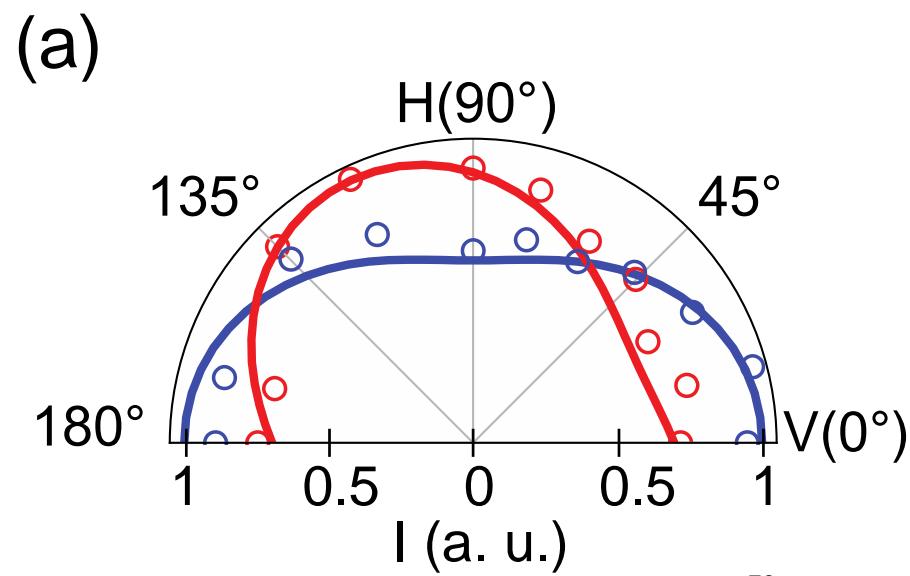
RIXS 2019:  
20 meV

## Disentangling the A and B sites

## 2p3d RIXS-MCD



$$J_{\text{eff}} = 90 \text{ meV}$$


 $\text{Fe}^{3+}_A$ 


# Soft x-ray RIXS

dd-excitations (20 meV resolution)

- detailed electronic structure
- dispersion of dd-excitations
- separate different valences
- distortions & spin-orbit coupling

magnons

- dispersion of magnons
- multi-magnons

polarization analysis

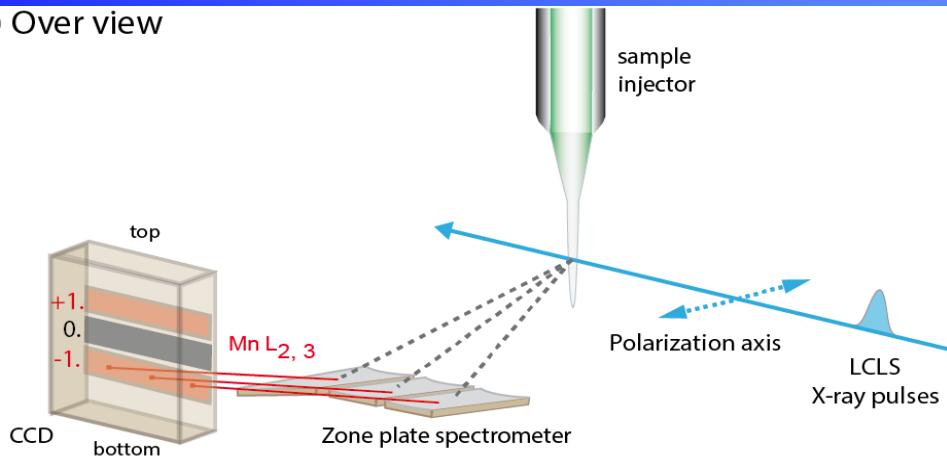
- detailed moment analysis ( $L_z$ ,  $S_z$ , site specific)
- RIXS-MCD

time: experiments with 100 fs (or better)

# XAS distortions in FY

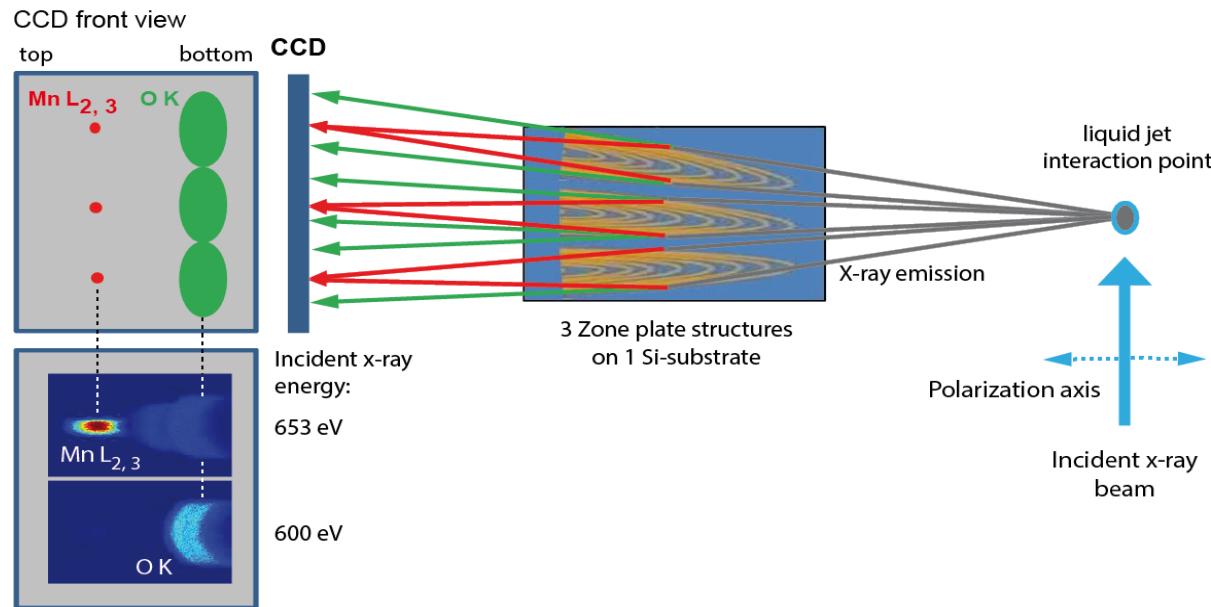
# FY-XAS of radiation sensitive sample

(a) Over view

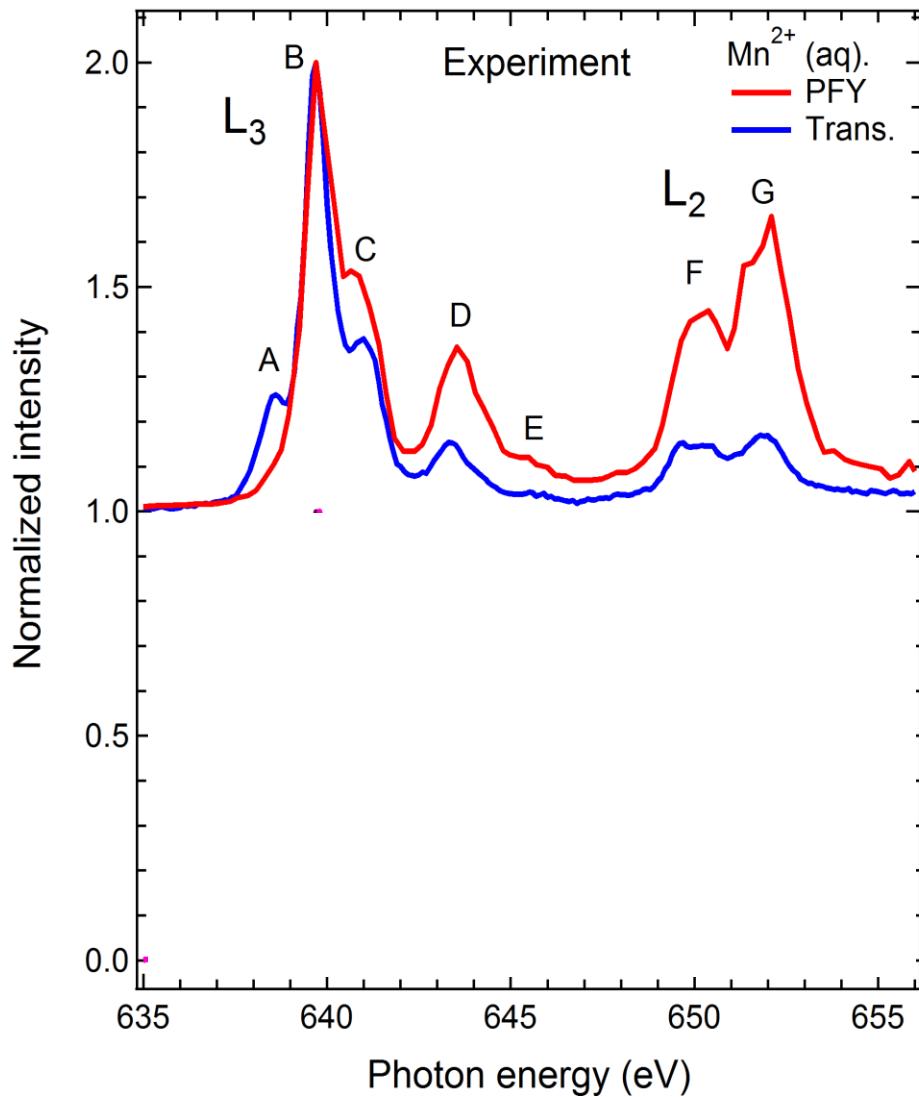


Single synchrotron pulselength is too long

(b) Top view and CCD front view



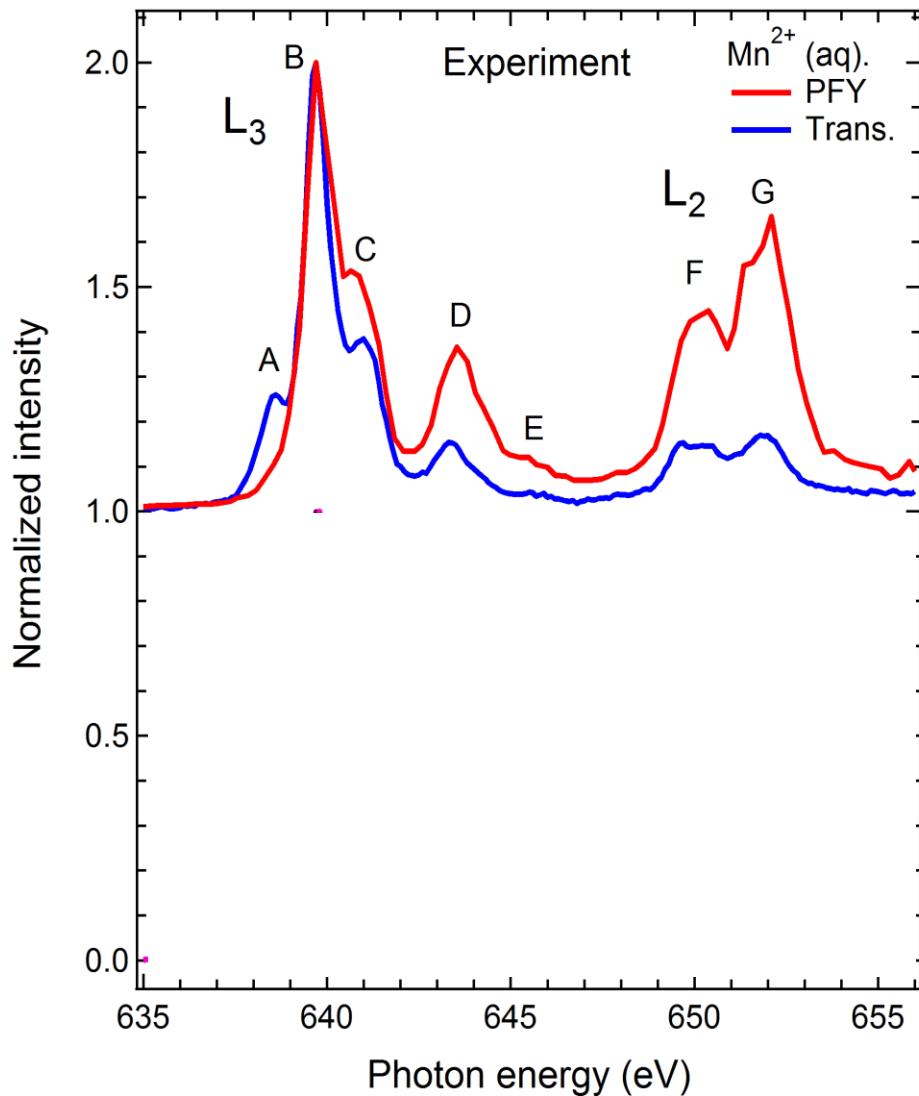
# FY-XAS of radiation sensitive sample



Fluorescence does not measure XAS spectrum

- Saturation ?

# FY-XAS of radiation sensitive sample



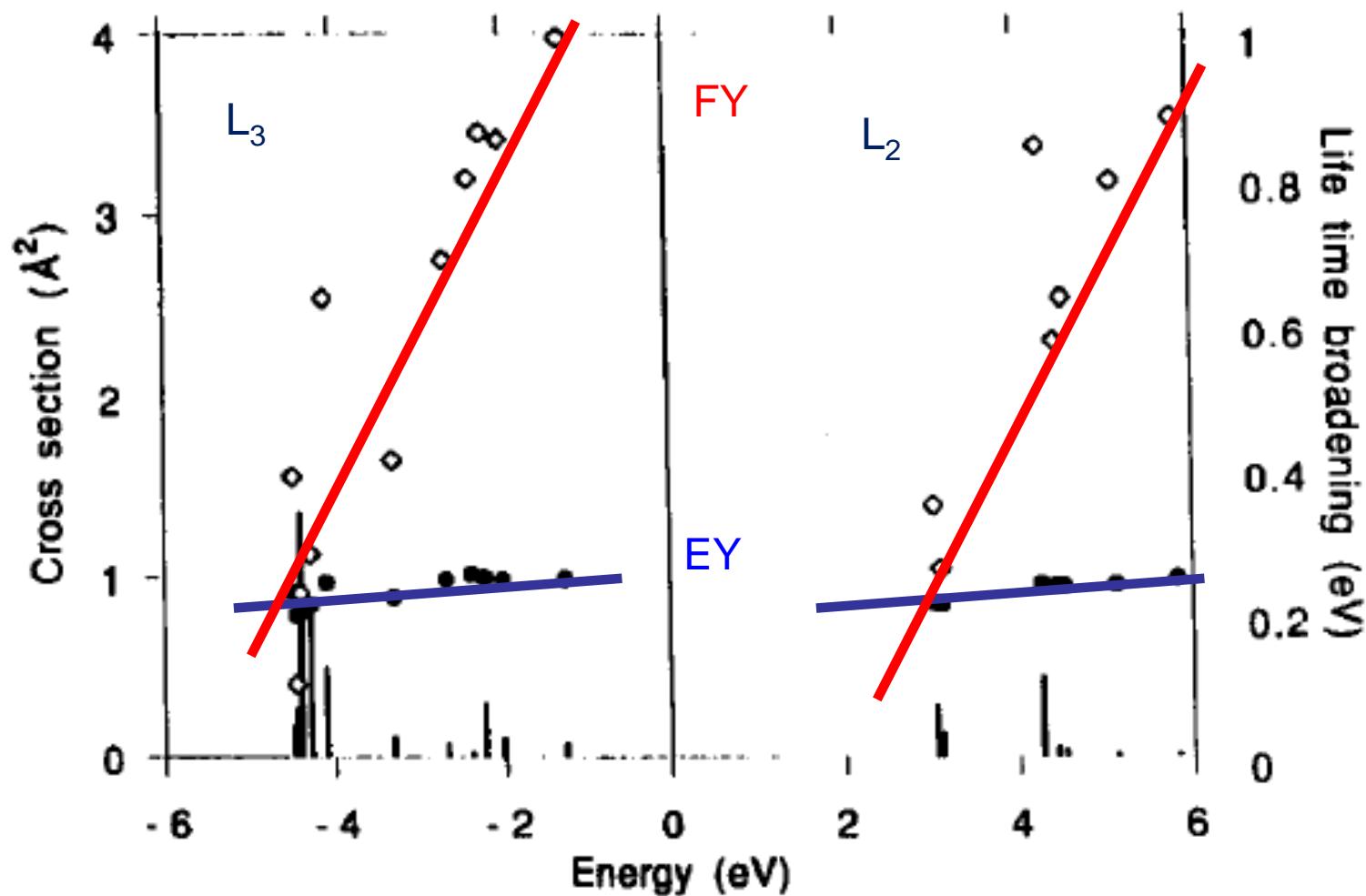
Fluorescence does not measure XAS spectrum

- NO Saturation
- State-dependent decay

## FY detection: State dependent decay

- Yield methods assume a constant ratio between radiative and non-radiative decay
- If this ratio is state (= energy) dependent then the related yield methods do not measure XAS.

# FY detection: State dependent decay



## FY detection: State dependent decay

- The dominant yield method is not visibly affected, thus for soft X-rays only FY is affected, not electron yield.

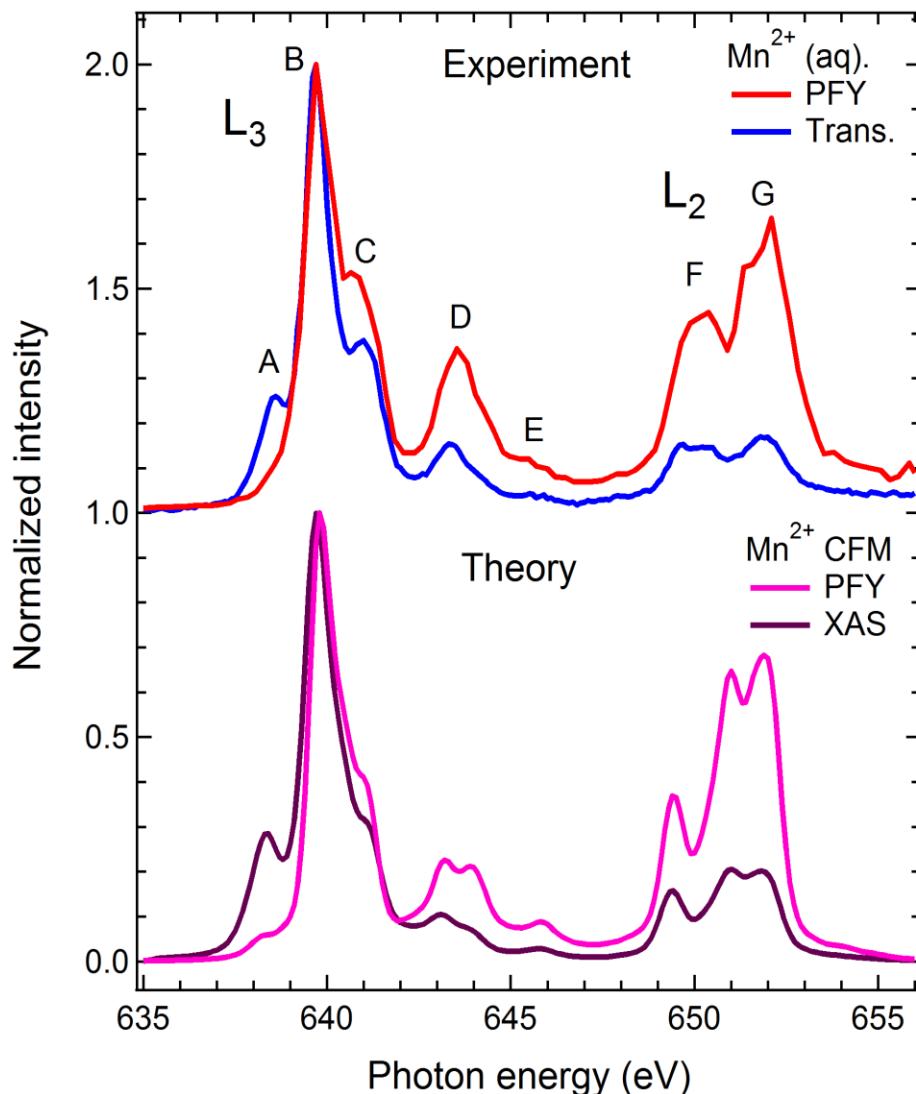
## FY detection (state-dependent, dilute)

$$I_{TFY} \sim \frac{\mu(\omega)*\sigma}{\mu(\omega)+\mu B} + \frac{\mu B*\sigma B}{\mu(\omega)+\mu B}$$

$$I_{TFY} \sim \frac{\mu(\omega)*\sigma(\omega)}{\mu B}$$

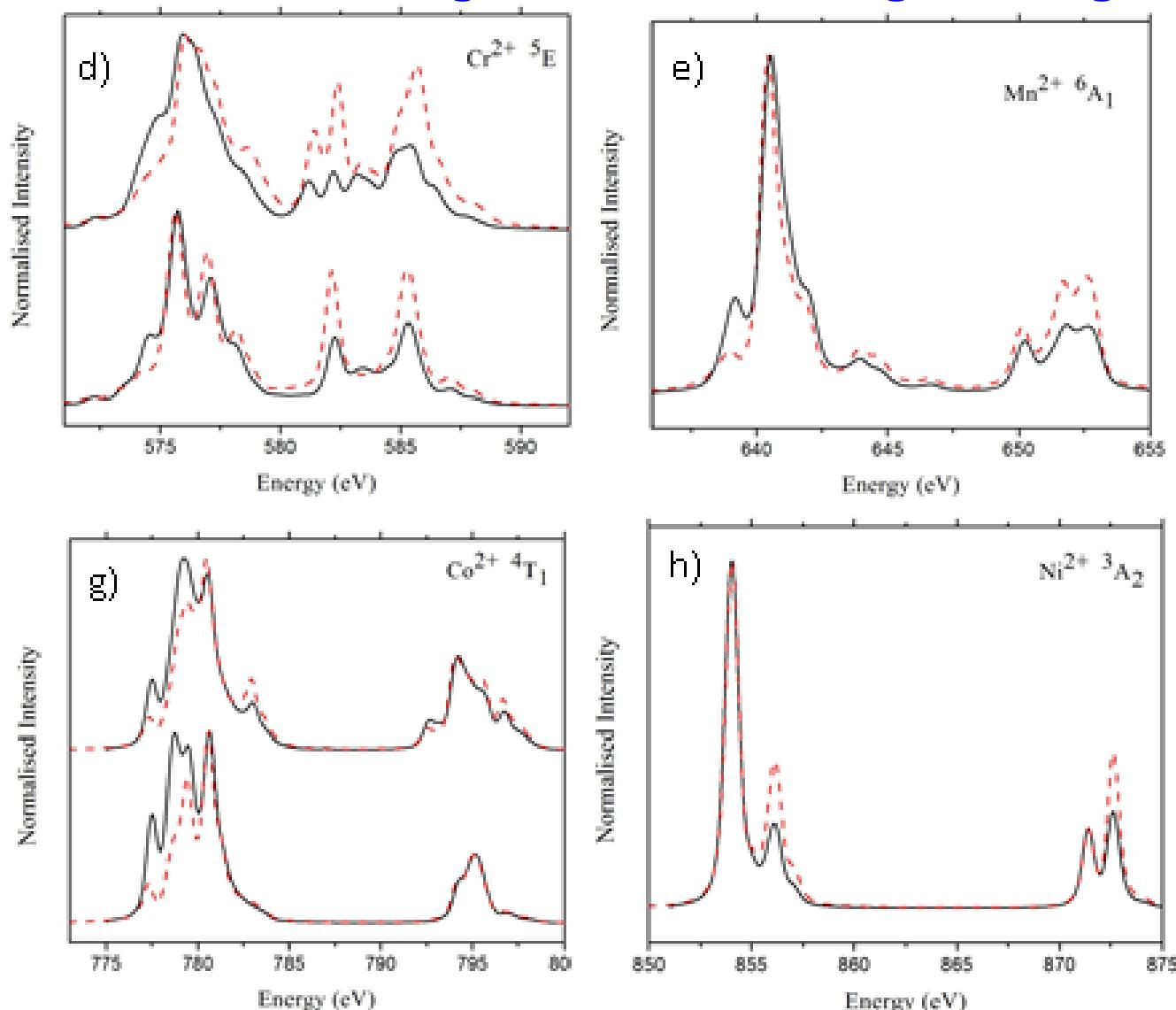
- Main decay of 2p core hole is 2p3d RIXS
- Integrate RIXS spectrum to 2p3d PFY
- TFY  $\sim$  2p3d PFY
- NOTE: “RIXS” has angular dependence effects

# XAS: transmission & FY



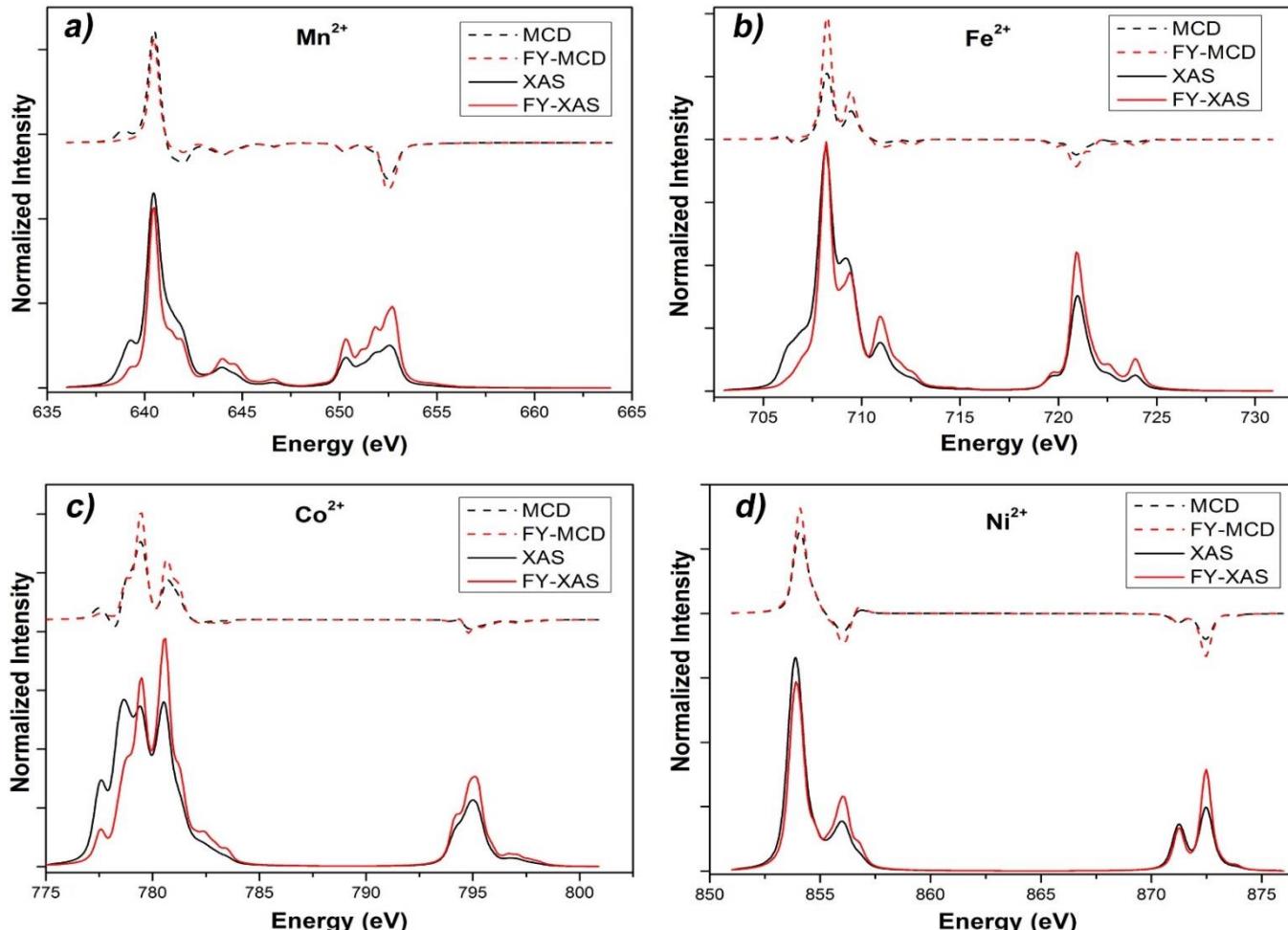
# Fluorescence Yield detection

FY-XAS  $\neq$  XAS     $L_2$  edge increases    High-energies increase



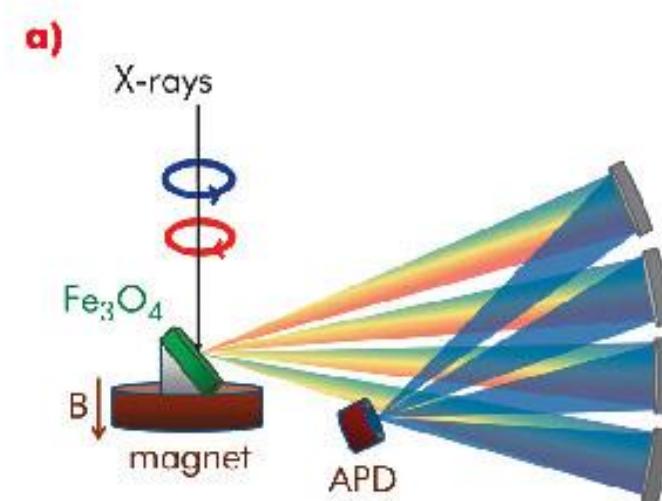
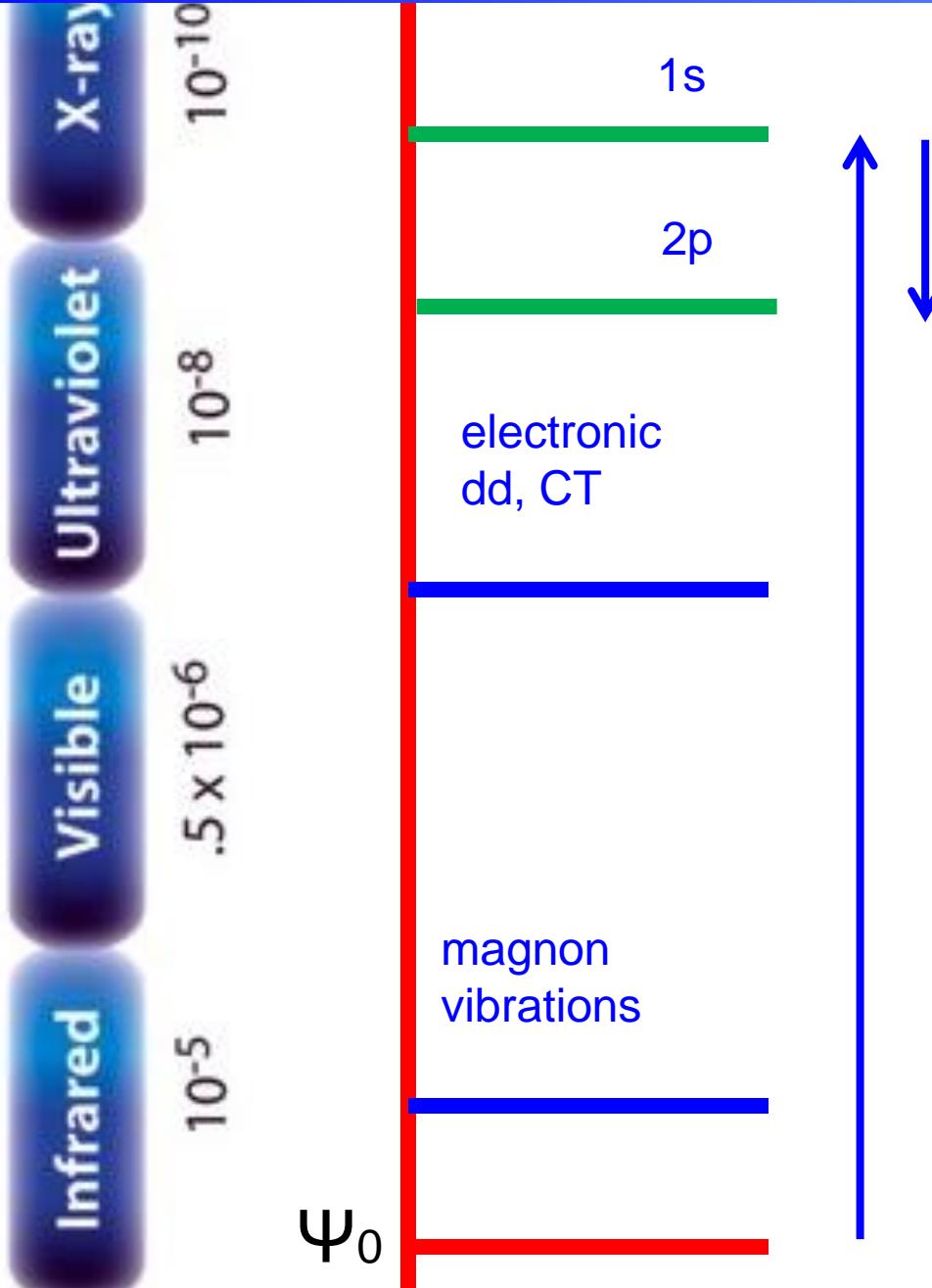
# Fluorescence Yield XMCD

- XMCD sum rules break down
- Ni/Co 20%, Fe 30%, Mn > 100% error

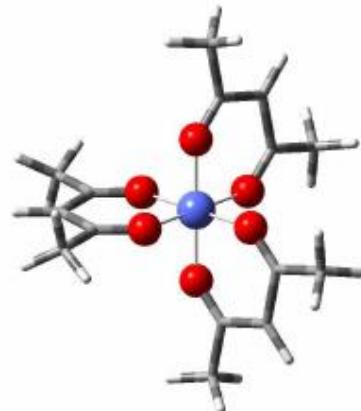
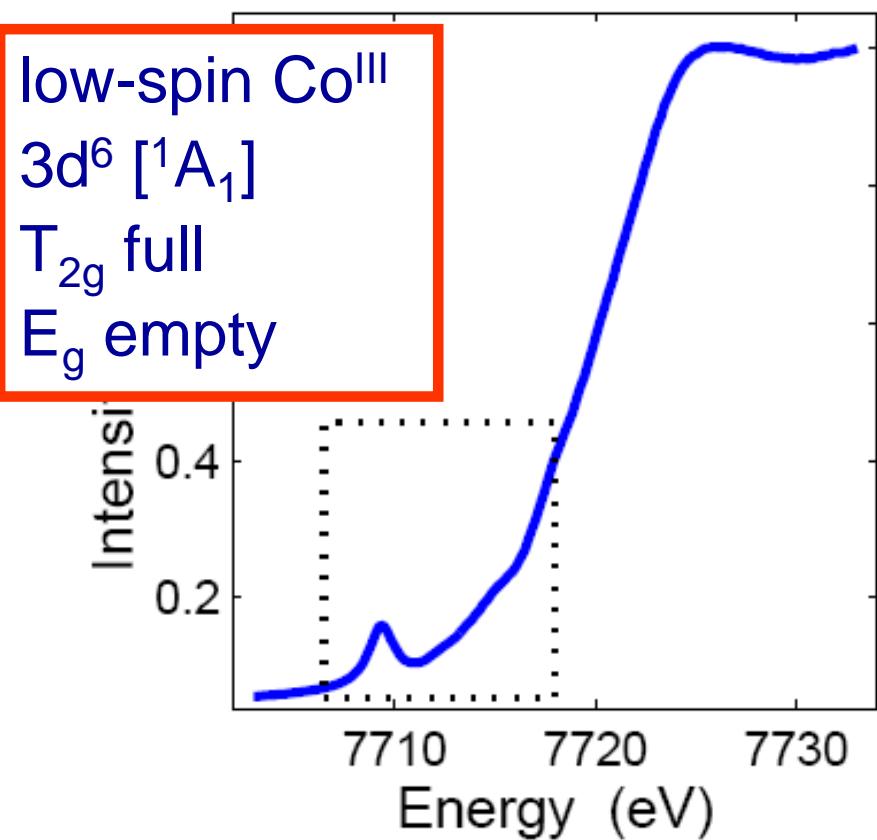


# RIXS with hard x-rays

# 1s2p RIXS of transition metal ions

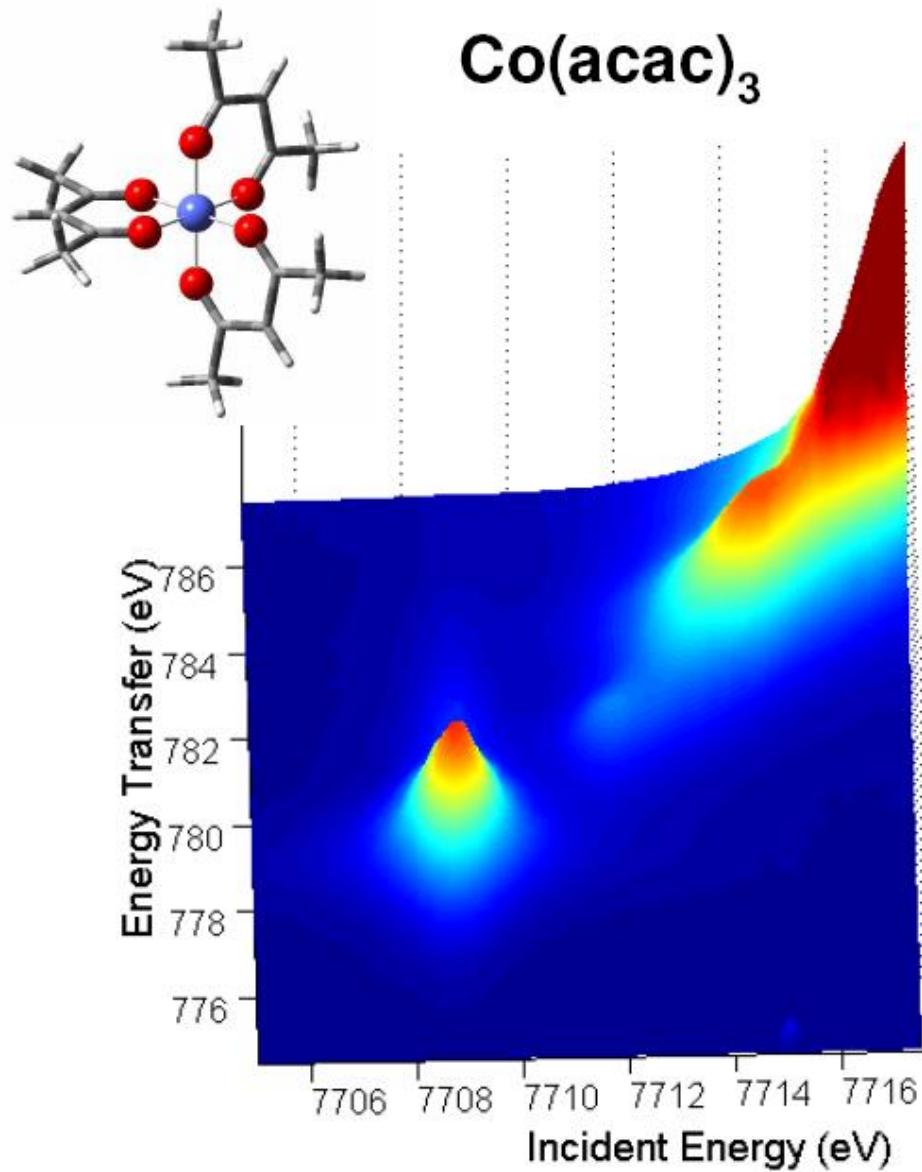
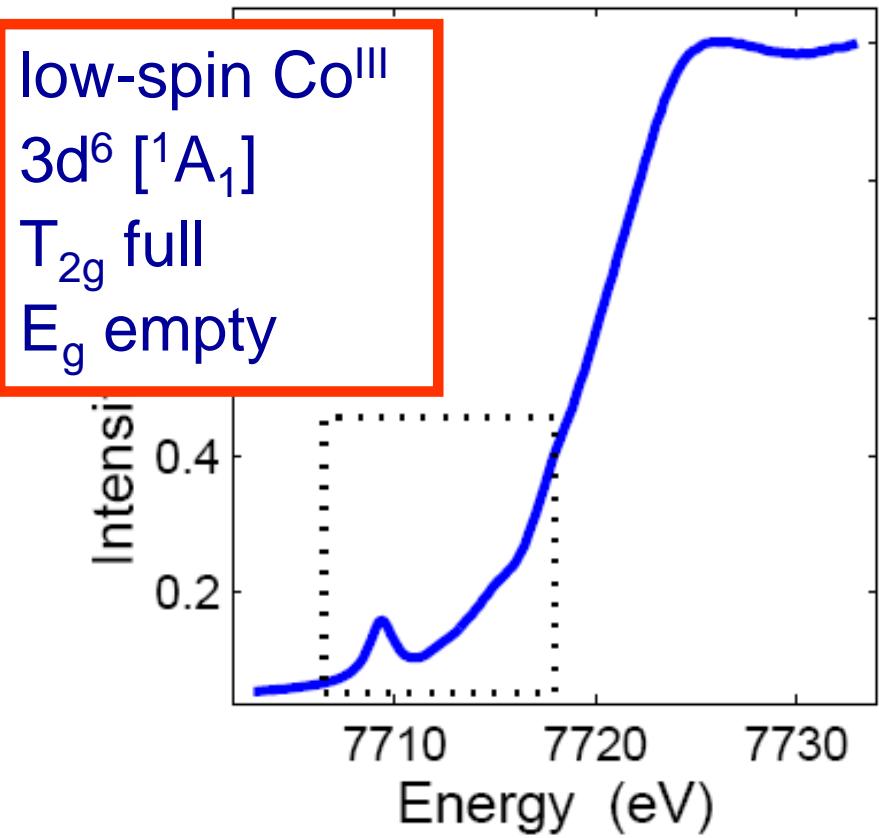


# 1s2p RIXS of transition metal ions

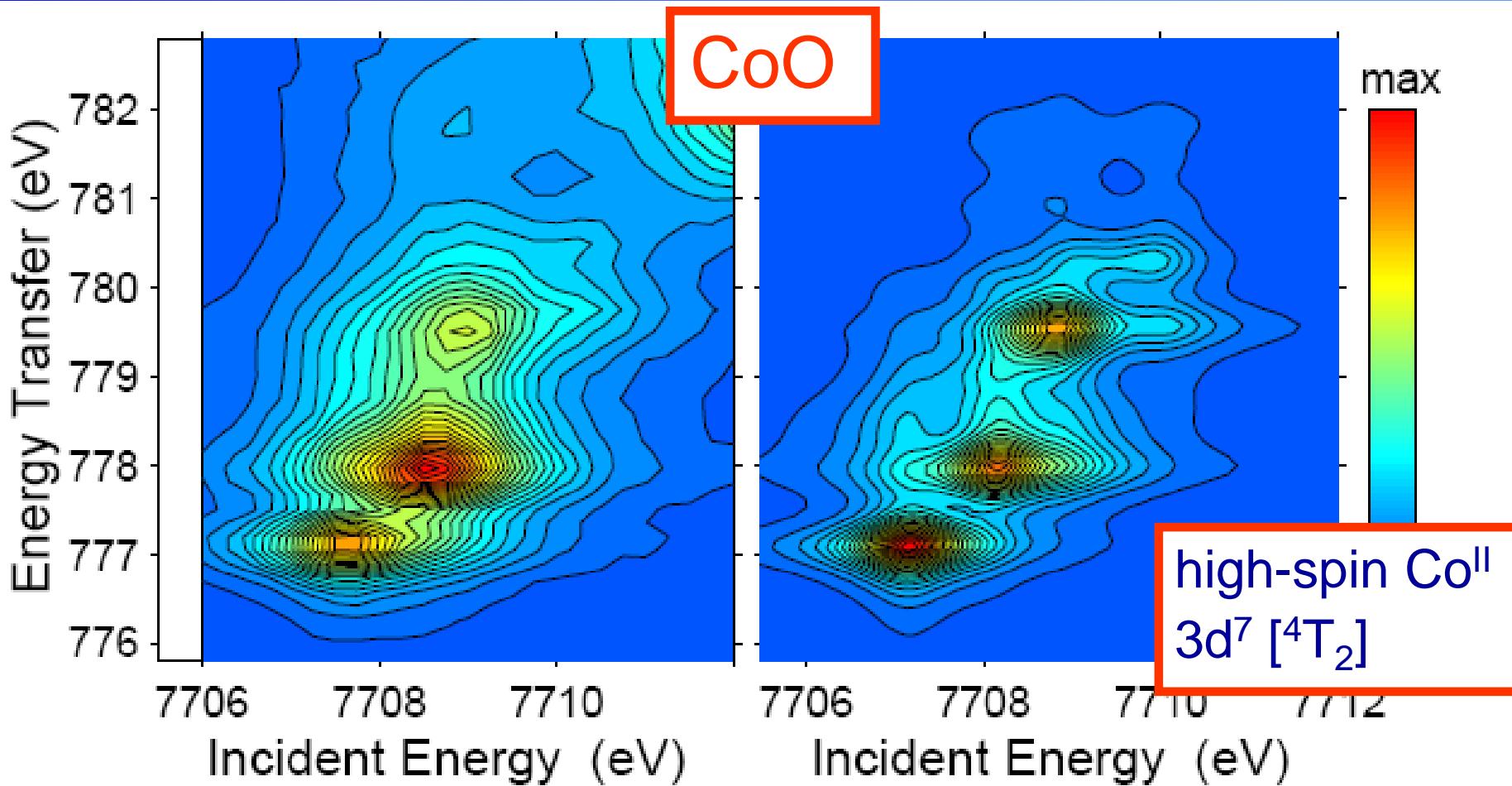


Co(acac)<sub>3</sub>

# 1s2p RIXS of transition metal ions



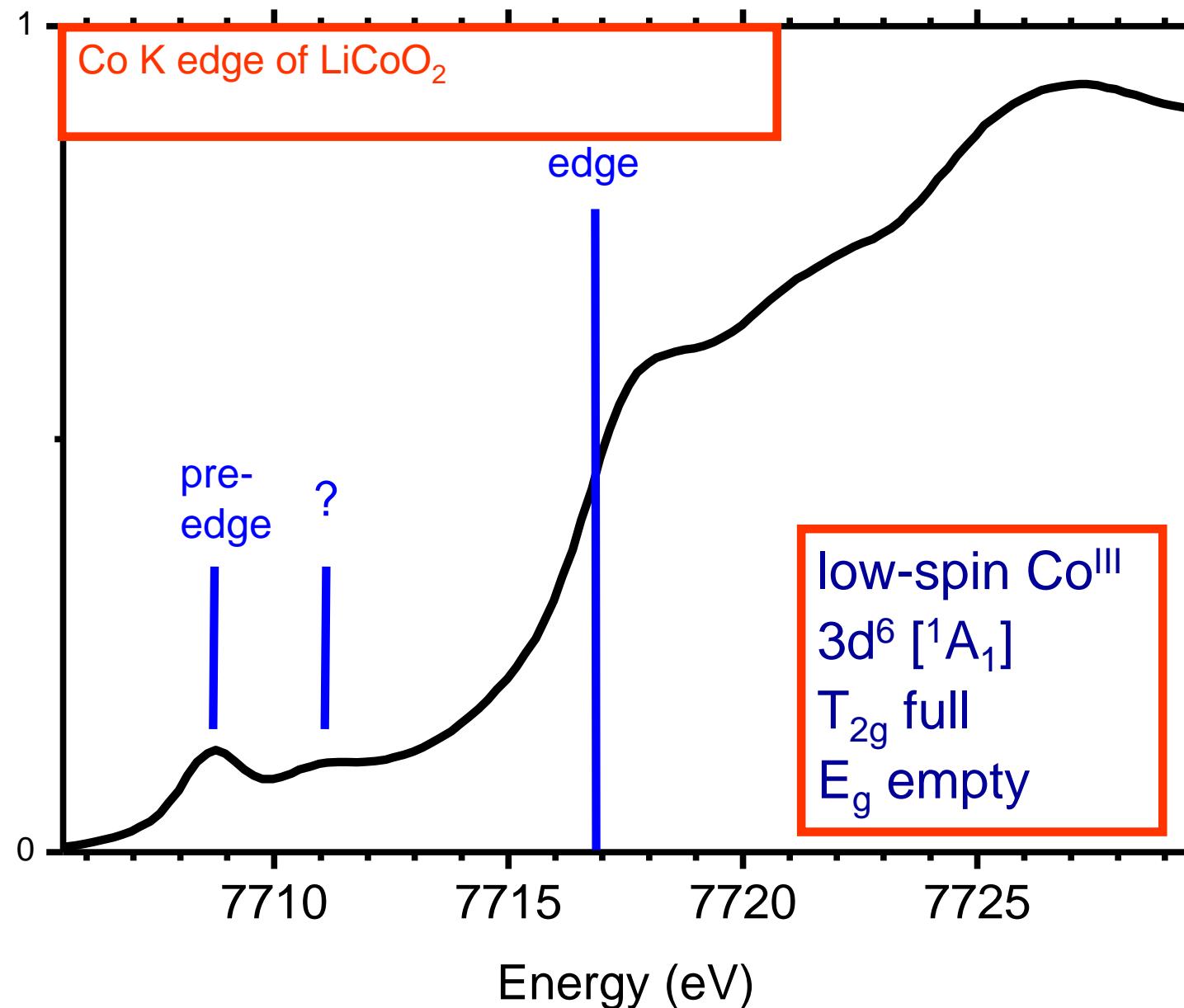
## Sharper pre-edge structures in 1s XAS



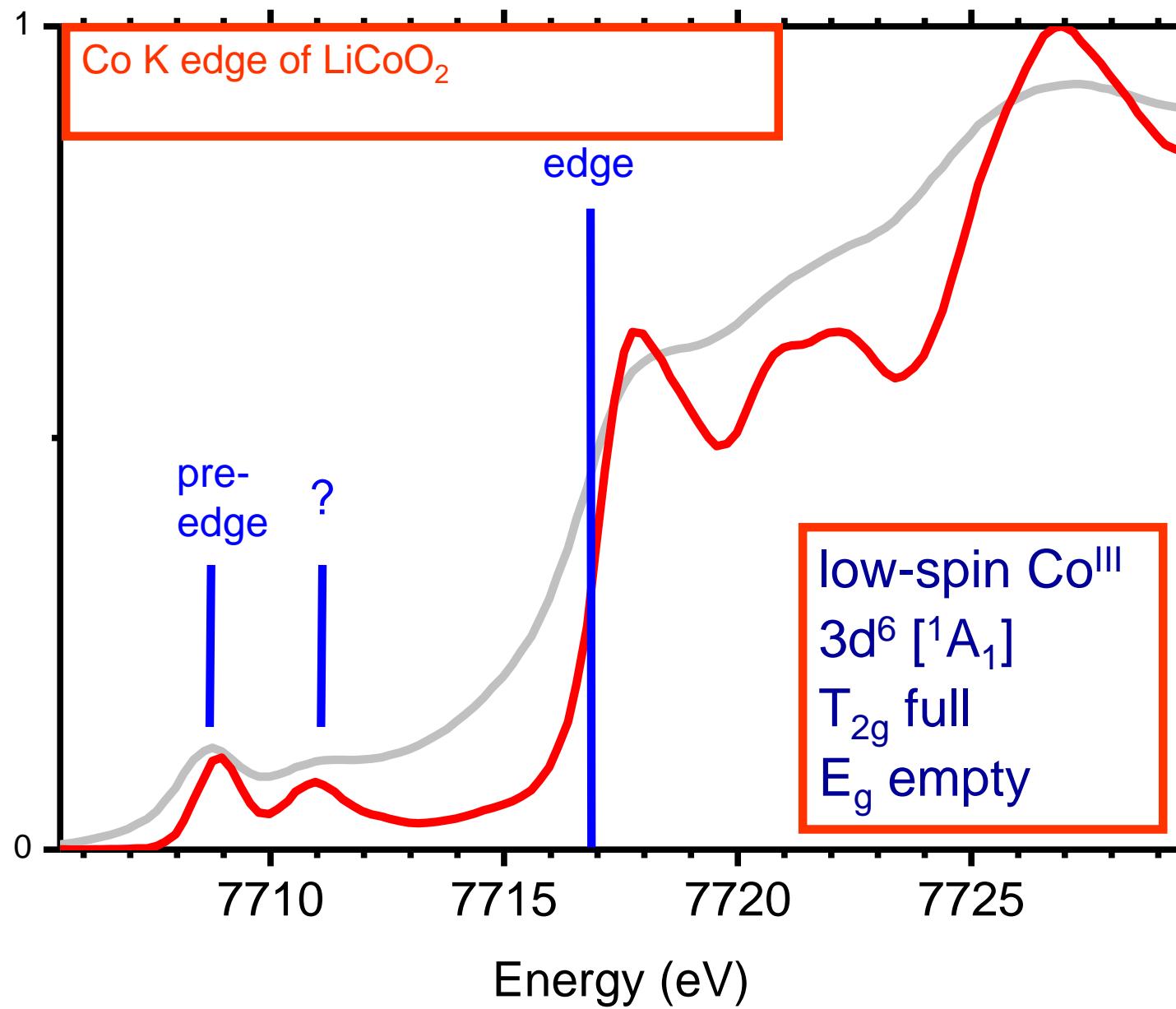
Only quadrupole peaks visible



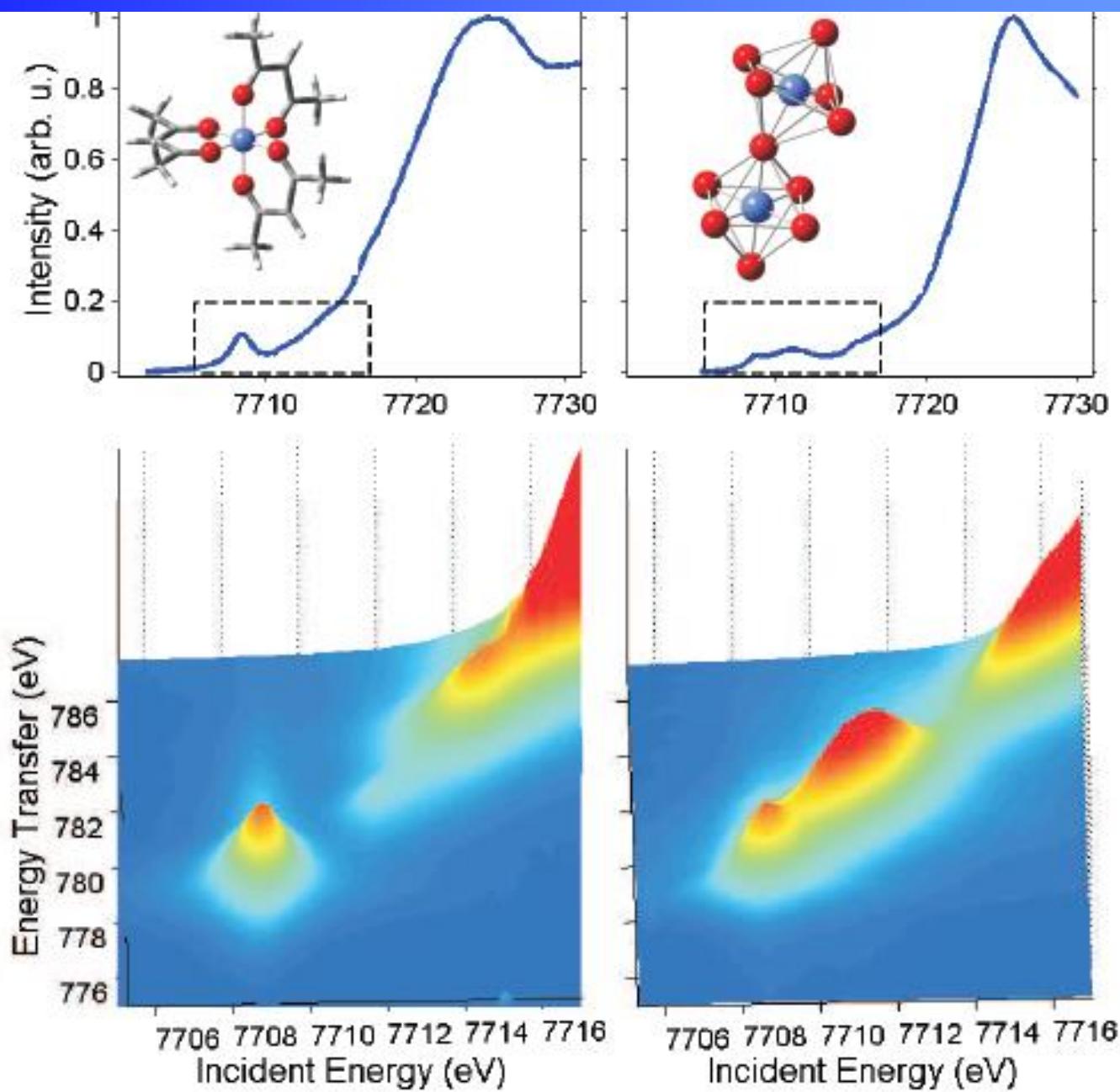
## Pre-edges structures in 1s XAS



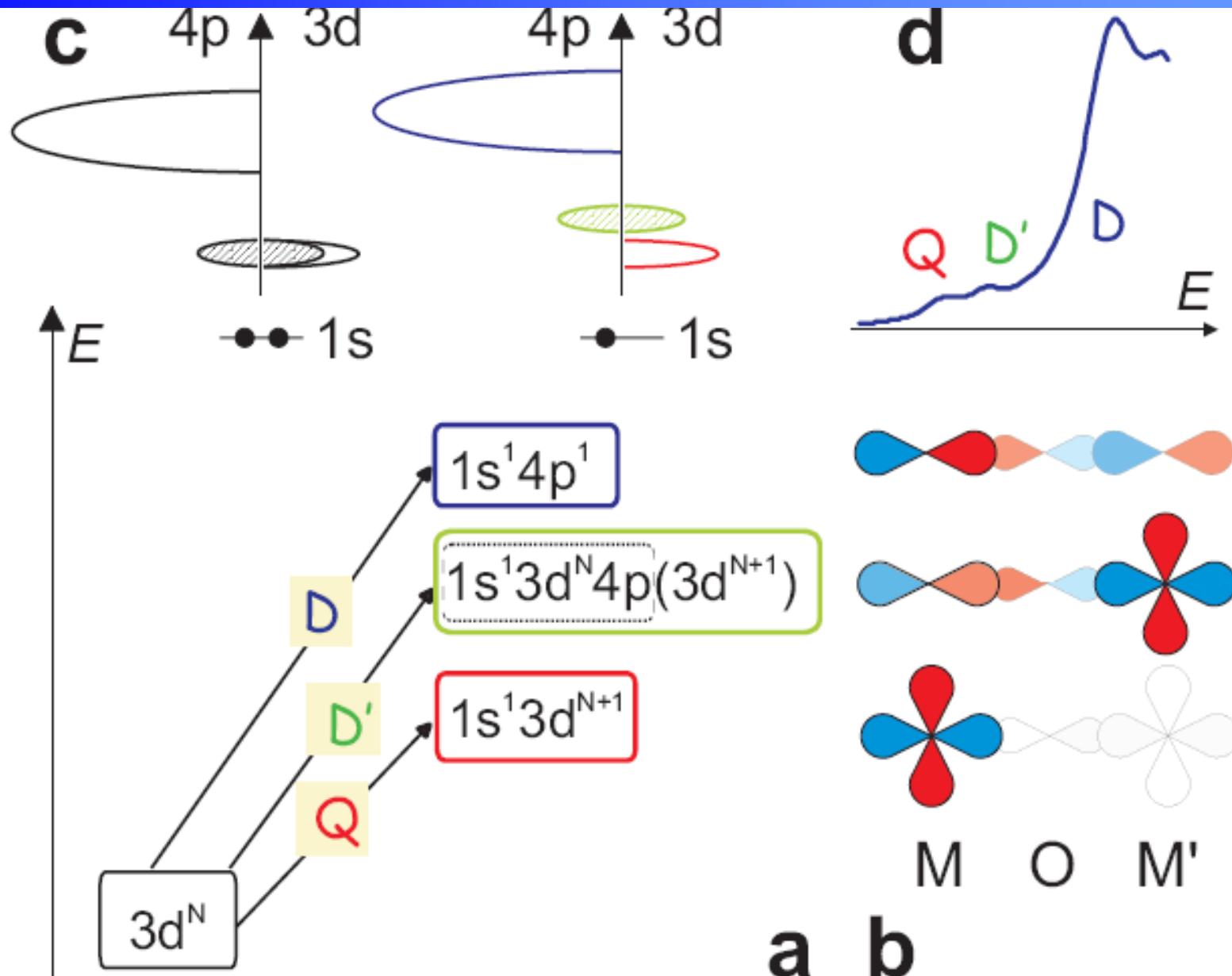
# Pre-edges structures in 1s XAS



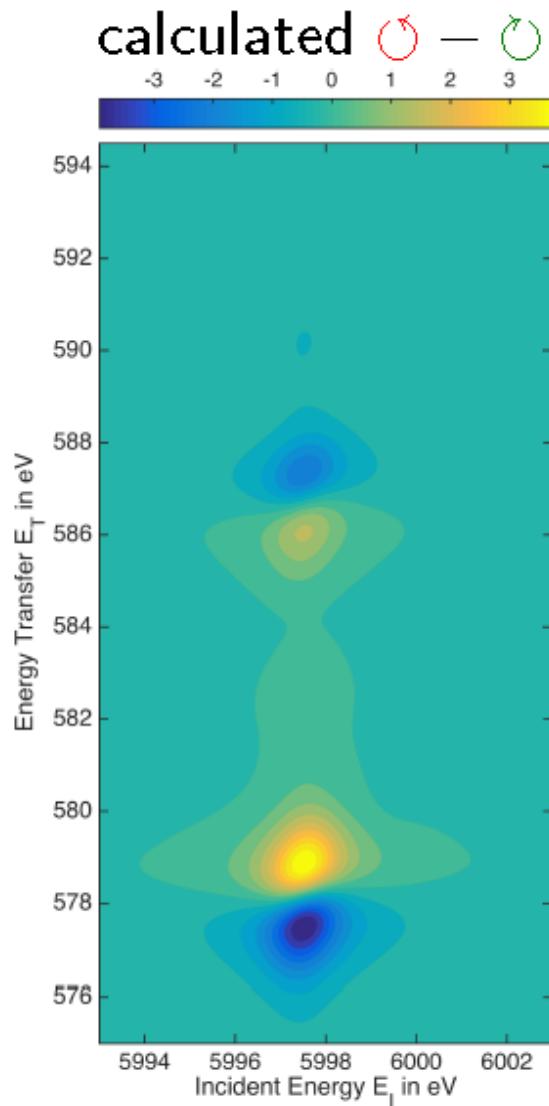
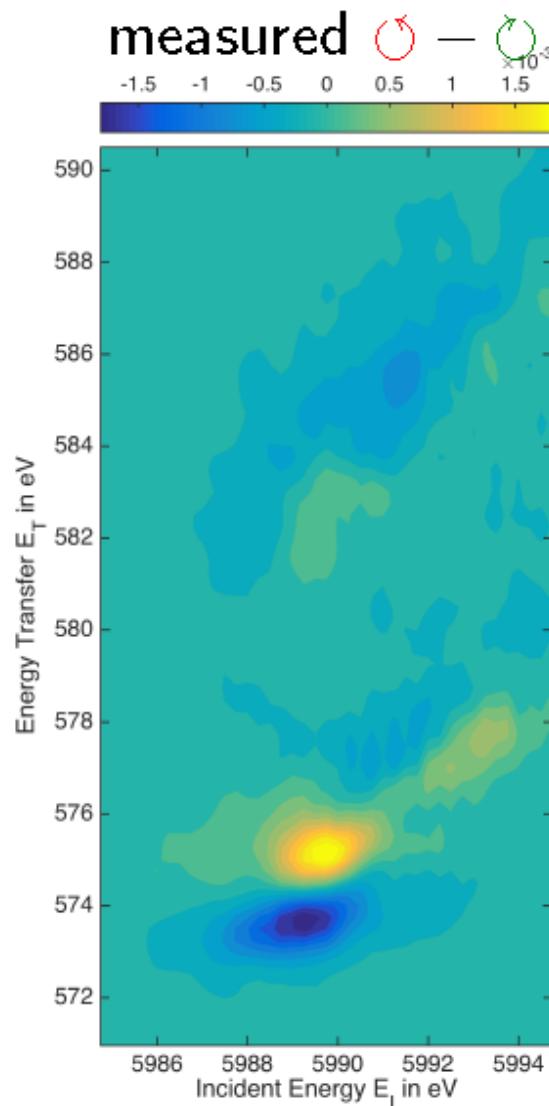
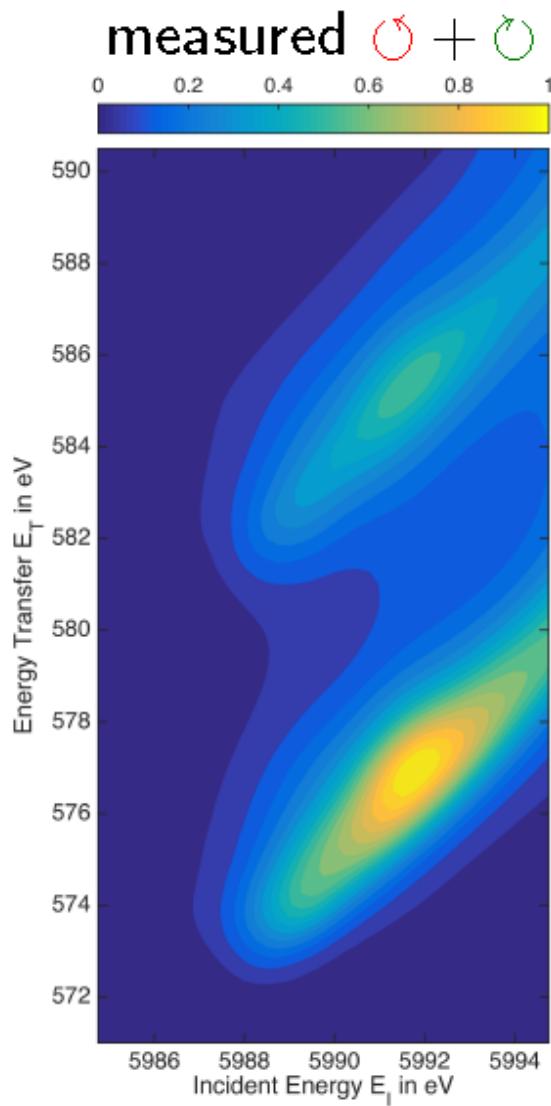
## Pre-edges structures in 1s XAS



## Pre-edges structures in 1s XAS

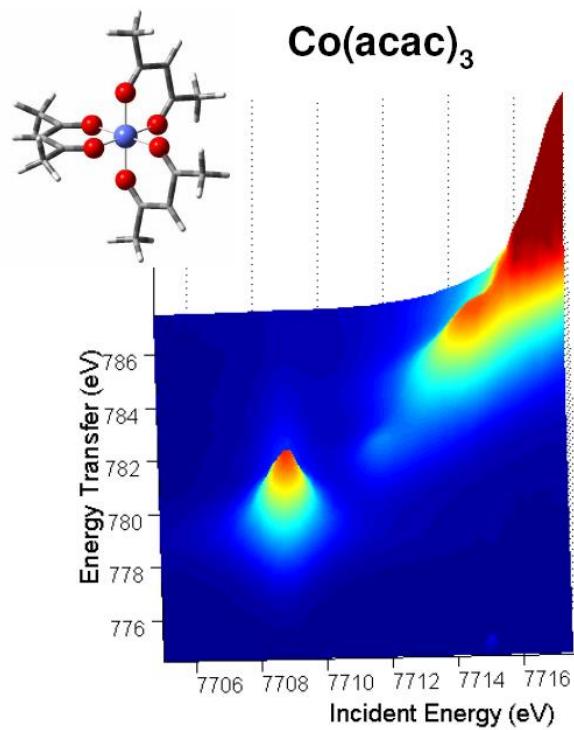


# RIXS-MCD of $\text{CrO}_2$



# Hard x-ray RIXS

- Reduce lifetime from 1.5 to 0.2 eV (HERFD XANES)
- Reveal new features at pre-edge
- Soft x-ray edges with hard x-rays
- Spin-polarized XAS
- Range extended EXAFS
- Background free FY for low conc.
- RIXS-MCD



# resonant inelastic x-ray scattering

- X-ray absorption spectroscopy

- Multiplet calculations

[let me know if you like to learn them]

- Resonant inelastic x-ray scattering